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### Article

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# Probing Presupernova Mass Loss in Double-peaked Type Ibc Supernovae from the Zwicky Transient Facility

Kaustav K. Das<sup>1</sup> , Mansi M. Kasliwal<sup>1</sup> , Jesper Sollerman<sup>2</sup> , Christoffer Fremling<sup>1</sup> , I. Irani<sup>3</sup> , Shing-Chi Leung<sup>4</sup> , Sheng Yang<sup>2</sup> , Samantha Wu<sup>1</sup>, Jim Fuller<sup>1</sup> , Shreya Anand<sup>1</sup> , Igor Andreoni<sup>5,6,7</sup> , C. Barbarino<sup>2</sup> , Thomas G. Brink<sup>8</sup> , Kishalay De<sup>9</sup> , Alison Dugas<sup>10</sup>, Steven L. Groom<sup>11</sup> , George Helou<sup>11</sup> , K-Ryan Hinds<sup>12</sup>, Anna Y. Q. Ho<sup>13,14,15,16</sup> , Viraj Karambelkar<sup>1</sup> , S. R. Kulkarni<sup>1</sup> , Daniel A. Perley<sup>12</sup> , Josiah Purdum<sup>17</sup> , Nicolas Regnault<sup>18</sup>, Steve Schulze<sup>2</sup> , Yashvi Sharma<sup>1</sup>, Tawny Sit<sup>19</sup>, Niharika Sravan<sup>20</sup>, Gokul P. Srinivasaragavan<sup>6</sup> , Robert Stein<sup>1</sup> , Kirsty Taggart<sup>21</sup>, Leonardo Tartaglia<sup>22,23</sup> , Anastasios Tzanidakis<sup>1</sup>, Avery Wold<sup>11</sup> , Lin Yan<sup>17</sup> , Yuhan Yao<sup>1</sup> , and Jeffrey Zolkower<sup>17</sup>

<sup>1</sup> Cahill Center for Astrophysics, California Institute of Technology, MC 249-17, 1200 East California Boulevard, Pasadena, CA 91125, USA; [kdas@astro.caltech.edu](mailto:kdas@astro.caltech.edu)

<sup>2</sup> The Oskar Klein Centre, Department of Astronomy, Stockholm University, AlbaNova, SE-10691 Stockholm, Sweden

<sup>3</sup> Department of Particle Physics and Astrophysics, Weizmann Institute of Science, 234 Herzl Street, 76100 Rehovot, Israel

<sup>4</sup> Department of Mathematics and Physics, SUNY Polytechnic Institute, 100 Seymour Road, Utica, NY 13502, USA

<sup>5</sup> Joint Space-Science Institute, University of Maryland, College Park, MD 20742, USA

<sup>6</sup> Department of Astronomy, University of Maryland, College Park, MD 20742, USA

<sup>7</sup> Astrophysics Science Division, NASA Goddard Space Flight Center, Mail Code 661, Greenbelt, MD 20771, USA

<sup>8</sup> Department of Astronomy, University of California, Berkeley, CA 94720-3411, USA

<sup>9</sup> MIT-Kavli Institute for Astrophysics and Space Research, 77 Massachusetts Avenue, Cambridge, MA 02139, USA

<sup>10</sup> Department of Physics and Astronomy, Watanabe 416, 2505 Correa Road, Honolulu, HI 96822, USA

<sup>11</sup> IPAC, California Institute of Technology, 1200 East California Boulevard, Pasadena, CA 91125, USA

<sup>12</sup> Astrophysics Research Institute, Liverpool John Moores University, IC2, Liverpool L3 5RF, UK

<sup>13</sup> Miller Institute for Basic Research in Science, 468 Donner Lab, Berkeley, CA 94720, USA

<sup>14</sup> Department of Astronomy, University of California, Berkeley, 501 Campbell Hall, Berkeley, CA 94720, USA

<sup>15</sup> Lawrence Berkeley National Laboratory, 1 Cyclotron Road, MS 50B-4206, Berkeley, CA 94720, USA

<sup>16</sup> Department of Astronomy, Cornell University, Ithaca, NY 14853, USA

<sup>17</sup> Caltech Optical Observatories, California Institute of Technology, Pasadena, CA 91125, USA

<sup>18</sup> LPNHE, CNRS/IN2P3 & Sorbonne Université, 4 place Jussieu, 75005 Paris, France

<sup>19</sup> Department of Astronomy, The Ohio State University, Columbus, OH 43210, USA

<sup>20</sup> Department of Physics, Drexel University, Philadelphia, PA 19104, USA

<sup>21</sup> Department of Astronomy and Astrophysics, University of California, Santa Cruz, CA 95064, USA

<sup>22</sup> The Oskar Klein Centre, Department of Astronomy, AlbaNova, SE-106 91 Stockholm, Sweden

<sup>23</sup> INAF-Osservatorio Astronomico di Padova, Vicolo dell'Osservatorio 5, I-35122 Padova, Italy

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## Abstract

Eruptive mass loss of massive stars prior to supernova (SN) explosion is key to understanding their evolution and end fate. An observational signature of pre-SN mass loss is the detection of an early, short-lived peak prior to the radioactive-powered peak in the lightcurve of the SN. This is usually attributed to the SN shock passing through an extended envelope or circumstellar medium. Such an early peak is common for double-peaked Type IIb SNe with an extended hydrogen envelope but uncommon for normal Type Ibc SNe with very compact progenitors. In this paper, we systematically study a sample of 14 double-peaked Type Ibc SNe out of 475 Type Ibc SNe detected by the Zwicky Transient Facility. The rate of these events is  $\sim 3\%$ – $9\%$  of Type Ibc SNe. A strong correlation is seen between the peak brightness of the first and the second peak. We perform a holistic analysis of this sample's photometric and spectroscopic properties. We find that six SNe have ejecta mass less than  $1.5 M_{\odot}$ . Based on the nebular spectra and lightcurve properties, we estimate that the progenitor masses for these are less than  $\sim 12 M_{\odot}$ . The rest have an ejecta mass  $> 2.4 M_{\odot}$  and a higher progenitor mass. This sample suggests that the SNe with low progenitor masses undergo late-time binary mass transfer. Meanwhile, the SNe with higher progenitor masses are consistent with wave-driven mass loss or pulsation-pair instability-driven mass-loss simulations.

*Unified Astronomy Thesaurus concepts:* Core-collapse supernovae (304); Supernovae (1668); Type Ib supernovae (1729); Type Ic supernovae (1730); Binary stars (154); Stellar mass loss (1613); Massive stars (732)

*Materials only available in the online version of record: machine-readable table*

## 1. Introduction

Most massive stars undergo mass loss during their lifetime. This can affect the star's luminosity, burning lifetime, apparent temperature, and helium-core mass and impact its end fate. The

mass loss has a great influence on the late-time evolution of massive stars and the resultant supernova (SN; e.g., Smith 2014). Pre-SN mass loss also has an impact on other areas of astronomy since it affects predictions for ionizing radiation, wind feedback from stellar remnants, and the origin of compact stellar remnants.

Early observations of SNe and theoretical models indicate that enhanced mass loss and pre-SN outbursts may occur in progenitors of many types of core-collapse SNe (CCSNe). Different evidence includes the direct detection of precursor

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outbursts (Pastorello et al. 2007; Strotjohann et al. 2015, 2021, 2024; Jacobson-Galán et al. 2022a), bright UV emission in Type IIP SNe at early times (e.g., Morozova et al. 2018; Bostroem et al. 2019), and narrow spectral lines originating from a dense circumstellar medium ionized by the explosion’s shock (as in Type IIn, Type Ibn, Type Icn, and Type II SNe; e.g., Pastorello et al. 2008; Smith 2017; Bruch et al. 2021; Perley et al. 2022). Various mechanisms have been proposed to explain this mass loss, like standard nuclear burning instabilities and gravity waves (Arnett & Meakin 2011; Quataert & Shiode 2012; Leung et al. 2021b; Wu & Fuller 2021, 2022a), silicon deflagration (Woosley & Heger 2015), radiation-driven steady winds (Crowther 2007), pulsation-pair instability-driven mass loss (Leung et al. 2019), and binary interactions (Wu & Fuller 2022b).

The detection of the first peak in the lightcurve of a double-peaked SN constitutes an observational signature of circumstellar matter (CSM) or an extended envelope around the progenitor. If strong mass loss occurred shortly before the SN explosion, it would create a layer of CSM around the SN progenitor. The shock-cooling emission (i.e., bright postbreak-out emission; Rabinak & Waxman 2011; Nakar & Piro 2014; Piro 2015; Waxman & Katz 2017; Piro et al. 2021; Khatami & Kasen 2023; Morag et al. 2023) is seen as the SN shock passes through this ejected material. This should manifest as an early peak in the SN lightcurve. This is common for Type Iib SNe, where the extended material is attributed to the outer He/H envelope. However, the progenitors of Type Ib and Ic SNe (SNe Ibc) are suggested to be very compact Wolf–Rayet (W-R) stars or helium stars whose hydrogen envelopes have been stripped off via mass loss (e.g., Yoon 2015). Eruptive mass loss prior to an SN explosion could provide a medium for the shock to propagate through.

This early peak has been detected in a few Type Ibc SNe in the past. The presence of CSM is likely responsible for the first peak of several peculiar SNe Ic, like SN 2006aj (Modjaz et al. 2006), SN 2010mb (Ben-Ami et al. 2014), iPTF 15dtg (Taddia et al. 2016), and SN 2020bvc (Ho et al. 2020), and double-peaked superluminous SNe Ic (e.g., PTF 12dam, Vreeswijk et al. 2017; LSQ 14bdq, Nicholl et al. 2015; Nicholl & Smartt 2016; DES 14X3taz, Smith et al. 2016). The double peak is also seen in a few ordinary Type Ibc SNe, such as SN LSQ 14efd (Barbarino et al. 2017), iPTF 16hgs (De et al. 2018), SN 2017ein (Xiang et al. 2019), SN 2018lqo (De et al. 2020), SN 2019ehk (Jacobson-Galán et al. 2020; De et al. 2021; Nakaoka et al. 2021), SNe 2021gno and 2021inl (Jacobson-Galán et al. 2022b), and SN 2022oqm (Irani et al. 2024), and ultrastripped SNe (USSNe), such as SN 2019dge (Yao et al. 2020) and iPTF 14gqr (De et al. 2021). The low number of detections could be because of an observational bias, as the detection of these sources requires a fast cadence and early follow-up.

Modern high-cadence surveys such as the Zwicky Transient Facility (ZTF; Bellm et al. 2019a; Graham et al. 2019; Masci et al. 2019) act as a discovery engine for such events. In this paper, we present a sample of 17 double-peaked Type Ibc SNe detected by the ZTF. These detections are part of the Census of the Local Universe (CLU) survey (De et al. 2020) and the Bright Transient Survey (BTS; Fremling et al. 2020; Perley et al. 2020). The CLU survey is designed as a volume-limited survey with the objective of classifying all SNe within 200 Mpc whose host galaxies are part of the CLU galaxy catalog

(Cook et al. 2019). BTS is a magnitude-limited survey focused on spectroscopically classifying SNe with a peak magnitude brighter than 18.5 mag. In this paper, we perform a holistic analysis of the lightcurves for both the shock-cooling and the radioactive peaks, as well as for early-time, photospheric, and nebular spectra of the sample. Based on the estimated CSM and progenitor properties, we provide constraints on the mass loss and progenitor channels.

The sample selection is described in Section 2. We describe the photometric and spectroscopic data in Section 3. We present our analysis and results from the spectra and the lightcurves in Section 4. We discuss the inferred progenitor masses in Section 5 and the mass-loss scenarios in Section 6. We provide a brief summary of the results and future goals in Section 7.

## 2. Sample Selection

In this paper, we use SNe observed by the ZTF. The ZTF camera (Dekany et al. 2020) is mounted on the Palomar 48 inch (P48) Oschin Schmidt telescope and has a field of view spanning 47 deg<sup>2</sup>. ZTF images the entire northern sky every ~2 nights in the *g* and *r* bands and achieves a median depth of approximately 20.5 mag (Bellm et al. 2019b). We use ZTF discoveries and follow-up spectra that are part of the BTS and the CLU survey.

We apply the following selection criteria on the ZTF SN sample obtained from the BTS and the CLU survey (2018 April 1–2022 October 25).

1. The transient should be classified as a stripped-envelope SN (SESN; Types Ib, Ib-pec, Ibn, Ic, Ic-pec, Icn, and Ic-BL, but Type Iib are not included) based on photospheric spectral template matching and manual inspection. As per the classification status on 2022 October 25, there are 185 SNe classified as Type Ib, 27 classified as Type Ibn, 176 classified as Type Ic, 59 classified as Type Ic-BL, and 28 classified as Type Ib/c with unclear type (S. Yang et al. 2024, in preparation).

2. In our analysis, we utilize the ZTF forced-photometry service developed by Masci et al. (2019) to perform forced photometry in the *g*, *r*, and *i* bands on the ZTF difference images. We consider  $3\sigma$  measurements as a threshold. A total of 59 Type Ib/Ibn SNe, 86 Type Ic/Icn/Ic-BL SNe, and 47 Type Ib/c SNe (classification not distinguishable between Ib and Ic) have good-quality early-time lightcurves, where the gap between the first and second detection, as well as the gap between the last nondetection and the first detection, is less than 5 days. Hence, we did not miss the first peak for these SNe.

3. We manually inspect the lightcurves of these transients to look for an early bump with a rise and decline or just a decline in either of the ZTF *g*-band or *r*-band photometry followed by a second peak. We find 19 such SNe, with 10 Type Ib, 4 Type Ic, 3 Type Ic-BL, and 2 Type Ib/c. We list the details of the sample in Table 1.

4. The early lightcurve decline or rise should be present in at least two filters. There were two SNe<sup>24</sup> in which we could see an early decline that could correspond to a first peak, but since they were seen only in the *r* or *g* band, we do not include them in our sample. The summary of the selection criteria and

<sup>24</sup> ZTF18acsodbf and ZTF19abzzuhj.

**Table 1**  
Summary of the Sample of 17 SNe Used in This Paper

ZTF Name	IAU Name	R.A. (hh:mm:ss)	Decl. (dd:mm:ss)	Redshift	Type	$t_{\max 1}$ (MJD)	$M_{r1}$ (mag)	$t_{\max 2}$ (MJD)	$M_{r2}$ (mag)	$A_{V,MW}$ (mag)	$A_{V,host}$ (mag)
ZTF21aaqhffu <sup>a</sup>	SN 2021gno	12:12:10.29	+13:14:57.0	0.006	Ib	59294	-14.5	59306	-15.2	0.10	0
ZTF21abegnql	SN 2021niq	15:36:06.70	+43:24:21.4	0.018	Ib	59362	-15.1	59371	-15.8	0.07	0
ZTF20abbpkng	SN 2020kzs	17:14:55.02	+35:31:13.6	0.037	Ib	58983	-17.5	59009	-18.7	0.08	1.1
ZTF21abccaue	SN 2021nng	14:17:22.86	+58:44:58.9	0.040	Ib	59336	-16.5	59381	-18.4	0.03	0.6
ZTF18achcpwu	SN 2018ise	07:07:16.74	+64:03:41.8	0.055	Ic	58423	-16.7	58455	-18.6	0.11	0
ZTF18abmxelh	SN 2018lqo	16:28:43.25	+41:07:58.6	0.033	Ib	58340	-15.8	58354	-16.4	0.02	0
ZTF21acekmmm	SN 2021aabp	23:09:55.08	+09:41:08.9	0.064	Ic-BL	59486	-18.3	59505	-19.1	0.15	0
ZTF21aasuego <sup>a</sup>	SN 2021inl	13:01:33.24	+27:49:55.0	0.018	Ib	59311	-14.8	59321	-14.8	0.02	0
ZTF21abdxbgv	SN 2021qwm	15:18:25.73	+28:26:04.1	0.070	Ib/c	59369	-17.1	59395	-18.8	0.07	0
ZTF22aapisdk	SN 2022nwx	22:15:43.95	+37:16:47.0	0.020	Ib	59755	-15.8	59764	-15.9	0.41	0
ZTF22aasxgjp <sup>b</sup>	SN 2022oqm	15:09:08.21	+52:32:05.1	0.011	Ic	59772	-16.3	59785	-17.3	0.05	0
ZTF21aacufip	SN 2021vz	15:21:26.85	+36:46:04.0	0.045	Ic	59223	-17.5	59232	-18.4	0.05	0
ZTF22aaezyos	SN 2022hgg	14:10:23.70	+44:14:01.2	0.033	Ib	59688	-16.8	59713	-18.0	0.02	0
ZTF21abmlldj	SN 2021uyv	00:29:30.87	+12:06:21.0	0.094	Ib	59449	-20.3	59536	-19.6	0.18	0
ZTF18abfcmjw <sup>c</sup>	SN 2019dge	17:36:46.74	+50:32:52.1	0.021	Ib	58584	-16.3	58591	-15.6	0.07	0
ZTF20aalxlis <sup>d</sup>	SN 2020bvc	14:33:57.01	+40:14:37.6	0.025	Ic-BL	58883	-17.0	58900	-19.0	0.03	0
ZTF19aamsetj <sup>e</sup>	SN 2019cad	09:08:42.97	+44:48:46.0	0.028	Ic	58567	-17.9	58594	-19.2	0.05	1.1

**Notes.** The sources that have been mentioned previously in the literature are footnoted. The absolute magnitudes have been measured by assuming Milky Way extinction ( $A_{V,MW}$ ) and host galaxy extinction ( $A_{V,host}$ ) as described in Section 4.1. Subscripts 1 and 2 refer to the peak parameters of the first peak and second peak, respectively.

<sup>a</sup> Jacobson-Galán et al. (2022b).

<sup>b</sup> Irani et al. (2024).

<sup>c</sup> Yao et al. (2020).

<sup>d</sup> Ho et al. (2020).

<sup>e</sup> Gutiérrez et al. (2021).

**Table 2**  
Steps for Selecting Our Sample

Step	Criterion	No. of Candidates
1	Classified as SESN (except Type IIb)	475
2	Well-sampled early lightcurve	192
3	Double-peaked	19
4	Candidate has multiband photometry during the first peak	17

**Note.** See Section 2 for the details regarding each step.

sources in the sample are provided in Table 1 and Table 2 respectively.

Thus, the lower and upper limit on the rate of these events is  $\sim 17/475 = 3.5\%$  and  $\sim 17/192 = 8.8\%$  of Type Ibc(BL) SNe, respectively.

We note that the time above half-maximum of the first peak ( $t_{1/2}^{\text{first}}$ ) is  $< 8$  days for 14 SNe, while three SNe have an unusually long first peak with  $t_{1/2}^{\text{first}} > 15$  days (see Figure 1). The bolometric luminosity for these three sources (SNe 2019cad, 2022hgg, and 2021uyv) increases with time for the first peak, which is not expected for the shock-cooling phase. Hence, we believe that the powering mechanism for the first peak of these sources is not shock cooling and leave the detailed lightcurve analysis of these SNe for future work.

In Figure 2, we look at the interaction times for some SESNe where interactions were observed (Brethauer et al. 2022). We note that our sample shows interaction at earlier times compared with CSM interaction signatures for most SESNe in the literature.

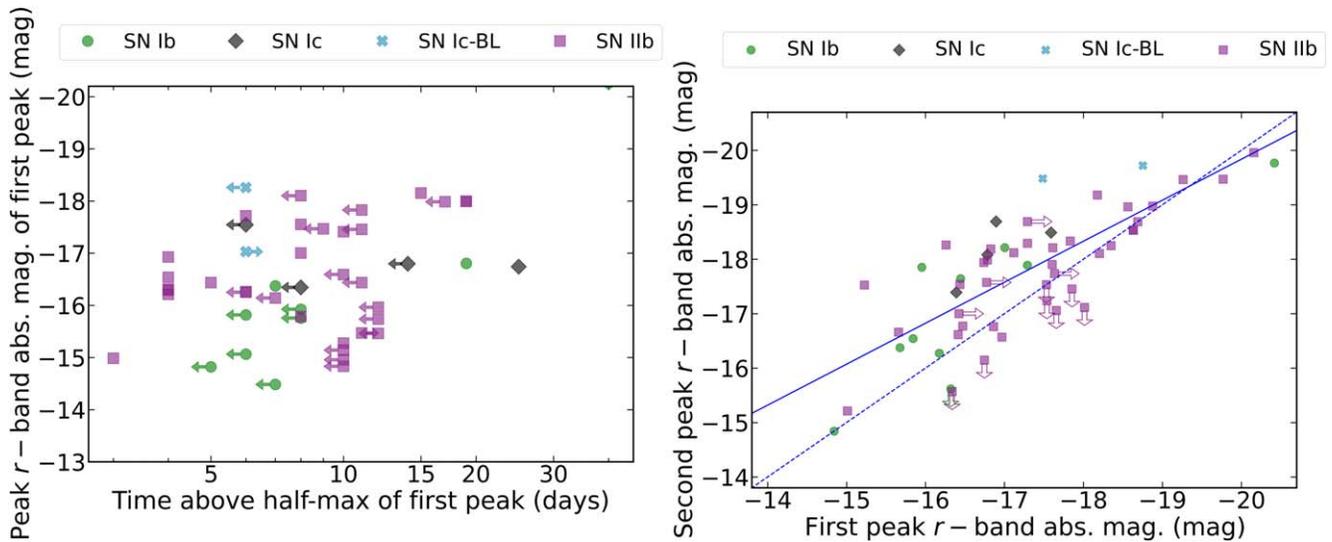
### 3. Data

In this section, we describe the photometric and spectroscopic data used.

#### 3.1. Optical Photometry

We utilize forced-photometry data from the ZTF in the  $g$ ,  $r$ , and  $i$  bands and from the Asteroid Terrestrial-impact Last Alert System (ATLAS; Tonry et al. 2018; Smith et al. 2020) in the  $c$  and  $o$  bands. In addition, photometry data are obtained from the Palomar 60 inch telescope (P60; Cenko et al. 2006), the Sinistro imager on the 1 m class and the Spectral imager on the 2 m class telescopes operated by Las Cumbres Observatory (Brown et al. 2013), and the Liverpool Telescope (LT; Steele et al. 2004) in the  $g$ ,  $r$ , and  $i$  bands. We also obtain  $u$ -,  $i$ -, and  $z$ -band photometry for a few sources from the LT. P60 and LT data were processed using the FPipe (Fremming et al. 2016) image subtraction pipeline with Sloan Digital Sky Survey (Ahn et al. 2012) and PanSTARRS (Chambers et al. 2016) reference images. Additionally, we have early-time UV data for some sources acquired from the Ultra-violet Optical Telescope (UVOT; Roming et al. 2005), which is deployed on the Neil Gehrels Swift Observatory (Gehrels et al. 2004). UVOT data are reduced using HEASOFT.<sup>25</sup> The photometry data can be found in Appendix A. Figure 3 shows the lightcurve of SN 2021gno as an example. We compare the  $r$ -band absolute magnitude of the SN with our sample in Figure 4. Similar plots for the other SNe can be found in Appendix B. Figure 5 shows the lightcurves of all the SNe.

<sup>25</sup> <https://heasarc.gsfc.nasa.gov/docs/software/heasoft>



**Figure 1.** Left: parameter space of peak magnitude of the first peak vs. time above half-maximum of the first peak  $t_{1/2}^{\text{first}}$  for all double-peaked SNe observed by ZTF as part of the BTS and the CLU survey. The Type Ibc(BL) SNe in the figure are part of the sample in this work. Right: we see a correlation in the peak magnitude of the first and second peaks of the SNe following  $M_2 = 0.8 \times M_1 - 4.7$ .  $M_1$  and  $M_2$  are the peak magnitudes of the first and second peak, respectively. The solid line shows the best-fit linear relation. We can infer from the  $y = x$  dashed line that the second peak is brighter than the first peak for most sources. The correlation could imply that the SNe that show double-peaked lightcurves have He-star progenitors that shed their envelope in binary interactions.

### 3.2. Optical Spectroscopy

We acquired spectroscopy at multiple epochs for the SNe in our sample, covering a range from 1 day to over 300 days after explosion. Each transient typically has at least one spectrum near peak luminosity for initial classification, and additional spectral follow-up was conducted as part of the ZTF surveys. Our primary classification instruments are the Spectral Energy Distribution Machine (SEDM; Blagorodnova et al. 2018) on the P60 telescope and the Double Beam Spectrograph (DBSP; Oke & Gunn 1982) on the Palomar 200 inch (P200) telescope. The DBSP spectra are reduced using the reduction pipelines described in Bellm & Sesar (2016) and Roberson et al. (2022). The SEDM data are reduced using the pipeline detailed in Rigault et al. (2019). Additionally, we obtained spectra from the Alhambra Faint Object Spectrograph and Camera on the Nordic Optical Telescope (NOT; Djupvik & Andersen 2010) and the Spectrograph for the Rapid Acquisition of Transients (SPRAT; Piascik et al. 2014). The NOT data were reduced using the PyNOT<sup>26</sup> and PypeIt (Prochaska et al. 2020) reduction pipelines, while we use the FrodoSpec pipeline (Barnsley et al. 2012) for reduction of SPRAT data. We obtain late-time nebular-phase spectra with the Low-Resolution Imaging Spectrometer (LRIS; Oke et al. 1995) on the Keck I telescope, with data reduced using the automated LPIPE (Perley 2019) pipeline. The log of the observed spectra can be found in Table 12. Figure 6 shows the spectral sequence for SN 2021gno as an example. The spectral sequences of all the sources can be found in Appendix C.

## 4. Methods and Analysis

### 4.1. Extinction Correction

For precise estimation of the luminosity and explosion properties of an SN, it is essential to determine the impact of dust extinction along the observer’s line of sight. Extinction is

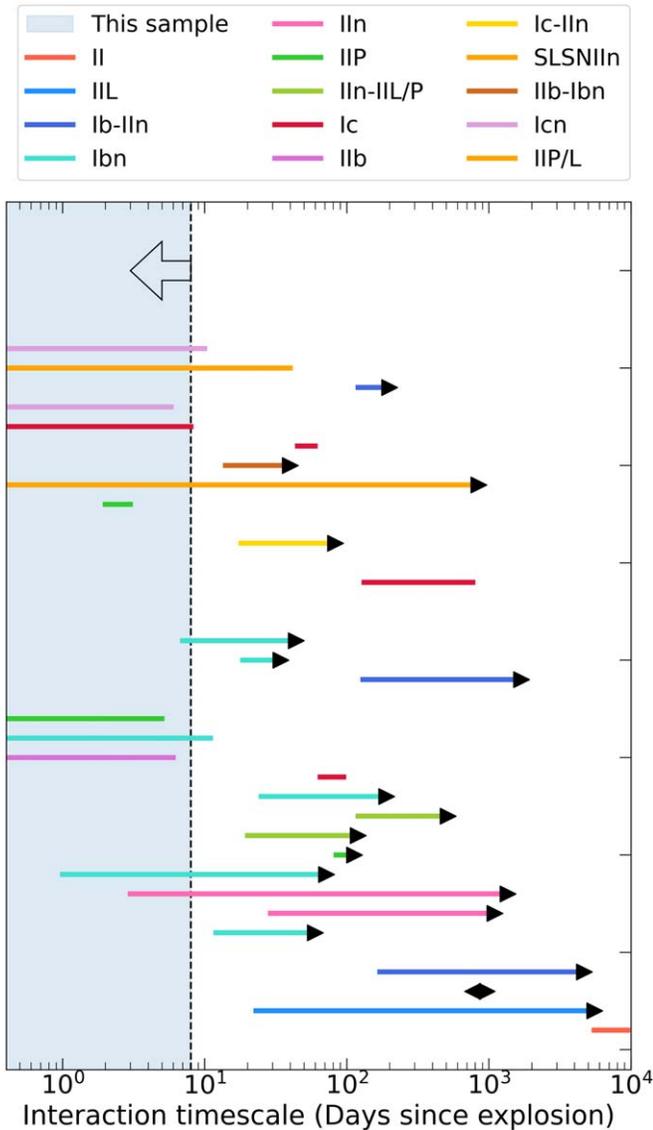
commonly divided into two components: the first component represents dust extinction from the Milky Way, while the second component accounts for extinction originating from the SN’s host galaxy. To correct for Galactic extinction, we employ the reddening maps provided by Schlafly & Finkbeiner (2011). For reddening corrections, we use the extinction law described by Cardelli et al. (1989) with a value of  $R_V = 3.1$ .

To estimate the host galaxy extinction, we measure the equivalent width (EW) of the NaID absorption feature (Poznanski 2013). We measure an  $EW_{\text{NaID}}$  of 1.5 Å, 5.5 Å, and 0.8 Å for SN 2019cad, SN 2020nng, and SN 2021nng, respectively. We do not see NaID absorption for the other sources in the high signal-to-noise spectra. Thus, we assume zero host extinction for the rest of the sources in our analysis. To compute  $A_V$  from the EW measurements, we use  $A_V^{\text{host}} [\text{mag}] = 0.78 \times EW_{\text{NaID}} [\text{Å}]$  (Stritzinger et al. 2018). We measure  $A_V^{\text{host}} = 1.2$  mag for SN 2019cad and  $A_V^{\text{host}} = 0.6$  mag for SN 2021nng. However, the empirical relation in Stritzinger et al. (2018) is not valid for the high  $EW_{\text{NaID}}$  measured for SN 2020kzs. Instead, we use the difference in the average color ( $g - r$ ) of SN 2020kzs with the color expected for typical SNe Ib with no host extinction assuming the intrinsic template for Type Ib SNe provided in Stritzinger et al. (2018). Based on this, we measure  $A_V^{\text{host}} = 1.1$  mag for SN 2020kzs.

### 4.2. Measuring Velocity in Photospheric Spectra

As described in Section 3.2, we obtain spectra soon after explosion for all sources. We use the SuperNova Identification (SNID; Blondin & Tonry 2007) code for the classifications. For spectra contaminated by the host galaxy, we utilized the `superfit` (Howell et al. 2005) code for classification. The final classification as Type Ibc SNe was determined through manual inspection of the emission and absorption lines and the best-fit templates matched from SNID or `superfit`.

<sup>26</sup> <https://github.com/jkrogager/PyNOT>



**Figure 2.** The interaction timescale for various SNe in the literature (from data compiled in Brethauer et al. 2022) showing signatures of CSM interaction including SNe Ibc, Ibn, and Icn are shown by the colored lines. The blue shaded region shows the range of timescale of the first peak for our sample consistent with shock-cooling ( $t_{1/2}^{\text{first}} < 8$  days).

We measure the expansion velocities of the He I  $\lambda 5876$  and O I  $\lambda 7774$  lines from the absorption part of the P Cygni profiles of the spectral lines. To do this, we fit a polynomial function, whose degree is manually tuned for each spectrum (typically three), to the minima of the P Cygni profiles. These minima serve as estimates for the expansion velocity. In cases where the spectrum is dominated by galaxy lines or has low resolution, we manually inspect the spectrum to determine the minima of the absorption feature. The measured velocities are documented in Table 12. We adopt a Monte Carlo approach to estimate the uncertainties in our velocity measurements. We generate a noise spectrum by subtracting a heavily smoothed version of the spectrum from the original spectrum. The standard deviation of this noise spectrum provides an estimate of the noise of the spectrum. Next, we create simulated noisy spectra by adding noise from a standard Gaussian distribution with the calculated standard deviation. We then add these simulated spectra with the heavily smoothed spectra and

recalculate the velocities. The  $1\sigma$  uncertainty in the velocity measurements across all the simulated spectra is considered as the standard deviation. Fremling et al. (2018) analyzed the spectra of a sample of 45 Type Ib SNe, 56 Type Ic SNe, 17 Type Ib/c SNe, and 55 Type IIB SNe discovered by the Palomar Transient Factory (PTF) and intermediate PTF (iPTF) surveys. We compare our measured velocities with those from Fremling et al. (2018). From Figure 7, we find that the expansion velocities of the He I  $\lambda 5876$  and O I  $\lambda 7774$  lines are consistent with those of canonical Type Ibc SNe.

#### 4.3. Measuring Oxygen Line Flux in Nebular Spectra

We obtained nebular-phase spectra for 10 sources with Keck and P200. A few of the nebular spectra for SN 2021gno and SN 2021inl were taken from Jacobson-Galán et al. (2022b) as noted in Table 3. We use interpolated late-time photometry to flux calibrate our nebular spectra. When late-time photometry is not available, we extrapolate the lightcurve by assuming a late-time ( $>30$  days)  $i$ -band decline rate of  $0.019 \pm 0.004$  mag day $^{-1}$ , based on the average late-time decay of the SESNe tabulated in Wheeler et al. (2015). For each spectrum, we manually set the wavelength regions and measure the line fluxes using trapezoidal integration. Uncertainties in this method are estimated by Monte Carlo sampling of the estimated fluxes by adding noise (scaled to nearby regions of the continuum) to the line profile. Table 3 presents the measured fluxes of [Ca II]  $\lambda\lambda 7291, 7324$  and [O I]  $\lambda\lambda 6300, 6364$ , along with their flux ratio.

#### 4.4. Modeling Lightcurves

##### 4.4.1. Blackbody Fit

We estimate the bolometric lightcurve for epochs where we have detections in at least two filters by fitting a blackbody function. For each epoch, we use a Python EMCEE package (Foreman-Mackey et al. 2013) to perform a Markov Chain Monte Carlo analysis in order to estimate the blackbody temperature ( $T_{\text{BB}}$ ), radius ( $R_{\text{BB}}$ ), and luminosity ( $L_{\text{BB}}$ ). The uncertainties of the model parameters are determined by extracting the 16th and 84th percentiles of the posterior probability distribution. We note that UV coverage is only available for SNe 2020bvc, 2022oqm, and 2021gno. For these three SNe, the blackbody fit is done on the available UV –optical photometry. For the rest, the blackbody fit is done on the available optical photometry only. The best-fit parameters can be found in Appendix D.

##### 4.4.2. Fitting Shock Cooling in First Peak

In our sample, all sources exhibit a lightcurve characterized by two distinct peaks. The rapid rise of the first peak, accompanied by an initial blue color and high temperature, indicates that the first peak is likely dominated by cooling emissions from the shock-heated extended envelope (Nakar & Piro 2014; Piro 2015). We plot the peak luminosity versus time above half-maximum in Figure 1. The same is shown for the first peak of all double-peaked Type IIB SNe (not part of the sample in this work) from BTS+CLU. We note that 43 out of 193 Type IIB SNe had detections of two peaks (K. K. Das et al. 2024, in preparation). In the right panel of Figure 1, we plot the peak  $r$ -band magnitude of the first peak versus the peak  $r$ -band magnitude of the second peak. For the first time, we find that a

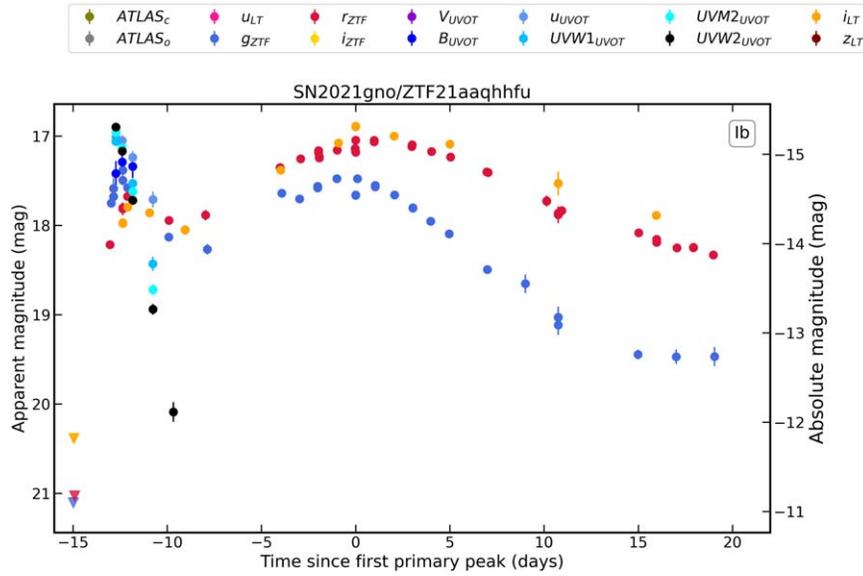


Figure 3. Lightcurve of SN 2021gno ( $E(B - V)_{MW} = 0.01$ ). The lightcurves of the other SNe can be found in Appendix B.

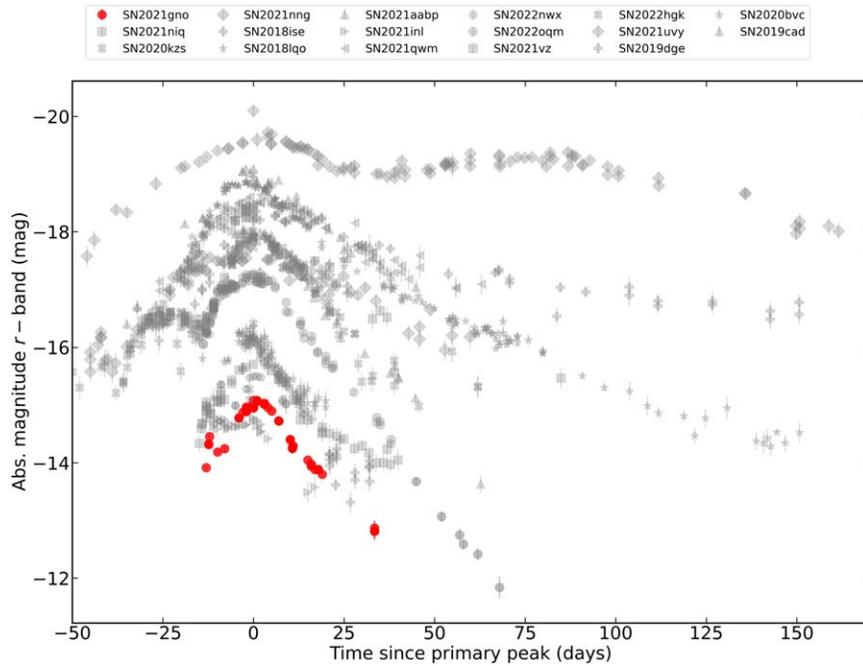
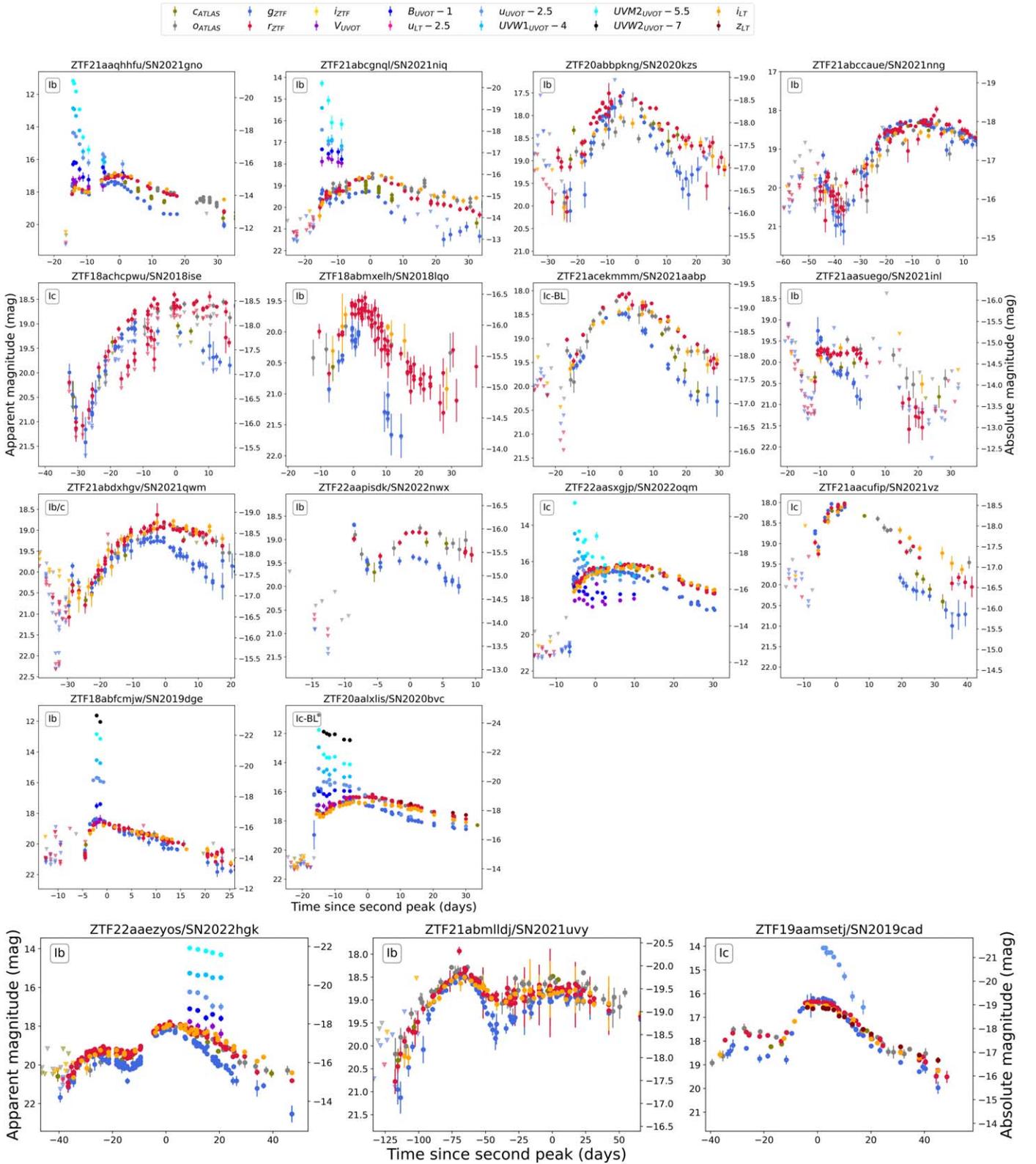


Figure 4. Comparison of the  $r$ -band absolute magnitude lightcurve of SN 2021gno to the other sources in the sample. The comparison for the other sources can be found in Appendix B.

correlation exists between the peak magnitudes of the first and the second peak. The Pearson correlation coefficient is 0.79 ( $p < 10^{-5}$ ). A similar correlation is also seen for the  $g$  band, with a Pearson correlation coefficient of 0.81 ( $p < 10^{-5}$ ). The physical reason for this correlation is not clear. The first-peak brightness is primarily dependent on the radius of the progenitor, while the peak of the second peak is primarily dependent on the Ni mass. The correlation could imply that the SNe that show double-peaked lightcurves come from He-star progenitors that shed their envelope in binary interactions. Then, this correlation could be related to the He main sequence (see Figure 5 in Sravan et al. 2020), with the progenitor radius being related to the effective temperature and the Ni mass being related to the luminosity. This would require that the Ni mass is

correlated with the ejecta mass (Lyman et al. 2016). This assumes that SESNe come from He stars. Such a correlation could also exist if the first peak is also powered by nickel. This is possible if nickel is not entirely in the core but is also present in the outer envelope. However, it is unlikely that this trace amount of nickel can make a significant contribution to the early luminosity. We note that our survey is biased against sources that have a very faint first-peak luminosity.

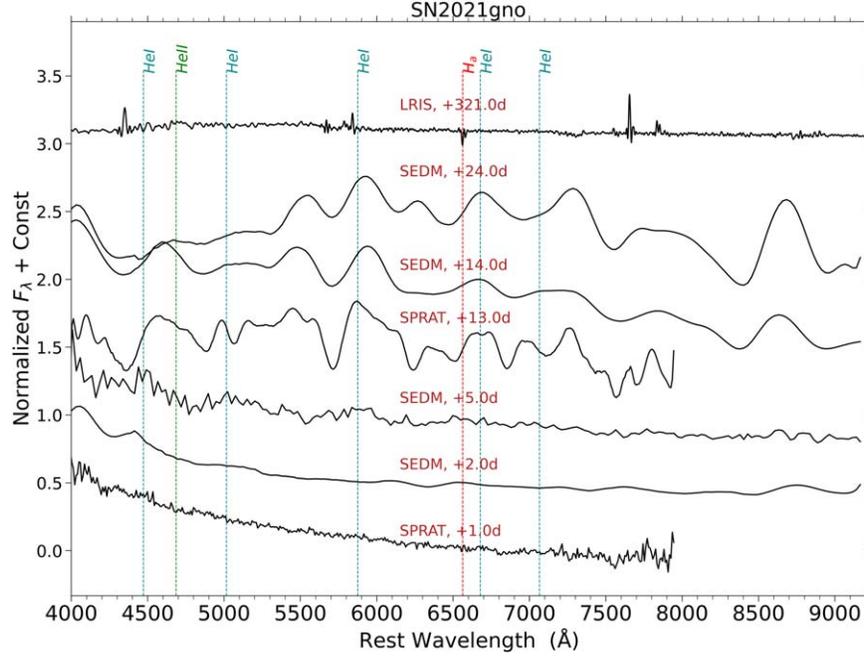
It is important to note that some of the SNe in our sample do not have well-sampled first peaks in both the rising and fading phases. To fit the multiband photometry in the shock-cooling phase, we use the model proposed by Piro et al. (2021). This model allows us to determine key parameters, such as the explosion time ( $t_{exp}$ ), extended material mass ( $M_{ext}$ ), radius



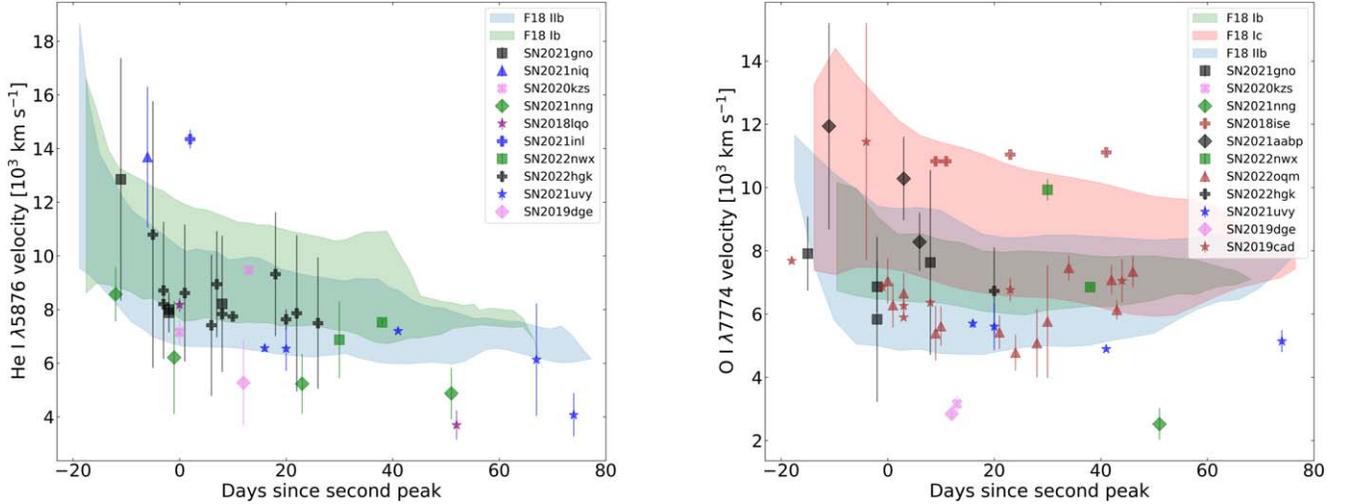
**Figure 5.** Top: lightcurves of double-peaked Type Ibc SNe in our sample, obtained through forced photometry from ZTF and ATLAS and follow-up observations from various instruments. Further details on the photometry can be found in Section 3.1. The left y-axis represents the apparent magnitude, while the right y-axis shows the absolute magnitude. The absolute magnitude measurements assume Milky Way and host extinction values from Table 1. The x-axis shows the number of rest-frame days since the epoch of the second peak. Bottom: the three SNe in the bottom row have an unusually long first peak with  $t_{1/2}^{\text{first}} > 15$  days. We leave the detailed lightcurve analysis of these SNe for future work.

( $R_{\text{ext}}$ ), and energy ( $E_{\text{ext}}$ ). We use the Python EMCEE package (Foreman-Mackey et al. 2013) to perform a multiband photometry data fitting analysis. We add a systematic error of

50% to account for uncertainties in the density and opacity assumptions used in the model. Table 4 and Appendix E provide the best-fit values and corresponding fits for each SN.



**Figure 6.** Spectral sequence for SN 2021gno (Type Ib) taken as part of the ZTF and CLU surveys. See Section 4.2 for details on the spectra obtained. Spectral sequences for the other sources can be found in Appendix C.



**Figure 7.** Left: the filled shapes represent the expansion velocity of the He I  $\lambda 5876$  line for each SN in our sample. The blue shaded region indicates the  $1\sigma$  range of the ejecta velocities calculated for a sample of canonical Type IIb and Type Ibc SNe from Fremling et al. (2018). Right: the filled shapes represent the expansion velocity of the O I  $\lambda 7774$  line for each SN in our sample. Again, the blue shaded region represents the  $1\sigma$  range of the ejecta velocities calculated for a sample of normal Type IIb and Type Ibc SNe from Fremling et al. (2018).

In Figure 8, we compare the best-fit parameters with those for some H-poor SNe for which CSM interactions were detected. These CSM radii cover a wide range of distances from the explosion site, from  $\sim 3 \times 10^{13}$  to  $10^{18}$  cm. The range of inferred CSM masses is also broad, spanning from  $\sim 10^{-4} M_{\odot}$  up to tens of  $M_{\odot}$  of material (Figure 8). We note that the physical parameters have been estimated with a variety of observational “tracers.”

#### 4.4.3. Shock-cooling Order-of-magnitude Limits for the First Peak

We make the assumption that the layer going through shock cooling has a radius  $R_{\text{ext}}$  and mass  $M_{\text{ext}}$ . The expansion timescale is  $t_{\text{exp}} \sim R_{\text{ext}}/v_{\text{ext}}$ , where  $v_{\text{ext}}$  is the velocity of this

layer. Photons undergo diffusion from this layer within a timescale approximately given by  $t_{\text{diff}} \sim \tau R_{\text{ext}}/c$ . The bulk of the photons emerge from the layer where  $t_{\text{exp}} = t_{\text{diff}}$  or  $\tau \sim c/v_{\text{ext}}$ .

We assume  $\rho \sim M_{\text{ext}}/(4\pi R^3/3)$ . At a specific radius, the optical depth  $\tau$  decreases as a result of expansion:  $\tau \sim \kappa \rho R$ . The radius increases as  $R \sim v_{\text{ext}} t$ , so  $\tau \sim 3\kappa M_{\text{ext}}/(4\pi (v_{\text{ext}} t)^2)$ . Setting this equal to  $c/v_{\text{ext}}$ ,

$$t \sim \left( \frac{3}{4\pi} \frac{\kappa M_{\text{ext}}}{v_{\text{ext}} c} \right)^{1/2}. \quad (1)$$

We have an upper limit on the time to peak of  $t_p$  as the epoch of the first peak calculated from the analytical model described

**Table 3**  
Summary of the Nebular Properties

Source	Spectra Tel.+Inst.	Phase (days since primary peak)	[Ca II]/[O I] Flux Ratio	[O I] Lum. ( $10^{38}$ erg $s^{-1}$ )	O Mass ( $M_{\odot}$ )
ZTF21aaqhffu/SN 2021gno <sup>a</sup>	Keck + LRIS	84	$8.97 \pm 1.79$	$3.9 \pm 0.4$	0.1–0.3
ZTF18achepwu/SN 2018ise	Keck + LRIS	120	$0.93 \pm 0.08$	$303.45 \pm 12.11$	7.5–20.0
ZTF18abmxelh/SN 2018lqo	Keck + LRIS	52	>50	<1.6	<0.1
ZTF21aasuego/SN 2021inl <sup>a</sup>	Keck + LRIS	111	$4.39 \pm 0.88$	$8.2 \pm 0.4$	0.2–0.6
ZTF22aapisdk/SN 2022nwx	Keck + LRIS	86	$10.95 \pm 1.15$	$7.62 \pm 0.90$	0.2–0.5
ZTF22aasxgjp/SN 2022oqm	P200 + DBSP	74	>22	<0.17	<0.01
ZTF22aaezyos/SN 2022hgg	Keck + LRIS	75	$1.13 \pm 0.04$	$104 \pm 1.4$	2.6–7.4
ZTF21abmldj/SN 2021uvy	Keck + LRIS	426	$3.38 \pm 0.15$	$81 \pm 8$	0.6–3.1
ZTF18abfcmjw/SN 2019dge	Keck + LRIS	83	$1.22 \pm 0.19$	$2.44 \pm 0.33$	0.06–0.1

**Note.**

<sup>a</sup> From Jacobson-Galán et al. (2022b).

**Table 4**  
Shock-cooling Modeling

Source	$E_{\text{ext}}$ ( $\times 10^{49}$ erg)	$R_{\text{ext}}$ $R_{\odot}$	$M_{\text{ext}}$ ( $\times 10^{-2} M_{\odot}$ )	$t_{\text{exp}}$ JD
ZTF21aaqhffu/SN 2021gno	$0.70^{+0.06}_{-0.06}$	$101.19^{+8.32}_{-7.43}$	$4.10^{+0.9}_{-0.9}$	$59292.29^{+0.05}_{-0.05}$
ZTF21abcgnql/SN 2021niq	$4.71^{+1.00}_{-0.86}$	$36.64^{+7.67}_{-5.82}$	$11.26^{+1.02}_{-1.01}$	$59355.80^{+0.10}_{-0.12}$
ZTF20abbpkng/SN 2020kzs	$34.24^{+33.39}_{-20.59}$	$76.11^{+139.24}_{-37.44}$	$17.79^{+6.28}_{-5.80}$	$58980.47^{+0.31}_{-0.50}$
ZTF21abcaue/SN 2021nng	$93.44^{+4.84}_{-9.73}$	$52.40^{+6.67}_{-4.83}$	$188.21^{+26.63}_{-24.96}$	$59333.35^{+0.26}_{-0.35}$
ZTF18achepwu/SN 2018ise	$23.42^{+25.90}_{-10.53}$	$57.76^{+77.12}_{-61.14}$	$15.08^{+12.93}_{-12.20}$	$58420.81^{+0.81}_{-0.96}$
ZTF18abmxelh/SN 2018lqo	$7.57^{+3.38}_{-2.80}$	$75.29^{+75.72}_{-59.76}$	$21.77^{+13.34}_{-15.13}$	$58336.50^{+0.50}_{-0.35}$
ZTF21acekmmm/SN 2021aabp	$79.40^{+4.47}_{-4.41}$	$96.79^{+53.49}_{-52.93}$	$24.90^{+12.61}_{-12.81}$	$59484.01^{+0.02}_{-0.01}$
ZTF21aasuego/SN 2021inl	$3.90^{+2.91}_{-2.38}$	$39.85^{+73.71}_{-33.17}$	$15.94^{+13.53}_{-11.87}$	$59308.66^{+0.26}_{-0.77}$
ZTF21abdvhgv/SN 2021qwm	$12.62^{+9.28}_{-5.45}$	$350.65^{+488.49}_{-334.14}$	$21.41^{+13.34}_{-14.49}$	$59366.17^{+0.60}_{-0.68}$
ZTF22aapisdk/SN 2022nwx	$45.39^{+36.30}_{-29.55}$	$5.16^{+10.20}_{-4.43}$	$13.39^{+11.27}_{-10.84}$	$59754.55^{+0.21}_{-0.43}$
ZTF22aasxgjp/SN 2022oqm	$15.58^{+0.15}_{-0.15}$	$37.81^{+0.41}_{-0.39}$	$7.94^{+1.1}_{-2.3}$	$59770.23^{+0.1}_{-0.2}$
ZTF21aacufjp/SN 2021vz	$46.36^{+25.01}_{-18.70}$	$77.29^{+99.91}_{-67.37}$	$11.10^{+8.35}_{-8.02}$	$59221.50^{+0.33}_{-0.33}$
ZTF18abfcmjw/SN 2019dge	$5.73^{+0.25}_{-0.27}$	$146.04^{+79.39}_{-78.92}$	$11.05^{+6.17}_{-6.16}$	$58580.25^{+0.02}_{-0.02}$
ZTF20aalxlis/SN 2020bvc	$67.18^{+2.94}_{-3.31}$	$118.21^{+65.45}_{-64.20}$	$19.55^{+10.13}_{-10.18}$	$58881.00^{+0.3}_{-0.3}$

in the previous section. We take  $\kappa = 0.2 \text{ cm}^2 \text{ g}^{-1}$  for a hydrogen-poor gas and  $v_{\text{ext}} \sim 0.1c$ . Altogether, we find  $M_{\text{ext}} \sim 0.01\text{--}1 M_{\odot}$ . Note that the predicted values are upper limits because the rise time was likely faster than our measurements. The limits are listed in Table 5. The values obtained from the analytical model described in the previous section are consistent with the limits obtained.

Next, we estimate the radius  $R_{\text{ext}}$ . If the shock deposits energy  $E_{\text{dep}}$  into the layer, which then cools from expansion, we can estimate the energy  $E_{\text{cool}} \sim E_{\text{dep}}(R_{\text{ext}}/v_{\text{ext}}t)$ . Thus, the luminosity from cooling is  $L_{\text{cool}} \sim E_{\text{dep}}R_{\text{ext}}/v_{\text{ext}}t^2$ . We assume that the deposited energy is half the kinetic energy  $E_{\text{KE}}$  of the shock,  $E_{\text{dep}} = \pi R_{\text{ext}}^2 dR \rho v_s^2$ , where  $\rho$  and  $dR$  are the density and width of the layer. Taking  $\rho \sim M_{\text{ext}}/(4\pi R_{\text{ext}}^2 dR)$  and  $dR \approx R_{\text{ext}}$ , we find

$$L_{\text{cool}} \sim \frac{v_{\text{ext}} R_{\text{ext}} M_{\text{ext}}}{4t^2}. \quad (2)$$

Taking the above  $M_{\text{ext}}$ ,  $t_p$  values,  $v_{\text{ext}} = 0.1c$ , and lower limits on the peak luminosity from the bolometric blackbody fits, we find  $R_{\text{ext}}$  in the range  $\approx 10\text{--}200 R_{\odot}$ . We can only measure a lower limit on the radius because the true peak luminosity is likely higher than what we can measure.

The limits are listed in Table 5. The values obtained from the analytical model described in the previous section are consistent with the limits obtained.

#### 4.4.4. Ruling Out Shock Breakout from CSM for the First Peak

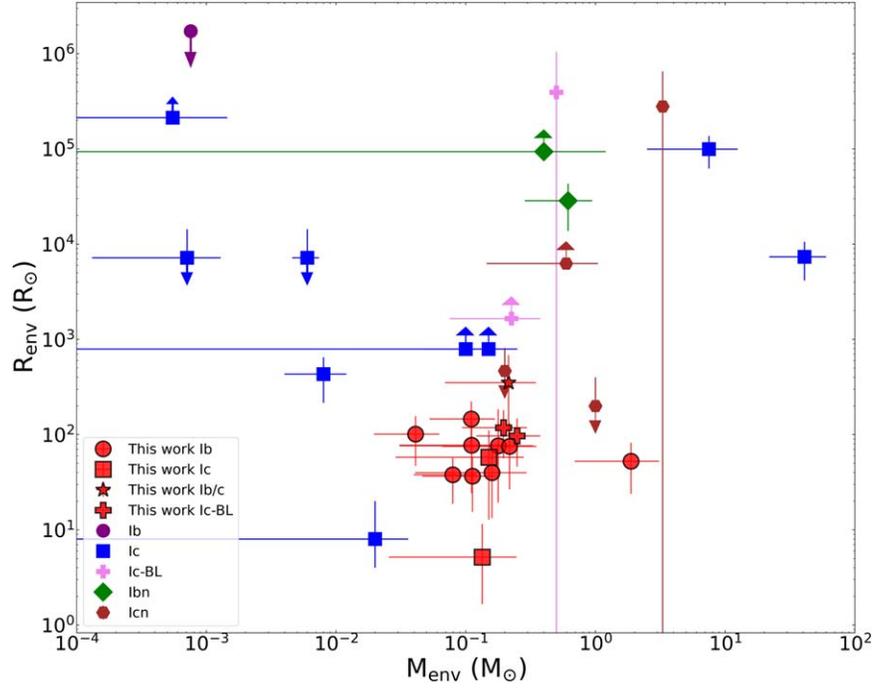
In this section, we conduct a rough estimation to determine if the rise time and peak luminosity can be accounted for by a model in which shock interaction powers the lightcurve (“wind shock breakout”).

The shock-crossing timescale is  $t_{\text{cross}} \sim R_{\text{CSM}}/v_s$ , which is  $\sim 0.01$  day, assuming a shock velocity ( $v_s \approx 0.1c$ ) for the observed radius range, which is around 2 orders of magnitude less than the observed timescale. The estimated limits are listed in Table 6.

The shock heats the CSM with an energy density that is roughly half of the kinetic energy of the shock, so the energy density of the CSM  $\sim (1/2)(\rho v_s^2/2)$ . The luminosity is the total energy deposited divided by  $t_{\text{cross}}$ ,

$$L_{\text{BO}} \sim \frac{v_s^3 dM}{4 dR}, \quad (3)$$

which is  $>10^{44}$  erg, again a few orders higher than the observations, assuming a constant density.



**Figure 8.** Comparison of the CSM parameters of the sample in this paper with the CSM properties of other SNe in the literature (from Brethauer et al. 2022). We note that the physical parameters have been estimated with a variety of observational “tracers” and hence probe different regions of the CSM.

**Table 5**  
Order-of-magnitude Shock-cooling CSM Estimates

Source	$M_{\text{CSM}}$ ( $M_{\odot}$ )	$R_{\text{CSM}}$ ( $R_{\odot}$ )
SN 2021gno	0.02	10
SN 2021niq	0.20	10
SN 2020kzs	0.53	20
SN 2021nng	0.06	40
SN 2018ise	0.05	60
SN 2018lqo	1.23	10
SN 2021aabp	0.34	60
SN 2021inl	0.04	10
SN 2021qwm	0.07	220
SN 2022nwx	0.03	10
SN 2022oqm	0.01	30
SN 2021vz	0.28	1030
SN 2022hgw	0.18	20
SN 2021uvy	11.31	260
SN 2019dge	0.06	30
SN 2020bvc	0.01	210
SN 2019cad	0.86	20

**Table 6**  
Order-of-magnitude Shock-breakout CSM Estimates

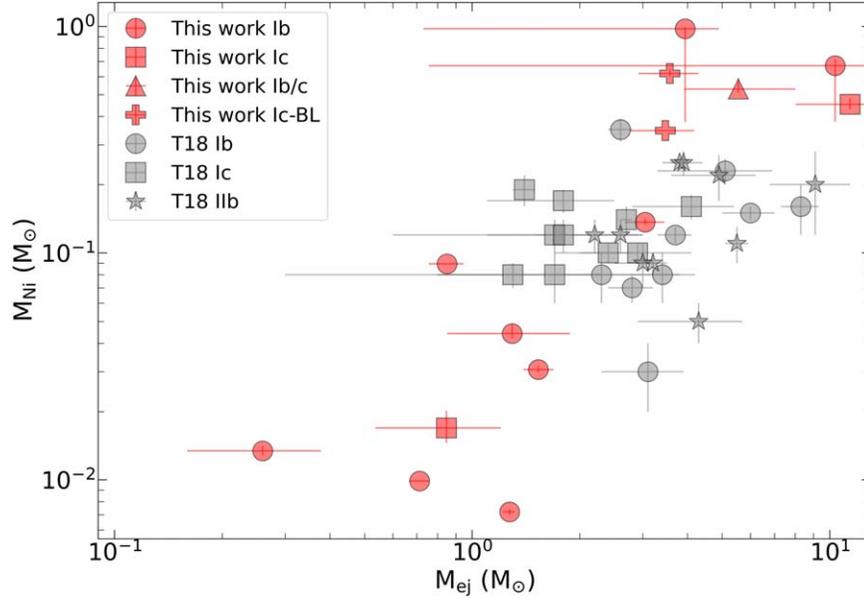
Source	$M_{\text{CSM}}$ ( $10^{-3} M_{\odot}$ )	$R_{\text{CSM}}$ ( $R_{\odot}$ )
SN 2021gno	0.19	5900
SN 2021niq	0.11	19,400
SN 2020kzs	0.13	3100
SN 2021nng	0.69	10,800
SN 2018ise	1.13	9400
SN 2018lqo	0.03	47,400
SN 2021aabp	0.40	25,000
SN 2021inl	0.15	8900
SN 2021qwm	3.45	11,300
SN 2022nwx	0.22	6800
SN 2022oqm	1.26	4700
SN 2021vz	8.12	22,500
SN 2022hgw	0.15	18,500
SN 2021uvy	0.31	143,700
SN 2019dge	0.53	10,800
SN 2020bvc	7.26	5000
SN 2019cad	0.1	39,500

Therefore, considering shock velocities ( $0.1c$ ) comparable to the observed expansion of the photospheric radius, Table 6 indicates that we would require higher values for  $M_{\text{CSM}}$  than what is expected for unbound CSM. Thus, we rule this out as a possible explanation for the early bump.

#### 4.4.5. Modeling the Radioactively Powered Second Peak

In this section, we describe the modeling of the second peak of the SNe. First, we estimate the contribution of the cooling emission to the bolometric luminosity using the best-fit parameters obtained in Section 4.4.2. This cooling component is then subtracted from the bolometric lightcurves obtained

through blackbody fitting. We employ two methods to fit the peak, assuming it is powered by radioactive decay. First, we apply the analytical model outlined in Arnett et al. (1989), Valenti et al. (2008), and Wheeler et al. (2015). Using this model, we constrain the characteristic photon diffusion timescale ( $\tau_m$ ), characteristic  $\gamma$ -ray diffusion timescale ( $t_o$ ), and nickel mass ( $M_{\text{Ni}}$ ). Additionally, we use relations from Wheeler et al. (2015) that provide the kinetic energy in the ejecta ( $E_{\text{kin}}$ ) and the ejecta mass ( $M_{\text{ej}}$ ) as functions of photospheric velocity ( $v_{\text{ph}}$ ) and ( $\tau_m$ ). We use the  $v_{\text{ph}}$  measured using the average He I line and O I velocity from the photospheric spectra within 5 days of the second-peak epoch for Type Ib and Type Ic(BL) SNe, respectively, listed in Section 4.2. If there are no velocity



**Figure 9.** The distribution of measured  $^{56}\text{Ni}$  mass vs. ejecta mass for SNe in this sample compared to normal Type Ibc and Iib SNe from Taddia et al. (2018).

**Table 7**  
Summary of the Best-fit Physical Parameters Obtained by Radioactive Decay Modeling of the Second Peak of the SNe in Our Sample

Source	$M_{\text{Ni}-\text{Ar}}$ ( $0.01 M_{\odot}$ )	$M_{\text{Ni}-\text{KK}}$ ( $0.01 M_{\odot}$ )	$\tau_m$ (days)	Velocity ( $\text{km s}^{-1}$ )	$M_{\text{ej}-\text{Ar}}$ ( $M_{\odot}$ )	$M_{\text{ej}-\text{KK}}$ ( $M_{\odot}$ )	$E_{\text{kin}}$ ( $10^{51}$ erg)	$t_0$ (days)
SN 2021gno	$1.01^{+0.11}_{-0.11}$	1.09	$8.99^{+0.01}_{-0.01}$	$7940.0 \pm 500.0$	$0.71^{+0.05}_{-0.05}$	0.53	$0.27^{+0.03}_{-0.04}$	$38.57^{+2.72}_{-3.68}$
SN 2021niq	$4.42^{+0.49}_{-0.49}$	5.20	$12.07^{+1.45}_{-0.89}$	$8000 \pm 1600.0$	$1.29^{+0.59}_{-0.44}$	0.88	$0.49^{+0.13}_{-0.07}$	$50.24^{+33.85}_{-15.88}$
SN 2020kzs	$13.66^{+1.50}_{-1.50}$	18.31	$19.59^{+0.69}_{-0.62}$	$7150.0 \pm 430.0$	$3.04^{+0.40}_{-0.37}$	1.68	$0.93^{+0.07}_{-0.06}$	$60.55^{+2.68}_{-2.60}$
SN 2021nng	$67.09^{+4.70}_{-29.11}$	72.17	$38.76^{+1.00}_{-13.86}$	$6210.0 \pm 2110.0$	$10.35^{+4.06}_{-9.60}$	2.85	$2.38^{+0.12}_{-1.40}$	$44.81^{+28.06}_{-2.37}$
SN 2018ise	$45.24^{+2.86}_{-2.15}$	100.36	$35.83^{+2.10}_{-1.77}$	$8000 \pm 1600.0$	$11.40^{+3.65}_{-3.37}$	6.05	$4.35^{+0.53}_{-0.42}$	$88.85^{+7.83}_{-10.36}$
SN 2018lqo	$3.06^{+0.34}_{-0.34}$	3.61	$13.00^{+0.45}_{-0.40}$	$8170.0 \pm 260.0$	$1.53^{+0.16}_{-0.14}$	0.99	$0.61^{+0.04}_{-0.04}$	$37.60^{+2.15}_{-2.10}$
SN 2021aabp	$61.94^{+2.92}_{-2.10}$	76.66	$17.69^{+0.63}_{-0.48}$	$10,280.0 \pm 1320.0$	$3.57^{+0.72}_{-0.65}$	1.95	$2.25^{+0.16}_{-0.12}$	$41.16^{+2.28}_{-2.48}$
SN 2021inl	$0.72^{+0.08}_{-0.08}$	0.77	$8.94^{+0.04}_{-0.09}$	$14,350.0 \pm 350.0$	$1.27^{+0.04}_{-0.06}$	0.91	$1.56^{+0.02}_{-0.03}$	$23.89^{+2.73}_{-2.20}$
SN 2021qwm	$52.86^{+6.37}_{-2.11}$	82.22	$25.00^{+2.87}_{-1.22}$	$8000 \pm 1600.0$	$5.55^{+2.46}_{-1.64}$	2.92	$2.12^{+0.51}_{-0.20}$	$68.20^{+13.49}_{-12.05}$
SN 2022nwx	$1.69^{+0.19}_{-0.19}$	1.16	$9.77^{+1.02}_{-0.86}$	$8000 \pm 1600.0$	$0.85^{+0.36}_{-0.31}$	0.36	$0.32^{+0.07}_{-0.05}$	$13.06^{+1.48}_{-1.22}$
SN 2022oqmq	$8.94^{+0.98}_{-0.98}$	10.18	$10.73^{+0.04}_{-0.04}$	$6660.0 \pm 690.0$	$0.85^{+0.09}_{-0.09}$	0.60	$0.23^{+0.00}_{-0.00}$	$36.51^{+0.18}_{-0.18}$
SN 2021vz	$97.55^{+10.73}_{-59.80}$	34.23	$21.07^{+0.43}_{-7.99}$	$8000 \pm 1600.0$	$3.94^{+0.95}_{-3.21}$	0.68	$1.50^{+0.06}_{-0.92}$	$14.60^{+16.20}_{-0.54}$
SN 2019dge	$1.34^{+0.15}_{-0.15}$	1.40	$5.41^{+0.65}_{-0.53}$	$8000 \pm 1600.0$	$0.26^{+0.12}_{-0.10}$	0.23	$0.10^{+0.03}_{-0.02}$	$21.77^{+0.65}_{-0.65}$
SN 2020bvc	$34.65^{+3.81}_{-3.81}$	40.44	$13.18^{+0.03}_{-0.03}$	$18,000 \pm 3600.0$	$3.47^{+0.71}_{-0.71}$	2.18	$6.70^{+0.03}_{-0.03}$	$41.84^{+0.16}_{-0.16}$

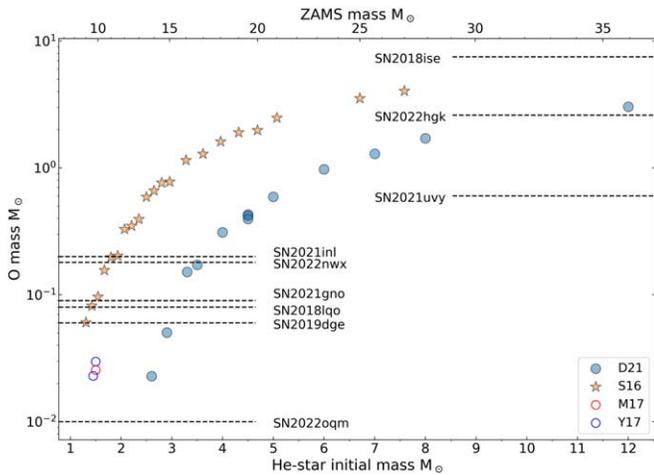
**Note.** The “Ar” subscript refers to the Arnett et al. (1989) model, while the “KK” subscript refers to the Khatami & Kasen (2019) model. The photospheric velocity is estimated as described in Section 4.4.5.

measurements available from spectra within 5 days of the second peak, we assume an average velocity of  $8000 \text{ km s}^{-1}$ . For SN 2020bvc, we use  $v_{\text{ph}} = 18,000 \text{ km s}^{-1}$  derived in Ho et al. (2020). Second, we use the lightcurve analytical models given in Khatami & Kasen (2019) to estimate the various explosion parameters. Further details on the model fitting can be found in Yao et al. (2020, their Appendix B). Figure 9 shows the parameter space occupied by these transients with ejecta mass varying from  $\approx 0.2$  to  $7 M_{\odot}$  and nickel mass varying from  $0.01$  to  $0.5 M_{\odot}$ . For SN 2021inl, we note that the estimated ejecta mass and kinetic energy values are higher than those estimated in Jacobson-Galán et al. (2022b), as they used a lower photospheric velocity of  $7500 \text{ km s}^{-1}$ , instead of the  $14,350 \text{ km s}^{-1}$  used in this work. We compare the ejecta mass and nickel mass with those from Taddia et al. (2018) in Figure 9. The best-fit parameters and fits are provided in Table 7 and Appendix F.

## 5. Constraining Progenitor Mass

The late-time evolution of a star, including pre-SN mass loss, is strongly dependent on the progenitor mass. In this section, we try to provide rough estimates of the progenitor mass based on the nebular spectra and the lightcurves.

We have at least one nebular spectrum for 10 SNe obtained using LRIS on the Keck I telescope. Using the procedure described in Section 4.3, we calculate the  $[\text{Ca II}] \lambda\lambda 7291, 7324$  to  $[\text{O I}] \lambda\lambda 6300, 6364$  flux ratio and determine the  $[\text{O I}] \lambda\lambda 6300, 6364$  fluxes (Table 3). Next, we use these  $[\text{O I}]$  luminosity measurements to compute the oxygen abundance and, subsequently, the progenitor mass. To determine the minimum required oxygen mass for a given  $[\text{O I}]$  luminosity, we use the analytical relation in Uomoto (1986). This analytical formula is applicable where the electron density is higher than  $\sim 7 \times 10^5 \text{ cm}^{-3}$ . This is estimated to be valid for our case, with



**Figure 10.** The O mass measurements are depicted by horizontal dashed lines. The O yields from the He-star progenitor models, assuming binary evolution (Dessart et al. 2021), are represented by filled blue dots. The O yields from the single-star models (Sukhbold et al. 2016) are indicated by filled orange stars. Additionally, we show the O mass predictions from nucleosynthetic models of lower ZAMS stars from USSNe models by Moriya et al. (2017) and Yoshida et al. (2017).

ejecta mass in the range of  $0.3\text{--}6 M_{\odot}$ . We use temperature values of  $\approx 3500\text{--}4000$  K estimated in other CCSNe from the [O I] emission (Sollerman et al. 1998; Elmhamdi 2011). Using this, we get an estimate of the O mass in our sample in the range of  $\approx 0.001\text{--}1 M_{\odot}$  (see Table 3).

We use these O mass estimates to constrain the progenitor mass. To achieve this, we refer to the work of Dessart et al. (2021), who conducted 1D nonlocal thermodynamic equilibrium radiative transfer calculations specifically for nebular-phase stripped SNe. Strong [Ca II] and weak [O I] emission is predicted for lower-mass He stars. The high [Ca II]/[O I] flux ratio we observe for SNe 2021gno, 2021inl, 2022nwx, 2022oqm, and 2018lqo in our sample is indicative of a low initial He-star mass progenitor. In Figure 10, we present a comparison of the measured O mass in our sample and the synthesized O mass obtained from He-star progenitor models from both binary evolution (Dessart et al. 2021) and single-star models (Sukhbold et al. 2016). Of the 14 SNe consistent with shock cooling, we find that the SNe with progenitor mass less than  $12 M_{\odot}$  are SNe 2019dge, 2021gno, 2021inl, 2022nwx, 2022oqm, and 2018lqo. To determine the progenitor mass from the He-star mass, we use the relation provided in Woosley & Heger (2015).

In order to make a comparison with such low progenitor masses, we also consider estimates of the O synthesized in the case of USSNe. USSNe arise from low-mass He stars ( $<3.5 M_{\odot}$ ) that have been highly stripped by a binary companion in a close orbit, leaving behind CO cores with approximate masses ranging from  $1.45$  to  $1.6 M_{\odot}$  at the time of the explosion (Tauris et al. 2015). It is worth noting that the CO core mass serves as a reliable indicator of the zero-age main-sequence (ZAMS) mass, as it remains unaffected by binary stripping (Fransson & Chevalier 1989; Jerkstrand et al. 2014, 2015). We find that the O yields for the CO cores of USSNe are higher than five SNe in our sample (see Figure 10).

We caution that these measurements assume that the radioactive energy deposited in the O-rich shells is primarily released through cooling in the [O I] lines. However, the presence of impurity species can affect the [O I] luminosities.

For example, Dessart & Hillier (2020) showed that if Ca is mixed into the O-rich regions, the [O I] line emissions are weakened. Nevertheless, extensive studies of CCSNe have indicated that mixing is not significant in these events. Detailed modeling of CCSNe has revealed that the [Ca II] lines are the primary coolant in the Si-rich layers, while the emission from [O I] originates from the outer layers rich in oxygen, formed during the hydrostatic burning phase (Jerkstrand et al. 2015; Dessart & Hillier 2020). Additionally, Polin et al. (2021) have demonstrated that even a contamination of 1% level of Ca can cool a nebular region entirely through [Ca II] emission. Thus, if these ejecta regions were mixed, it would be challenging to observe the emission of the [O I] line.

We note that the low ejecta mass ( $\lesssim 1.5 M_{\odot}$ ) for SNe 2021gno, 2021inl, 2022nwx, 2018lqo, and 2021niq is consistent with those predicted for the lower end of the He-star mass stars based on predicted ejecta properties of H-poor stars (e.g., Dessart et al. 2021). Nebular spectra estimates for all of the above SNe are also consistent with low ZAMS mass, except for SN 2021niq, for which we do not have any nebular spectra. Also, for SN 2022oqm, the ejecta mass is not consistent with the progenitor mass estimate from the nebular spectra. In this paper, we consider SN 2021gno, SN 2021niq, SN 2021inl, SN 2022nwx, SN 2018lqo, and SN 2019dge as potential SNe with progenitor masses less than  $\sim 12 M_{\odot}$ .

## 6. Mass-loss Scenarios

In the previous sections, we presented the results from the analysis of our double-peaked Type Ibc(BL) sample that included lightcurve and spectral properties. In this section, we try to understand the physical process that gave rise to the first peak. The early bump is most likely due to interaction with the external stellar material that is part of the extended bound envelope of a massive star or unbound material ejected in a pre-SN mass-loss event. There is other evidence for CSM interaction for some of the SNe in our sample. For example, Jacobson-Galán et al. (2022b) measured a CSM mass of  $0.3\text{--}1.6 \times 10^{-3} M_{\odot}$  that extends up to  $5 \times 10^{14}$  cm. Luminous X-ray and radio counterparts were observed for SN 2020bvc (Ho et al. 2020). Irani et al. (2024) predict the presence of C/O-rich CSM at  $2\text{--}5 \times 10^{14}$  cm based on early-time spectra. Similarly, early-time spectra for SN 2019dge (Yao et al. 2020) were used to constrain the distance of He-rich CSM at  $\gtrsim 3 \times 10^{13}$  cm. The sample provides a unique opportunity to understand the origin of the CSM from late-time stellar evolution. There are different theoretical models for possible pre-SN mass loss. In this section, we explore these scenarios and compare them to the observations.

### 6.1. Pre-SN Mass Loss for Progenitor Masses $\lesssim 12 M_{\odot}$

#### 6.1.1. Low-mass Binary He Stars

We know that the majority ( $\sim 70\%$ ) of young massive stars live in interacting binary systems (Mason et al. 1998; Sana et al. 2012). Recent evidence suggests that Type Ibc SNe form when less massive stars are stripped due to a binary companion (e.g., Podsiadlowski et al. 1992). These stripped stars are formed when they lose their hydrogen envelopes through case B mass transfer (MT) after hydrogen burning. The stripped stars with  $M_{\text{He}} \lesssim 4 M_{\odot}$  expand again and lose a significant amount of their He envelope through case BC MT. This results in stars with low precollapse masses, which can explain the

**Table 8**  
Bound Stellar Material Properties of a Single Star

Initial Mass ( $M_{\odot}$ )	$R_{\max}$ ( $R_{\odot}$ )	Mass ( $>R_{\max}/3$ ) ( $M_{\odot}$ )	Mass ( $>5 R_{\odot}$ ) ( $M_{\odot}$ )
2.51	446.68	1.09	1.10
2.55	407.38	1.09	1.10
2.58	154.88	1.04	1.04
2.62	239.88	1.14	1.14
2.65	151.36	1.12	1.13
2.68	181.97	1.15	1.16
2.72	177.83	1.17	1.17
2.75	70.79	0.82	0.73
2.79	66.07	0.93	0.87
2.82	44.67	1.01	0.92
2.86	40.74	1.04	0.94
2.90	58.88	1.09	1.02
2.92	24.55	0.55	0.20

inferred  $M_{\text{ej}}$  of the sources with low progenitor mass and low ejecta mass constraints.

There have been attempts to model the case BB mass transfer to make predictions for mass loss and the final fate of the progenitor (Yoon et al. 2010; Tauris et al. 2013, 2015; Laplace et al. 2020). However, these do not predict the significant CSM that we infer in our observations. However, Wu & Fuller (2022b) find that when the O/Ne core burning is taken into account, He stars of masses  $\approx 2.5\text{--}3 M_{\odot}$  rapidly reexpand. As a result, they undergo high rates of binary mass transfer weeks to decades before core collapse. In part A and part B, we look at the possible cases where the shock passes through this reexpanded bound material before and after the late-time MT. In part C, we look at the possible case where the shock passes through the unbound material ejected as part of the late-time MT.

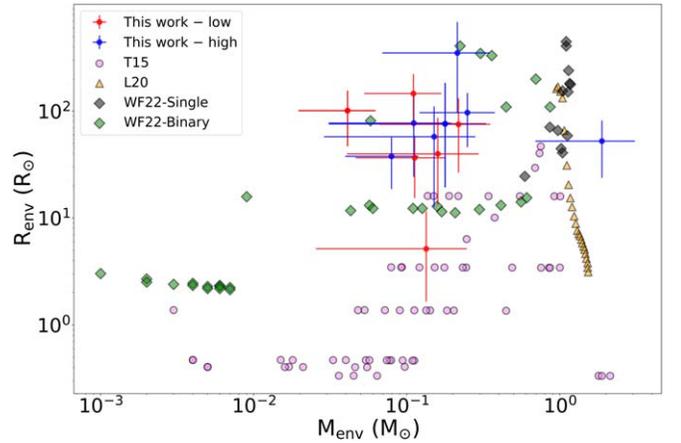
### 6.2. Part A: Bound Stellar Material before Late-time Binary Mass Transfer

Stripped stars with initial masses  $2.5 M_{\odot} \lesssim M_{\text{He}} \lesssim 3 M_{\odot}$  expand by 2 orders of magnitude during C burning beginning  $\sim 10^5$  yr before core collapse. Wu & Fuller (2022a) found that the radius can expand to  $\sim 200 R_{\odot}$  for low-mass He stars during O/Ne burning.

We investigate if the low-luminosity first bumps we see for those with low progenitor mass are produced as the shock from the core collapse passes through this bound puffed-up stellar envelope. We can see from Table 8 that the models for single-star evolution from Wu & Fuller (2022b) can puff up to a radius that is consistent with what is calculated from the shock-cooling modeling.

Based on the density profiles of these stars (see Figure 15), we assume the material at  $r > R_{\max}/3$  (Nakar & Piro 2014) is the bounded envelope responsible for the early bump, where  $R_{\max}$  is the radial distance of the star where the density drops below  $10^{-7} \text{ g cm}^{-3}$ . We also compare the bound envelope properties of binary and single stars from Tauris et al. (2015) and Laplace et al. (2020) with those calculated for our sample in Figure 11. We find that the expected envelope mass for these models is  $\sim 1.2 M_{\odot}$ , an order of magnitude greater than the observed values.

We also note that the above scenario would require that the star not interact afterward with the binary companion after undergoing case B mass transfer. This is possible when the



**Figure 11.** Comparison of the envelope parameters derived using analytical shock-cooling models as described in Section 4.4.2 (red crosses) with bound envelope properties from various binary and single-star models (WF22, Wu & Fuller 2022b; L20, Laplace et al. 2020; T15, Tauris et al. 2015). The SNe with low progenitor masses ( $\lesssim 12 M_{\odot}$ ) and higher progenitor masses are shown in red and blue, respectively.

binary stars have very large periods so that the Roche lobe is not filled during the expansion. Wu & Fuller (2022b) find that the highest-mass models  $M_{\text{He}} \geq 2.8 M_{\odot}$  with orbital period ( $P_{\text{orb}} = 100$  days) do not expand enough to fill their Roche lobes.

However, in these cases, it is more likely that the substantial radius expansion of the stripped stars suggests the possibility of them reoccupying their Roche lobes and experiencing subsequent phases of mass transfer (Dewi et al. 2002; Dewi & Pols 2003; Ivanova et al. 2003). Additional phases of mass transfer can produce stars with low envelope masses, possibly explaining the low ejecta mass we observe for those with low progenitor mass. We discuss this in the next part.

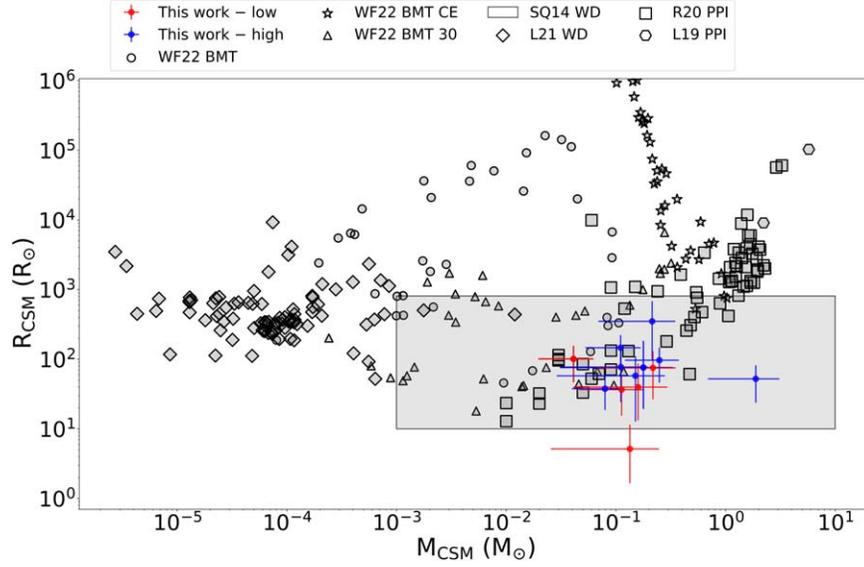
### 6.3. Part B: Bound Stellar Material after Late-time Binary Mass Transfer

Wu & Fuller (2022b) calculated the mass-loss rates from the late-time binary transfer described earlier and the accumulated mass loss at  $P_{\text{orb}} = 1, 10,$  and  $100$  days. After the late-time mass transfer, the final masses range between  $\sim 1.4$  and  $2.9 M_{\odot}$ . As these models reach Si burning with final masses  $\geq 1.4 M_{\odot}$ , they are expected to undergo core collapse. Assuming  $M_{\text{NS}} = 1.4 M_{\odot}$ , the implied SN ejecta masses are  $\lesssim 1.5 M_{\odot}$ . The density profiles of these stars after the late-time mass transfer are shown in Appendix H. The envelope radius of most of these binary stars (especially those with  $P_{\text{orb}} = 10$  days) is consistent with the observed values (see Table 9 and Figure 11). Using these density profiles and the same procedure used in the previous section, we get an envelope mass range of  $0.01\text{--}0.1 M_{\odot}$ , which is consistent with the measured mass.

But for those stars that have lost mass through the late-time mass transfer, there should be another sign of interaction when the shock passes through the unbound CSM. It is possible that we did not have high enough cadence spectra to look for these interactions or that any interaction contribution to the lightcurve was too small compared to the Ni-powered lightcurve.

### 6.4. Part C: Unbound Stellar Material after Late-time Transfer

Wu & Fuller (2022b) assume that shells of expelled material form at a distribution of radii around the binary system as a



**Figure 12.** Comparison of the envelope parameters derived using the analytical shock-cooling model as described in Section 4.4.2 (red crosses) with unbound CSM predictions from various pre-SN mass-loss models: late-time stable binary mass transfer (BMT; Wu & Fuller 2022b), late-time unstable binary mass transfer via common envelope (BMT CE; Wu & Fuller 2022b), late-time binary mass transfer with shock breakout at an optical depth of  $\sim 30$  (BMT 30; Wu & Fuller 2022b), wave-driven mass loss (WD; Shiode & Quataert 2014; Leung et al. 2021a), and pulsation-pair instability-driven mass loss (PPI; Leung et al. 2019; Renzo et al. 2020). The SNe with low progenitor masses ( $\lesssim 12 M_{\odot}$ ) and higher progenitor masses are shown in red and blue, respectively.

**Table 9**  
Bound Stellar Material Properties after Binary Mass Transfer

Initial Mass ( $M_{\odot}$ )	Period (days)	$R_{\max}$ ( $R_{\odot}$ )	Mass ( $>R_{\max}/3$ ) ( $M_{\odot}$ )	Mass ( $>5 R_{\odot}$ ) ( $M_{\odot}$ )
2.51	100	79.43	0.00	0.00
2.55	100	81.28	0.06	0.05
2.58	100	407.38	0.22	0.22
2.62	100	346.74	0.30	0.30
2.65	100	109.65	0.44	0.44
2.68	100	331.13	0.35	0.36
2.72	100	199.53	0.69	0.70
2.75	100	109.65	0.85	0.85
2.51	10	15.85	0.01	0.00
2.55	10	13.18	0.06	0.02
2.58	10	12.30	0.06	0.01
2.62	10	11.75	0.04	0.00
2.65	10	12.30	0.11	0.04
2.68	10	12.30	0.12	0.05
2.72	10	12.88	0.16	0.06
2.75	10	11.48	0.17	0.05
2.79	10	11.22	0.21	0.07
2.82	10	12.02	0.30	0.10
2.86	10	13.18	0.40	0.14
2.90	10	14.13	0.56	0.25
2.92	10	15.49	0.60	0.26
2.51	1	2.69	0.00	0.00
2.55	1	3.02	0.00	0.00
2.58	1	2.51	0.00	0.00
2.62	1	2.40	0.00	0.00
2.65	1	2.45	0.00	0.00
2.68	1	2.34	0.01	0.00
2.72	1	2.34	0.00	0.00
2.75	1	2.29	0.01	0.00
2.79	1	2.29	0.01	0.00
2.82	1	2.24	0.01	0.00
2.86	1	2.19	0.01	0.00
2.90	1	2.19	0.01	0.00
2.92	1	2.14	0.01	0.00

result of the late-time MT. To estimate the properties of this CSM, they perform a mass-weighted average of these radii to calculate the characteristic CSM radius. They calculate the total CSM mass in each system as the integrated mass-loss rate at core collapse.

We note that the shock-cooling breakout radius is expected to be smaller than the mass-weighted radius reported in Wu & Fuller (2022b). Here, we calculate the CSM radius assuming the shock breakouts at an optical depth ( $\tau \sim 3c/v_s \sim 30$ ). We assume a CSM wind density profile of the form  $\rho = Kr^{-2}$  (used in, e.g., Ofek et al. 2010; Chevalier & Irwin 2011), where  $r$  is the distance from the progenitor.  $K \equiv \dot{M}/(4\pi v_w)$  is the wind density parameter,  $v_w$  is the wind velocity, and  $\dot{M}$  is the mass-loss rate.  $R_{\text{out}}$  is the maximum distance of the unbound CSM ejected during the late-time MT. If we assume that the shock breakout occurs at an optical depth ( $\tau \sim 30$ ),

$$\tau(r) = \int_r^{R_{\text{out}}} \kappa \rho dr = \kappa K \left( \frac{1}{r} - \frac{1}{R_{\text{out}}} \right) \approx \frac{c}{v_s}, \quad (4)$$

we get the shock-breakout radius ( $r_{\text{SBO}}$ ) as

$$r_{\text{SBO}} = \frac{R_d R_{\text{out}}}{R_d + R_{\text{out}}}, \quad (5)$$

where  $R_d \equiv \frac{\kappa K v_s}{c}$  (see Chevalier & Irwin 2011). From Figure 12, we see that the shock-cooling breakout radius (BMT 30) from most models is consistent with our observations.

These models have small SN ejecta masses of  $\lesssim 1.5 M_{\odot}$ , assuming a neutron star mass of  $M_{\text{NS}} = 1.4 M_{\odot}$  consistent with the measured ejecta masses for SN 2021gno, SN 2021niq, SN 2021inl, SN 2022nwx, SN 2018lqo, and SN 2019dge in our sample. We compare the CSM properties for these with those predicted from the late-time mass transfer simulations (see Figure 12). We also show the CSM properties expected in the case of unstable MT, which leads to a common-envelope event

(see Wu & Fuller 2022a for details). We find that the CSM properties across both scenarios are consistent with the CSM masses ( $\sim 0.01\text{--}1 M_{\odot}$ ) and radii ( $\sim 10^{11}\text{--}10^{13}$  cm) inferred for those SNe with low ejecta mass.

However, as mentioned earlier, the late-time mass transfer only occurs for the low progenitor mass SNe. To explain the CSM properties for sources with high ejecta mass, we turn to other pre-SN mass-loss models.

## 6.5. Pre-SN Mass Loss for Higher Progenitor Masses

### 6.5.1. Wave Heating Process in Hydrogen-poor Stars

Wave-driven mass loss (Quataert & Shiode 2012; Shiode & Quataert 2014; Fuller 2017; Fuller & Ro 2018; Leung et al. 2021a; Wu & Fuller 2021) occurs when convective motions in the massive star’s core excite internal gravity waves during its late-phase nuclear burning. These gravity waves propagate through the radiative core and transmit some percentage of its energy into the envelope via acoustic waves, which can be sufficient to eject a substantial amount of mass.

We compare the CSM properties with mass-loss models in Leung et al. (2021b) in Figure 12. The wave heating process in massive hydrogen-poor stars was investigated by Leung et al. (2021b), who surveyed a range of stellar models with main-sequence progenitor masses from 20 to 70  $M_{\odot}$  and metallicity from 0.002 to 0.02. Most of these models predict CSM masses less than  $\sim 10^{-2} M_{\odot}$ . The low mass makes just wave-driven mass loss an unlikely explanation for all the observed CSM masses. However, a few models predict somewhat higher wave energy fluxes, have a larger ejected mass ( $\sim 10^{-2} M_{\odot}$ ), or have a very large  $R_{\text{CSM}} \sim 10^{14}$  cm. These are models with large wave energies or long wave heating timescales, respectively. It requires the merger of nearby burning shells, in their models the carbon and helium shells. The merging of the two shells allows gravity waves to propagate across the star with a lower evanescence. However, numerical models show that such a phenomenon only occurs at individual masses of massive stars rather than a robust mass range. This may be consistent with the rarity of SNe observed in this work.

We find that the CSM properties predicted in Shiode & Quataert (2014) are consistent with our observations (see Figure 12). Shiode & Quataert (2014) predict that wave excitation and damping during Si burning can inflate nominally compact W-R progenitors to  $10^{-3}\text{--}1 M_{\odot}$  of the envelope of W-R stars to tens to hundreds of  $R_{\odot}$ . These findings indicate that certain SN progenitors, often characterized by their compact nature, including those associated with Type Ibc SNe, exhibit a shock-cooling signature that differs considerably from conventional assumptions. The authors predict that the outcome of wave energy deposition during silicon fusion in W-R progenitors would probably manifest as a CCSN classified spectroscopically as Type Ibc (i.e., a compact star) but displaying early thermal emission reminiscent of extended stellar envelopes, which is observed as an early bump in our sample. However, we note that their estimates do not involve hydrodynamical simulations.

### 6.5.2. Pulsation-pair Instability

For very massive stars ( $M_{\text{ZAMS}} = 80\text{--}140 M_{\odot}$ ), the electron–positron pair instability (PPI) drives explosive O burning and mass ejection, which accounts for an outburst of  $\sim 0.1$  to tens of

$M_{\odot}$  (Leung et al. 2019; Woosley 2019; Renzo et al. 2020). Pulsation-induced mass loss relies on the electron–positron pair-creation catastrophe that happens in very massive stars (Heger & Woosley 2002). The star can experience several mass-loss events, depending on the available carbon and oxygen in the core (Woosley 2017; Leung & Fuller 2020).

In Figure 12, we plot the predicted CSM properties for the PPI-driven mass loss from Leung et al. (2019) and Renzo et al. (2020). The models center around  $M_{\text{CSM}} \sim 0.01\text{--}10 M_{\odot}$  and  $R_{\text{CSM}} \sim 10^{11}\text{--}10^{15}$  cm. These are consistent with the observed CSM properties for our sample (see Figure 12). The objects in this work are consistent with the lower-mass PPI SNe reported in the literature near a He core mass of  $\sim 40 M_{\odot}$  (or ZAMS mass of  $\sim 80 M_{\odot}$ ). We notice that the PPI SN model will be in tension for the objects with a low ejecta mass reported in this work. Given the high progenitor mass for PPI SNe ( $80\text{--}140 M_{\odot}$ ) and the production  $^{56}\text{Ni}$ , which indicates a robust explosion, if a spherical explosion is considered, the ejecta mass would be much larger. Most PPI SN models predict that the star will collapse into a black hole. The low ejecta mass could be explained if most of the star’s mass falls into the black hole and only a small fraction of the mass is ejected during the SN explosion. It is also possible that the aspherical explosion plays a role here. Through a jetlike energy deposition, only matter along the jet opening angle acquires the energy deposition; thus, the necessary energy deposition and the corresponding ejecta mass can be substantially lower even when the progenitor mass is high. Then, a relatively lower amount of energy is needed for the same ejecta velocity. The aspherical shape may lead to strong polarity in the optical signals, which can be checked for such sources in the future. Further samples along the trend may provide further evidence for PPI SNe being a robust production mechanism for low-mass CSM. However, given its high progenitor mass, which is less common in the stellar population according to the Salpeter relation, further comparison with the canonical SN rate will be important to check the compatibility of this picture with the stellar statistics. If we assume a Salpeter IMF, roughly  $\sim 2.3\%$  of CCSNe should undergo PPI, which is roughly consistent with the rate predicted for the double-peaked Type Ibc SNe in Section 2.

### 6.5.3. W-R+Red Supergiant Wind Mass Loss

One possibility is that the progenitors of our observed sources underwent a typical phase of red supergiant (RSG) with line-driven wind mass loss. Assuming a wind velocity ( $v_w$ ) of  $\approx 10 \text{ km s}^{-1}$ , the expected mass-loss rates range from  $\dot{M} \approx 10^{-6} M_{\odot} \text{ yr}^{-1}$  to  $\dot{M} \approx 10^{-3} M_{\odot} \text{ yr}^{-1}$  (e.g., de Jager et al. 1988; Marshall et al. 2004; van Loon et al. 2005).

Subsequently, there is a relatively brief phase of W-R, characterized by higher wind velocities of a few thousand  $\text{km s}^{-1}$  and mass-loss rates around  $\sim 10^{-5} M_{\odot} \text{ yr}^{-1}$  (e.g., Crowther 2007). It is a possibility that the stellar progenitor explodes as a Type Ibc SN within the bubble formed by its own W-R winds interacting with the prior RSG wind phase. However, the documented cases of W-R–RSG wind–wind interaction are associated with “bubbles” at typical distances of  $\sim 10^{19}$  cm (Marston 1997), significantly farther than the few  $< 10^{15}$  cm distances inferred for our sources. For our sample, the proximity of the CSM shell implies an extremely short W-R phase with a duration of  $\sim 10^3$  ( $v \sim 1000 \text{ km s}^{-1}$ ) yr,

conflicting with the  $\sim 10^5$  yr duration of the W-R phase expected in the case of isolated massive stars. For such a short lifespan, assuming a mass-loss rate of  $\sim 10^{-5} M_{\odot} \text{ yr}^{-1}$ , the mass loss will be around  $0.01 M_{\odot}$ , which is an order or so less than what we observe. Thus, wind loss from W-R+RSG is not consistent with our observations.

### 6.6. White Dwarf Progenitor

In the earlier sections, we discussed the presence of the early shock-cooling signatures as originating from the extended envelope or CSM of a massive progenitor star. However, we note that an early excess in the lightcurve is also possible in the context of a white dwarf. Such scenarios include white dwarf systems that involve companion interaction through Roche-lobe overflow (Kasen 2010; Magee et al. 2020), clumpy nickel distribution in the ejecta (Magee & Maguire 2020), and CSM interaction (Piro & Morozova 2016). The ejecta mass and oxygen mass measured for SN 2021gno, SN 2021niq, SN 2021inl, SN 2019dge, and SN 2018lqo are within the mass limit for a white dwarf. Based on the strong [Ca II] emission lines in the nebular spectra, SN 2021gno, SN 2021inl, SN 2018lqo, and SN 2022oqm could belong to the thermonuclear group of Ca-rich gap transients, which result from explosive burning of He shells on the surface of low-mass white dwarfs (De et al. 2020). Jacobson-Galán et al. (2022b) favored a low-mass hybrid He/C/O + C/O white dwarf binary progenitor system for SN 2021gno and SN 2021inl based on the environment of their explosion sites. The disruption of a C/O white dwarf by a heavier white dwarf companion is favored for SN 2022oqm in Irani et al. (2024). Detailed spectral and lightcurve analysis in the context of the various white dwarf progenitor channels is left for future work.

## 7. Summary and Future Goals

1. We present a sample of 17 double-peaked Type Ibc(BL) SNe from ZTF. This was selected from a sample of 475 SNe classified as Ibc(BL) as part of the ZTF and CLU surveys. Out of these 475 SNe, there were 144 SNe with well-sampled early lightcurves. The rate of this sample is  $\sim 3\%$ – $9\%$  of Type Ibc(BL) SNe.

The first peak is likely produced after the shock wave runs through an extended envelope and the layer cools (the “shock-cooling” phase). Type Ibc SNe are thought to arise from compact stars, so the envelope is more likely to be stellar material that was ejected in some mass-loss episode.

2. The peak magnitude of the first peak ranges from  $-14.2$  to  $-20.1$ . We find that the peak magnitudes of the first peak and second peak are correlated as  $M_2 = 0.8 \times M_1 - 4.7$ , where  $M_1$  and  $M_2$  are the peak magnitudes of the first and second peak, respectively. The correlation could imply that the SNe that show double-peaked lightcurves have He-star progenitors that shed their envelope in binary interactions. The photospheric velocities of the SNe in our sample are consistent with those of canonical Type Ibc SNe.
3. Based on nebular spectra and lightcurve properties, we divide our sample into two groups: six SNe (SN 2021gno, SN 2018lqo, SN 2021inl, SN 2022nwx, SN 2019dge, and SN 2021niq) with progenitor mass less than  $\sim 12 M_{\odot}$  and ejecta mass less than  $1.5 M_{\odot}$  and the rest with higher progenitor mass.

4. The observed CSM properties for SNe with low progenitor and ejecta mass might be explained as due to the binary evolution of low-mass He stars due to late-time mass transfer. The observed CSM properties of SNe with higher ejecta mass are consistent with certain models of wave-driven mass loss due to Si burning or pulsation-pair instability-driven mass loss.

The sample presented in this paper will enable detailed modeling of the progenitor and SN, offering insights into their mass-loss histories and envelope structures, and thus inform stellar evolution models. The investigation of double-peaked Type Ibc SNe and the mechanisms behind pre-SN mass loss have implications across multiple areas of astronomy. These findings have the potential to alter predictions related to ionizing radiation and wind feedback from stellar populations, thereby influencing conclusions about star formation rates and initial mass functions in galaxies beyond our own. Moreover, these discoveries impact our understanding of the origins of diverse compact stellar remnants and shape the way we utilize SNe as tools for studying stellar evolution throughout cosmic history.

While analytical modeling of shock cooling provides a good estimate of the CSM properties, it might not be able to take into account detailed nuances such as variable opacities, densities, etc. The exact structure of the CSM and its impact on the explosion lightcurve require detailed hydrodynamics and radiative transfer calculations, which we leave for future work.

It is also important to understand the implication of the missing early bump in the majority of Type Ibc SNe in understanding the multiplicity of stars, binary evolution, and the extent of stripping in compact binaries including common-envelope evolution. Further theoretical work to study this is left for future work.

This sample shows that shock-cooling emission may be very common in H-poor SNe. We might be missing many of them because of poor early-time cadence. Early observations with future wide-field UV surveys such as ULTRASAT (Sagiv et al. 2014; Shvartzvald et al. 2024) and UVEX (Kulkarni et al. 2021) will be critical for the discovery and study of these SNe. Also, X-ray and radio follow-up observations (Matsuoka & Maeda 2020; Kashiyama et al. 2022) of H-poor SNe with well-sampled early optical lightcurves will help better constrain the mass-loss mechanisms.

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### Data Availability

All the photometric and spectroscopic data used in this work will be available [here](#) after publication.

The optical photometry and spectroscopy will also be made public through WISeREP, the Weizmann Interactive Supernova Data Repository (Yaron & Gal-Yam 2012). The complete data sets in Appendices A, B, C, D, and H can be found in Zenodo (doi:[10.5281/zenodo.11505429](https://doi.org/10.5281/zenodo.11505429)) and GitHub.<sup>27</sup>

### Appendix A Photometry Data

A summary of the photometry data used for SN 2018lqo (truncated) is provided in Table 10. The photometry data for all sources are provided as machine-readable tables in Zenodo via doi:[10.5281/zenodo.11505429](https://doi.org/10.5281/zenodo.11505429).

<sup>27</sup> [https://github.com/kaustavkdas/doublepeaked\\_lbc](https://github.com/kaustavkdas/doublepeaked_lbc)

**Table 10**  
Summary of the Photometry Data Used for SN 2018lqo (Truncated)

Date (JD)	Filter	Instrument	Mag. (AB mag)	Limiting Mag. (AB mag)
2458340.68	<i>r</i>	P48+ZTF	20.11 ± 0.17	20.31
2458343.66	<i>r</i>	P48+ZTF	20.68 ± 0.23	20.64
2458343.68	<i>g</i>	P48+ZTF	20.98 ± 0.37	20.71
2458346.66	<i>g</i>	P48+ZTF	20.49 ± 0.30	20.49
2458346.68	<i>r</i>	P48+ZTF	20.16 ± 0.16	20.53
2458346.68	<i>r</i>	P48+ZTF	20.16 ± 0.16	20.53
2458347.76	<i>r</i>	P60+SEDM	20.02 ± 0.05	21.69
2458347.76	<i>r</i>	P60+SEDM	20.06 ± 0.04	99.00
2458347.76	<i>g</i>	P60+SEDM	20.40 ± 0.06	21.81
2458347.76	<i>g</i>	P60+SEDM	20.47 ± 0.07	99.00
2458347.76	<i>i</i>	P60+SEDM	19.92 ± 0.10	21.48
2458347.76	<i>i</i>	P60+SEDM	19.92 ± 0.53	99.00
2458348.68	<i>i</i>	P48+ZTF	19.82 ± 0.23	19.94
2458350.65	<i>r</i>	P48+ZTF	19.68 ± 0.16	20.45

### Appendix B Lightcurves

The lightcurves of all sources can be found in Zenodo via doi:[10.5281/zenodo.11505429](https://doi.org/10.5281/zenodo.11505429).

### Appendix C Spectra

The spectra of all sources are provided as machine-readable tables in Zenodo via doi:[10.5281/zenodo.11505429](https://doi.org/10.5281/zenodo.11505429).

### Appendix D Blackbody Fits

A summary of the blackbody properties for SN 2018lqo (truncated) is provided in Table 11. All the best-fit parameters including bolometric luminosity, radius, and temperature for each object are provided as machine-readable tables in Zenodo via doi:[10.5281/zenodo.11505429](https://doi.org/10.5281/zenodo.11505429).

**Table 11**  
Summary of the Blackbody Properties for SN 2018lqo (Truncated)

Phase (days since first detection)	Log Luminosity (erg s <sup>-1</sup> )	Temperature (K)	Radius (R <sub>⊙</sub> )
3.17	41.55 <sup>+0.11</sup> <sub>-0.07</sub>	7048 <sup>+3206</sup> <sub>-1691</sub>	6197 <sup>+4753</sup> <sub>-2832</sub>
4.33	41.67 <sup>+0.50</sup> <sub>-0.10</sub>	7197 <sup>+10256</sup> <sub>-1965</sub>	6751 <sup>+5648</sup> <sub>-4616</sub>
6.17	41.76 <sup>+0.05</sup> <sub>-0.04</sub>	5908 <sup>+1167</sup> <sub>-915</sub>	11,467 <sup>+5377</sup> <sub>-3439</sub>
7.26	41.76 <sup>+0.01</sup> <sub>-0.01</sub>	6165 <sup>+250</sup> <sub>-244</sub>	10,699 <sup>+942</sup> <sub>-836</sub>
8.24	41.90 <sup>+0.54</sup> <sub>-0.11</sub>	5171 <sup>+10212</sup> <sub>-1856</sub>	15,557 <sup>+29245</sup> <sub>-11786</sub>
10.21	41.93 <sup>+0.02</sup> <sub>-0.02</sub>	5880 <sup>+405</sup> <sub>-382</sub>	14,321 <sup>+2247</sup> <sub>-1852</sub>
11.22	41.92 <sup>+0.03</sup> <sub>-0.02</sub>	5384 <sup>+443</sup> <sub>-391</sub>	16,918 <sup>+3269</sup> <sub>-2683</sub>
12.21	41.93 <sup>+0.03</sup> <sub>-0.03</sub>	5955 <sup>+635</sup> <sub>-544</sub>	13,900 <sup>+3299</sup> <sub>-2635</sub>
20.22	41.90 <sup>+0.28</sup> <sub>-0.16</sub>	3633 <sup>+712</sup> <sub>-683</sub>	36,441 <sup>+39393</sup> <sub>-15074</sub>
21.17	41.72 <sup>+0.01</sup> <sub>-0.01</sub>	4195 <sup>+70</sup> <sub>-70</sub>	22,190 <sup>+1028</sup> <sub>-917</sub>
22.22	41.76 <sup>+0.08</sup> <sub>-0.07</sub>	3926 <sup>+391</sup> <sub>-358</sub>	26,476 <sup>+8634</sup> <sub>-6183</sub>
25.18	42.00 <sup>+0.40</sup> <sub>-0.22</sub>	3175 <sup>+609</sup> <sub>-630</sub>	53,509 <sup>+77779</sup> <sub>-24058</sub>

### Appendix E First-peak fits

Figure 13 shows a collage of the best-fit lightcurves for the shock-cooling model (Piro et al. 2021) fits to the multiband photometry data.

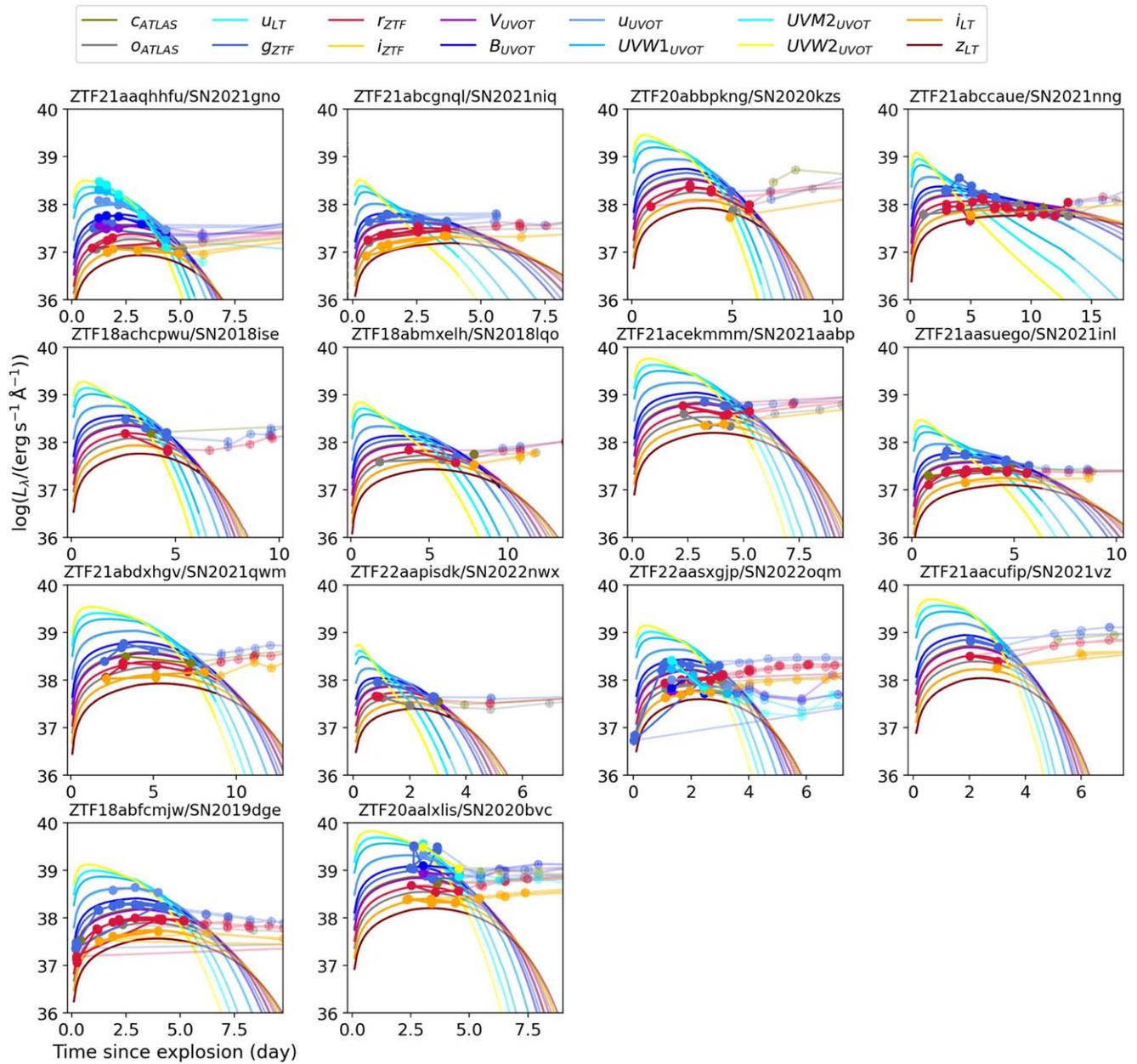


Figure 13. Shock-cooling model (Piro et al. 2021) fits to multiband data.

## Appendix F Second-peak Fits

Figure 14 shows a collage of the best-fit lightcurves for the radioactive peak model (Arnett & Meakin 2011) fits to the bolometric luminosity data.

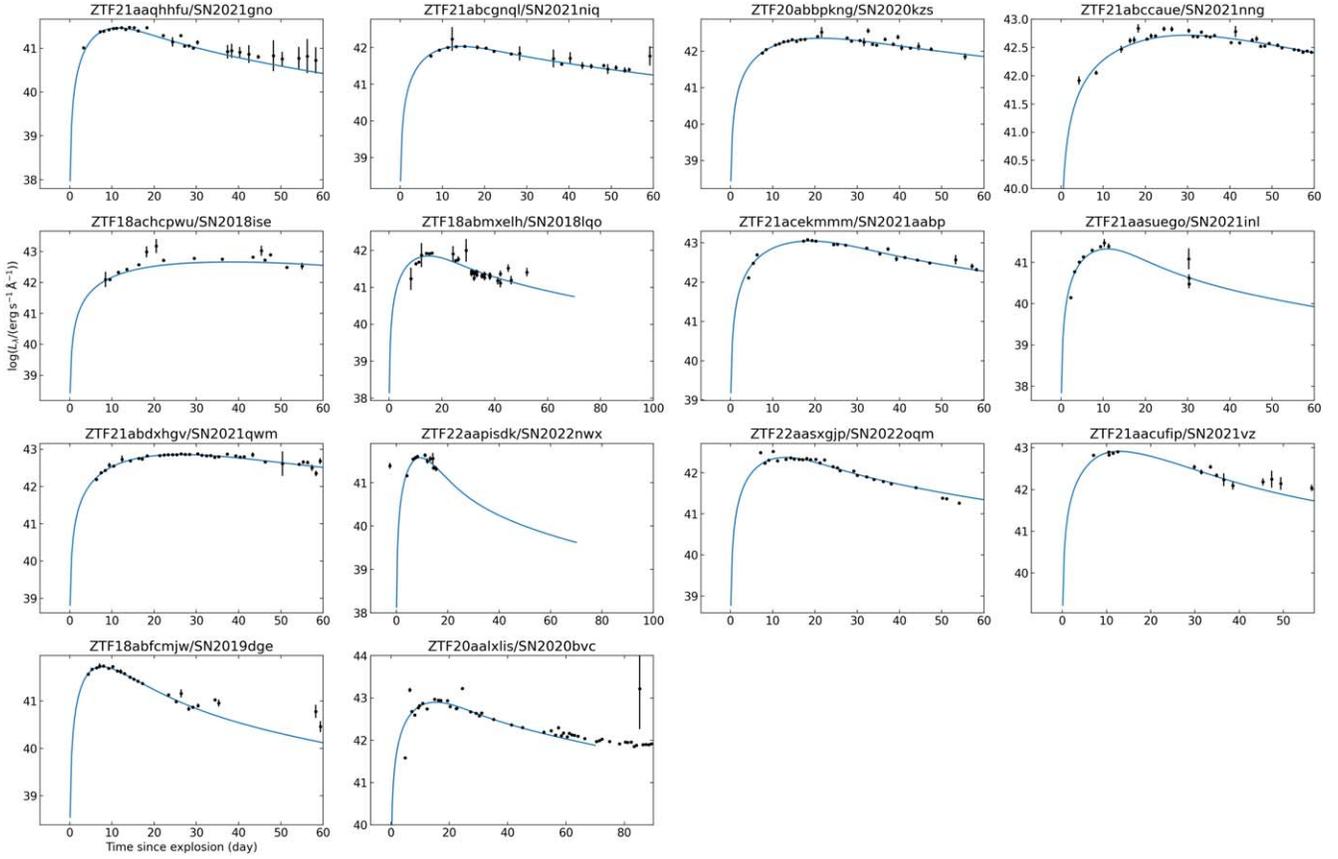


Figure 14. Radioactive (Arnett et al. 1989) fits to bolometric luminosity data.

## Appendix G Spectral Log

The spectral log and velocity measurements are listed in Table 12.

Table 12  
Spectral Log and Ejecta Velocity Measurements

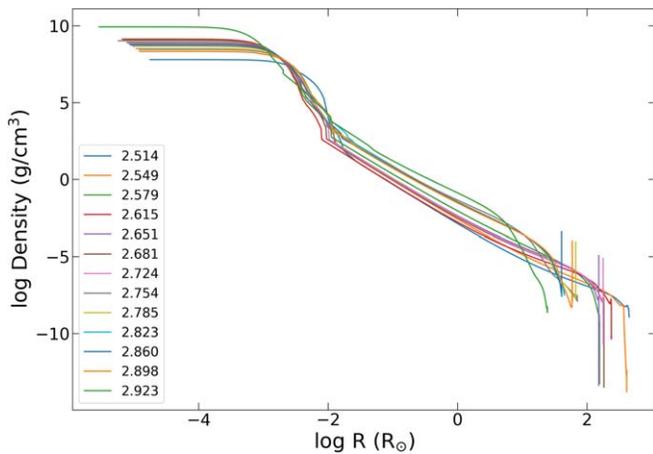
Source	Date	Phase (days)	Inst.	He I $\lambda 5876$ (km s $^{-1}$ )	O I $\lambda 7774$ (km s $^{-1}$ )
ZTF21aaqhffu/SN 2021gno	2021-03-20	-15.0	SPRAT	...	7910 $\pm$ 1170
ZTF21aaqhffu/SN 2021gno	2021-03-21	-14.0	SEDM	...	...
ZTF21aaqhffu/SN 2021gno	2021-03-24	-11.0	SEDM	12,850 $\pm$ 4520	...
ZTF21aaqhffu/SN 2021gno	2021-04-02	-2.0	SPRAT	8000 $\pm$ 260	6860 $\pm$ 810
ZTF21aaqhffu/SN 2021gno	2021-04-02	-2.0	SEDM	7880 $\pm$ 740	5830 $\pm$ 2610
ZTF21aaqhffu/SN 2021gno	2021-04-12	8.0	SEDM	8210 $\pm$ 2540	7630 $\pm$ 2920
ZTF21aaqhffu/SN 2021gno	2022-02-04	306.0	LRIS	...	...
ZTF21abcgngl/SN 2021niq	2021-05-31	-6.0	DBSP	13,680 $\pm$ 2630	...
ZTF21abcgngl/SN 2021niq	2022-04-13	310.0	LRIS	...	...
ZTF20abbpkng/SN 2020kzs	2020-06-01	-9.0	SEDM	...	...

(This table is available in its entirety in machine-readable form in the [online article](#).)

## Appendix H

### Density Profile of He Stars Used in the Late-time Mass Transfer Models

The density profiles of the single He stars of different masses before late-time mass transfer used in Section 6.2 are shown in Figure 15. The density profiles of the bound material of the stars after mass transfer can be found in Zenodo via doi:10.5281/zenodo.11505429.



**Figure 15.** Density profile of the single He stars of different masses before late-time mass transfer used in Section 6.2.

### ORCID iDs

Kaustav K. Das <https://orcid.org/0000-0001-8372-997X>  
 Mansi M. Kasliwal <https://orcid.org/0000-0002-5619-4938>  
 Jesper Sollerman <https://orcid.org/0000-0003-1546-6615>  
 Christoffer Fremling <https://orcid.org/0000-0002-4223-103X>  
 I. Irani <https://orcid.org/0000-0002-7996-8780>  
 Shing-Chi Leung <https://orcid.org/0000-0002-4972-3803>  
 Sheng Yang <https://orcid.org/0000-0003-1546-6615>  
 Jim Fuller <https://orcid.org/0000-0002-4544-0750>  
 Shreya Anand <https://orcid.org/0000-0003-3768-7515>  
 Igor Andreoni <https://orcid.org/0000-0002-8977-1498>  
 C. Barbarino <https://orcid.org/0000-0002-3821-6144>  
 Thomas G. Brink <https://orcid.org/0000-0001-5955-2502>  
 Kishalay De <https://orcid.org/0000-0002-8989-0542>  
 Steven L. Groom <https://orcid.org/0000-0001-5668-3507>  
 George Helou <https://orcid.org/0000-0003-3367-3415>  
 Anna Y. Q. Ho <https://orcid.org/0000-0002-9017-3567>  
 Viraj Karambelkar <https://orcid.org/0000-0003-2758-159X>  
 S. R. Kulkarni <https://orcid.org/0000-0001-5390-8563>  
 Daniel A. Perley <https://orcid.org/0000-0001-8472-1996>  
 Josiah Purdum <https://orcid.org/0000-0003-1227-3738>  
 Steve Schulze <https://orcid.org/0000-0001-6797-1889>  
 Gokul P. Srinivasaragavan <https://orcid.org/0000-0002-6428-2700>  
 Robert Stein <https://orcid.org/0000-0003-2434-0387>  
 Leonardo Tartaglia <https://orcid.org/0000-0003-3433-1492>  
 Avery Wold <https://orcid.org/0000-0002-9998-6732>  
 Lin Yan <https://orcid.org/0000-0003-1710-9339>  
 Yuhan Yao <https://orcid.org/0000-0001-6747-8509>

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