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1	What motivates communities in developing countries to adopt conservation
2	behaviors? A Sumatran orangutan case study
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14	
15	Abstract: Community-based conservation programs in developing countries often assume
16	that heteronomous motivation (e.g. extrinsic incentives such as economic rewards and
17	pressure or coercion to act) will motivate local communities to adopt conservation behaviors.
18	However, this may not be as effective or sustainable as autonomous motivations (e.g. an
19	intrinsic desire to act due to inherent enjoyment or self-identification with a behavior and
20	through freedom of choice). This paper analyses the comparative effectiveness of
21	heteronomous versus autonomous approaches to community-based conservation programs,
22	using the example of Sumatran orangutan (Pongo abelii) conservation in Indonesia.
23	Comparing three case study villages employing differing program designs, we found that
24	heteronomous motivations (e.g. income from tourism) led to a change in self-reported
25	behavior towards orangutan protection. However, they were ineffective in changing self-
26	reported behavior towards forest (i.e. orangutan habitat) protection. The most effective
27	approach to creating self-reported behavior change throughout the community was with a
28	combination of autonomous and heteronomous motivations. Individuals who were

29 heteronomously motivated to protect the orangutan were found to be more likely to have changed attitudes than their self-reported behavior. These findings demonstrate that the 30 current paradigm of motivating communities in developing countries to adopt conservation 31 behaviors primarily through monetary incentives and rewards should also consider 32 integrating autonomous motivational techniques which promote the intrinsic values of 33 conservation. Such a combination will have a greater potential to achieve sustainable and 34 cost-effective conservation outcomes. Our results highlight the importance of in-depth socio-35 psychological analyses to assist the design and implementation of community-based 36 conservation programs. 37

38 Introduction

39

behaviors through extrinsic economic incentives such as monetary or development rewards 40 and benefits, and is referred to as heteronomous motivation (Decaro & Stokes 2008). 41 Individuals who are heteronomously motivated engage in conservation behaviors for reasons 42 outside their core values, such as to avoid fines or obtain economic or social rewards (Decaro 43 & Stokes 2008). Examples include payments for ecosystems services, Reduced Emissions from 44 Deforestation and Degradation (REDD+), and to a lesser extent ecotourism, contributing to 45 advances in the community's economy. However, economics is not the only determinant of 46 47 individuals' decision-making (Villamor & van Noordwijk 2011), and therefore challenges remain in identifying sustainable and reliable motivators of behavior change. 48 Sustainable behavior change with extrinsic incentives relies on programs being economically 49 sustainable in order to maintain motivation for community involvement in conservation 50 51 (Ogutu 2002; Stem et al. 2003; Alexander & Whitehouse 2004; Honey 2009). Otherwise, 52 labor and financial constraints can lead to land-use decisions detrimental to conservation goals (Villamor & van Noordwijk 2011). Economic incentives can introduce notions that 53 forests, wildlife and other natural resources only need to be conserved if economic incentives 54 are provided, undermining community governance and creating unsustainable programs 55 dependent on monetary return or investment (Kovacevic 2012). Furthermore, economic 56 incentives can undermine social progress through encouraging selfishness and inhibiting 57 intrinsic motivations (Bowles 2008). Cardenas et al. (2000) found evidence that providing 58 regulatory, external interventions for environmental dilemmas based on standard economic 59 theory can be ineffective and even problematic compared to allowing individuals to 60 collectively address environmental problems, due to crowding out group-regarding behaviour 61 62 in favour of self-interest. However, in developing countries, providing monetary or

The predominant paradigm of community-based conservation is to motivate conservation

development rewards and benefits can be a useful tool for initially engaging community
participation and support in conservation programs (Stem et al. 2003; Durrant & Durrant
2008; Macfie and Williamson 2010). For these reasons, the current paradigm of communitybased conservation needs to take into account more sustainable forms of motivation.

Under the right conditions, non-economic incentives and strategies that promote community 67 autonomy can be more effective in changing behaviors than monetary rewards. They are 68 referred to as autonomous motivation, and are non-coercive in nature (Decaro & Stokes 69 2008). Examples include empowerment of local communities through inclusion in 70 conservation decision-making, access to local natural resources, and sustainable use of these 71 72 resources leading to local development (Watkin 2003). Individuals who are autonomously motivated are incentivized because of intrinsic values and the opportunity to apply self-held 73 values (Deci & Ryan 2004; Decaro & Stokes 2008). Participatory conservation programs that 74 promoted autonomous motivation were found to be more effective than programs that 75 promoted heteronomous motives (Decaro & Stokes 2008). However, external features of 76 public participation such as high levels of involvement and power over decision-making, 77 whilst well intentioned, may not always match the local social-ecological context, and as 78 79 such thwart intrinsic motivation and behavioral changes (Decaro and Stokes 2013). Much of 80 this research surrounding autonomy and its effect on motivation has been undertaken in developed countries with different socio-economic and cultural contexts to developing 81 countries. These differences can influence decision-making processes and behavioral 82 outcomes and therefore warrant investigation (Decaro and Stokes 2013). 83

Here, we hypothesize that in developing countries, where livelihood and income-generating opportunities are limited, heteronomous motivation may have an important role in catalyzing conservation actions due to the direct and more immediate benefit associated with conservation and sustainable livelihoods (World Conservation Union 1980). In addition, we hypothesise that

autonomous motivation is required to sustain these changes in the long term. However, the 88 relative benefits of each approach have not been definitively evaluated from a psychological 89 perspective. For example, Wich et al. (2011) state that "a reframing of the way incentive-90 91 based mechanisms are perceived, and a deeper analysis of the social and psychological dimensions of human decision making in response to external signals are required." In this 92 paper we pose the question: in a developing country context, are heteronomous or 93 autonomous motivations more likely to create a change in self-reported conservation 94 behavior? Using examples of community-based conservation programs designed to protect 95 96 the Sumatran orangutan (Pongo abelii) we analyse the self-reported behavioral responses of community members to different incentive mechanisms, and make recommendations for the 97 future design of such schemes. 98

99

100 Methods

101 Study Area

The Sumatran orangutan is critically endangered due to habitat loss, fragmentation, illegal and legal logging, hunting, and the pet trade (Singleton et al. 2008; Davis et al. 2013). If current population trends continue, the Sumatran orangutan is predicted to be the first great ape species to go extinct (Wich et al. 2008), hence the design of effective conservation programs is critical to survival of the species (Meijaard et al. 2012).

The study was conducted on the perimeter of Gunung Leuser National Park, located within
the larger Leuser ecosystem, North Sumatra, Indonesia (Fig. 1), which contains 78% of the
Sumatran orangutan's remaining habitat (Wich et al. 2011). We selected three villages which

had community-based orangutan conservation programs: Halaban, Tangkahan and BukitLawang (Table 1).

Halaban has a history of illegal clearance of National Park by oil palm companies. However, 112 a reforestation program was implemented in 2008 with the help of a local non-government 113 organization (NGO). A local farmers' group was formed to enact local management and 114 operation responsibilities of the restoration program, including a small number who would 115 benefit economically from employment arising from the program. The program was designed 116 around community involvement in all aspects of project implementation. The NGO also 117 engaged in education and outreach activities to build better relationships and encourage pro-118 119 conservation behavior towards the forest and orangutans.

120 In Tangkahan, illegal logging had previously been the main income for the local community. However, severe flash flooding exacerbated by deforestation occurred in neighboring Bukit 121 Lawang in 2003, convincing the Tangkahan community that illegal logging was both 122 123 economically and environmentally unsustainable. In 2001 a small number of locals had formed a group, Lembaga Pariwisata Tangkahan (LPT), concerned with the economic and 124 environmental sustainability of the village. The group subsequently halted illegal logging and 125 instead engaged in community outreach and education and, with the help of NGOs, began 126 small-scale ecotourism focused on orangutans and Sumatran elephants. The program has 127 since won a prestigious award from the Indonesian Ministry of Tourism for excellence in 128 pioneering community-based ecotourism. LPT oversee all tourism activity, with external 129 NGOs only offering support and advice. However, all tourism activities require approval 130 from the National Park with a MOU between Tangkahan and the National Park to take 131 responsibility for patrolling the 17,500 ha of adjacent park, which can then be utilized for 132 tourism activities. 133

134	In Bukit Lawang, the conservation program began as a rehabilitation site for orangutans in
135	the 1970s, which became a tourist attraction where visitors could have close interaction with
136	semi-wild orangutans at feeding platforms. This has become a mass tourist destination and a
137	large income generator for the community. Tourism is officially regulated and controlled by
138	the National Park authority, and HPI, an association which certifies and licenses guides.
139	However, a lack of enforcement of regulations by both parties has resulted in negative
140	practices being undertaken, such as tourism encroachment into the National Park.
141	Furthermore, tourism practices have been found to be unsustainable and detrimental to
142	orangutans due to feeding, loud and disruptive behavior, and contact with wild and semi-wild
143	orangutans (Dellatore 2007). NGOs are involved only on an advisory basis. There has been
144	little integrated planning and effective management of tourism which has led to conflicts
145	within and between communities, NGOs and other stakeholders.
146	
147	[Insert Figure 1]
148	
149	[Insert Table 1]
150	
151	Conceptual Model
152	We developed a conceptual model which comprised alternative hypotheses (H) of how
153	conservation programs were implemented in each village to motivate behavior change.
154	
155	H1. Promoting heteronomous motivation will lead to greatest positive behavior change

This hypothesis accounts for traditional incentive based approaches (Spiteri & Nepal 2006),
which utilise economic or social reward to obtain results (Pelletier et al. 1998; De Young
2000), often through linking conservation to revenue for the local economy and development
(e.g. Watkin 2003). It also reflects approaches that have greater reliance on a control and
regulation to achieving outcomes such as through fines and monitoring (Kubo & Supriyanto
2010).

162

163 H2. Promoting autonomous motivation will lead to greatest positive behavior change

Decaro and Stokes (2008) application of the self-determination theory to the conservation literature contradicts the efficacy of instrumental motivation compared to autonomous reasoning. Therefore, this second hypothesis is in contrast to the initial hypothesis and reflects the power of intrinsically motivated activities in achieving outcomes.

168

H3. Promoting both autonomous and heteronomous motivation will lead to greatest positive behavior change.

The final hypothesis is a combination of H1 and H2, and recognises the identified potential of intrinsic motivation (H1), but also the limitations of a developing country context that may require extrinsic benefits (H2) to be provided in economically and developmentally challenging conditions (Decaro and Stokes 2008). Furthermore, it is hypothesised that regulatory approaches involving incentives such as monetary benefits, monitoring and fines could increase internalised or intrinsic forms of motivation if used in ways that empower or protect members of the public (Thøgersen 2003).

179 Community Surveys

To test these hypotheses, we gathered data from community members in the three villages 180 using a questionnaire. This research was approved by the University of Queensland 181 Behavioral and Social Sciences Ethical Review Committee. 182 1. How much do you want to protect orangutans? 183 2. How much do you want to protect the forest? 184 Possible responses were read out to the participant, based on a 4 point Likert scale of 'none', 185 'a little', 'mostly', or 'all' (meaning wanting to protect completely). Participants were then 186 asked to elaborate on their response to this question for both the conservation of the 187 orangutan and forest separately. We also asked: 188 189 3. Have you changed your behavior to protect the orangutan since the (conservation program in their village) has been in your village? 190 4. Have you changed your behavior to protect the forest since the (conservation program 191 in their village) has been in your village? 192 Possible answers were either 'yes', 'no', or 'don't know'. If the answer was 'yes', a follow up 193 question was posed: 194 5. How have you changed your behavior? 195 Examples regarding orangutans include: no longer hurting or killing orangutans, instead 196

reporting conflicts to appropriate authorities to address; using non-violent methods to manage orangutan conflict or simply leaving them alone; no longer destroying orangutan habitat; and following ecotourism guidelines for ensuring the health and safety of orangutans. Examples regarding forest protection include: no longer cutting down trees; or taking illegal resources
from the forest; avoiding littering inside forest; and stopping illegal logging.

An earlier version of the questionnaire was tested through a pilot study carried out in Bukit 202 Lawang and Tangkahan with 15 randomly selected individuals. This highlighted different 203 issues regarding motivations for protecting orangutans and the forest. Specific to orangutans 204 was the problem of human-wildlife conflict, caused by orangutans raiding crops, 205 consequently they were regarded by some villagers as pests (Campbell-Smith et al. 2010). As 206 a result, we separated questions 1 and 2. The pilot study also demonstrated the need to 207 simplify questions due to difficulties with comprehension. The questionnaire was reviewed 208 209 and translated by a local NGO representative fluent in English and Bahasa Indonesia and with direct experience working with the communities. 210

The first author was accompanied by Indonesian translators local to North Sumatra, research 211 assistants from Australia and a local guide from each village. Data were collected in 212 213 February-May 2013. Each village community was randomly sampled for adults 18 years and older but stratified by age (18-25, 26-35, 36-45, 46-55, 56-65, 65+ years) and gender. We 214 sampled a minimum of 10% of the total population in each village (Bukit Lawang n=110; 215 Tangkahan n=70; Halaban n=60). The project and its objectives were explained to selected 216 participants. Verbal consent to participate was sought, and if granted the questionnaire began. 217 Participants were shown a photo of an orangutan to clarify the species in question. The 218 translator then explained our definition of 'protecting' the forest and orangutan: "by 219 'protecting the orangutan' we mean not harming or taking any orangutans from the forest. By 220 221 'protecting the forest' (defined as Gunung Leuser National Park) we mean ensuring individuals do not take any resources they are not supposed to from the forest and keeping it 222 clean (of human rubbish)." 223

224 Statistical Analyses

We coded the responses on why the participants wanted to protect the orangutan and the forest based on the autonomous and heteronomous motivational styles. Responses were either autonomous, heteronomous, both autonomous and heteronomous, or unclear/no motivation. Below describes key words and phrases which defined each category and determined the coding of each response (sensu Decaro and Stokes 2008).

230 *Heteronomous motivation*: reasons for engaging in behavior primarily concern influences

231 outside one's core values, to obtain economic or social reward, experience pressure or

coercion to act. (e.g. "Orangutan is useful to my job", "Because it is essential to our

ecotourism", "For the ecosystem services it provides and the prevention of natural disaster",

234 "Because it is forbidden to damage the forest, it is National Park".)

Autonomous motivation: behavior is freely self-endorsed (freedom of choice), has intrinsic
value, participant sees behavior as part of self-identity, desirable for its own sake and as
exercising self-held values. (e.g. "Orangutan is just like us, I feel sympathy for it", "I love
orangutan, I like it, so I want to protect it, it's unique according to me", "I was born in the
place, the forest is a part of my nature and environment", "I can't even stand people cutting
down the trees. The forest is a haven for me".)

241 *Unclear/No motivation*: any responses that did not fit into either autonomous or

heteronomous, or were unclear. (e.g. "I used to hate orangutan because it disturb my durian
and other fruit plantation but now even though I hate it, I control myself not to harm it but to
protect it", "I'm busy, don't have time to do it".)

There was a total of 240 questionnaire respondents. Table 2 displays the dependant variablesand their considered categories. The categorical response variable was self-reported behavior

and/or attitude change of the participants with regard to orangutans and to forests. Attitude 247 change was also included, as when answering question 5 many participants did not provide 248 details of self-reported behavior changes but rather responded that their attitude had changed, 249 250 such as having sympathy for, respecting the orangutan and/or forest. Hence, we were cautious in coding self-reported behavior change to provide greater assurance of reliability. This 251 variable included the three categories: (0) no self-reported behavior or attitude change; (1) 252 positive change of attitude as a result of the programs; and (2) positive change of self-253 reported behavior as a result of the programs. The survey also investigated the four major 254 255 types of motivation – autonomous, heteronomous, autonomous + heteronomous, and no motivation – for the indicated self-reported behavior changes. Unless the response was no 256 change, motivation types were recorded as positive, i.e. creating a tendency towards positive 257 258 changes of attitude or self-reported behavior. Therefore, unless expressly stated otherwise, the terms 'autonomous' and 'heteronomous' motivations were regarded as 'positive 259 autonomous' and 'positive heteronomous'. Very few people reported both autonomous and 260 heteronomous motivations and those who did reported either change of attitude or behaviour, 261 with no one reporting no change. Therefore, there were too few people (and too little 262 variability in attitudes/self-reported behaviour measures) for significant statistical conclusions 263 to be possible (p > 0.6). Therefore, these records were removed from the analyses. The 264 resulting Motivation Type categorical variable served as another predictor variable for the 265 266 self-reported Behavior/Attitude Change variable.

Participants who did not change their self-reported behavior or attitude were subdivided into three sub-categories: (1) those who responded that there was no change in their self-reported behavior or attitude ('clear answer'); (2) those who did not provide a clear response in relation to changing or otherwise of their self-reported behavior or attitude ('no clear answer/no answer'); and (3) those whose self-reported behavior and attitude did not change

because of no interaction with orangutans or forest, or because no opportunities to change 272 were presented ('no opportunity to change'). The additional category 'Behavior/Attitude 273 Previously' included the participants who already had positive self-reported behavior or 274 attitude towards orangutans or forest prior to the commencement of the programs. This 275 category, as well as the 'no opportunity to change' sub-category were discarded from the 276 subsequent analyses, as not relevant to the evaluation of the impact of the programs on the 277 self-reported behavior or attitude of the participants. One participant with self-reported 278 negative behavior change was also removed from the analyses as an assumed outlier. 279

280 Multinomial logistic regression

All statistical analysis was conducted using Stata version 13 data analysis and statistical 281 software (StataCorp 2013). First, we used multinomial logistic regression (Long & Freese, 282 2006) to conduct exploratory data analysis of the relationships between the response variable 283 self-reported Behavior/Attitude change, the Village predictor variable, and the demographic 284 285 and socio-economic data (see Supplementary Information for more detail). Log odds of the 286 response variables of self-reported Behavior or Attitude Change were modelled as linear combinations of the predictor variables and Motivation type variable. The results showed 287 statistically significant effects for several demographic variables (see Supplementary 288 Information for more detail) but further analysis was undertaken to investigate the specific 289 research questions more thoroughly. 290

291 Generalized Structural Equation Modelling

We used generalized structural equation modelling (GSEM) (Acock 2013) to quantify the relationship between the dependent attitude and self-reported behavioral change response variables and the mediating Motivation type variable. This analysis was guided by our hypotheses where the response variable depended on the predictor variables and Motivation

Type. We used GSEM for path analysis and the identification of direct and indirect effects in 296 each of the two models for the orangutan and forest data for each village (each program). All 297 the model outcomes in relation to Motivation Type and the different villages (programs) were 298 adjusted for the demographic and socio-economic variables: Gender, Education, Income, 299 Years in Village. This means that these potentially confounding factors were taken into 300 account so that the independent effect between Motivation Type and different villages 301 (programs) only remained. The GSEM identified the direct and indirect effects in the models 302 for the orangutan and the forest data for each village (each program). A direct effect occurs 303 304 directly between two variables, and is calculated at the base categories of all other categorical variables. For example, in our GSEM models, the direct effect of the Village variable on self-305 reported Behaviour/Attitude Change shows how the probabilities of different outcomes of the 306 307 self-reported Behaviour/Attitude Change response variable vary from the village which is regarded as the base category to another village for those inhabitants who did not report any 308 motivation to change their behaviour or attitude. An indirect effect occurs through a 309 mediating variable, which means that the different outcomes of the response variable are 310 dependent upon the motivation categories. For example, the indirect effect of the Village 311 variable on self-reported Behavior/Attitude Change shows how the probabilities of different 312 outcomes of the response variable vary from the village which is regarded as the base 313 category (i.e., Halaban) to another village for respondents reporting either Autonomous or 314 315 Heteronomous motivation types. In this regard, it is important to note that if a direct or indirect effect is not statistically significant, this does not mean that the probabilities of 316 different outcomes of the response variable (in our case, self-reported Behavior/Attitude 317 318 Change) are not significant. Rather, it means that the differences between these probabilities for the different categories of the predictor variable are not statistically significant (for more 319 detail see Supplementary Information). 320

The identification of Motivation Type as a mediating variable allowed determination of probability paths (for explanation of the determination of the probability paths and their significance see Supporting Information) from the different villages (programs) to the three different outcomes of the self-reported Behavior/Attitude change response variable for the orangutan (Fig. 2) and the forest (Fig. 3) data. The sum of all the presented probabilities for each of the villages (Figs 2a-c and 3a-c) is close but not necessarily equal to 1, because insignificant paths are not shown.

328

329 **Results**

The results presented and discussed are in relation to the probability paths identified inFigures 2 and 3 that were calculated after obtaining the necessary GSEM outcomes.

332 Orangutan protection

Heteronomous motivation was important in the formation of attitude and self-reported 333 behavior towards orangutans in Tangkahan and Bukit Lawang (particularly Bukit Lawang – 334 Fig. 2c), but not in Halaban where its effect was not statistically significant (compare Fig. 2a 335 with 2b,c). Autonomous motivation appears somewhat less important (Figs 2b,c), but not in 336 Halaban, where it plays the major role for both attitude and self-reported behavior change 337 (Fig. 2a). These significant differences in probability paths for different villages can be 338 339 attributed to the differences among the implemented programs. In Halaban, few people benefit economically from the conservation program, therefore little, if any, heteronomous 340 motivation is provided to protect the orangutan compared to the tourism linked with 341 342 protection of the orangutan in Bukit Lawang and Tangkahan.

When considering the cumulative effect of probability in changed self-reported behavior 343 through both autonomous and heteronomous motivations within the community, changed 344 self-reported behavior to protecting orangutans was more likely in Tangkahan than Halaban, 345 and least likely in Bukit Lawang. There was both autonomous and heteronomous motivation 346 leading to a change in self-reported behavior in Tangkahan, whereas in Bukit Lawang there 347 was only heteronomous motivation leading to a change in self-reported behavior. 348 Furthermore, in Halaban only autonomous motivation was observed leading to a significant 349 probable change in self-reported behavior. However, in Bukit Lawang there was a greater 350 351 probability of the community changing their attitude towards protecting orangutans because of heteronomous motivation than in Tangkahan and Halaban. 352

353 Forest protection

Autonomous motivation was important and significant in the formation of self-reported 354 behavior and attitude change towards forest whereas heteronomous motivation was 355 356 consistently not statistically significant for changes in both attitude and self-reported behavior (Fig. 3). The significant difference between the villages in the forest model is that in 357 Tangkahan there is little (if any) probability of an average person having autonomous or 358 heteronomous motivation and still report no change in attitude or self-reported behavior (Figs 359 2a-c and 3b). At the same time, there are large probabilities of ~ 0.41 and ~ 0.34 that a person 360 from Halaban or Bukit Lawang, respectively, has autonomous motivation but still reports no 361 change in attitude or self-reported behavior towards forest (Figs 3a,c). This could be 362 attributed to the past livelihoods of the participants in Tangkahan, where a large proportion of 363 364 the locals were once illegal loggers and therefore have a greater opportunity to change their behavior. However, in Bukit Lawang and Halaban there was less opportunity for participants 365 not previously engaging in any destructive practices to change behavior. Regardless of when 366

the greater opportunity existed, as in Tangkahan, it was autonomous motivation rather than
heteronomous motivation which led to a change in self-reported behavior and attitude.

369

370 Discussion

This study showed that promoting autonomous motivation has the potential to create a greater 371 change in self-reported behavioral outcomes of community-based conservation programs 372 than promoting heteronomous motivations alone. These findings support shifting the current 373 focus on predominantly heteronomous motivation, through means such as monetary 374 375 incentives, to an approach that uses additional non-financial incentives and strategies to motivate communities to change their self-reported conservation behavior. We found 376 autonomous motivation to be significant in changing self-reported behaviors for both 377 378 orangutan and forest protection. Autonomous motivation has also been found in research outside developing countries to be an important element in achieving sustainable behavioral 379 changes (Dwyer et al. 1993; De Young 2000). This is supported by human behavior research 380 which proposes a more sustainable form of motivation is to be intrinsically connected to 381 one's self-identity (Decaro & Stokes 2008). 382

However, our results also show that heteronomous motivation had a significant effect in 383 changing self-reported behavior to protect orangutans, highlighting its importance in 384 community-based conservation programs. This is most likely due to the limited opportunities 385 for livelihoods and income generation in rural and remote regions of developing countries, 386 387 and exploitation of wild resources provides options. Previous studies have found that monetary incentives and rewards can be beneficial in incentivising community participation 388 and adopting conservation behaviors and more positive attitudes (Stem et al. 2003; Kiyingi & 389 390 Bukenya 2010). However, monetary incentives are not always successful in changing

conservation behavior (Winkler 2011; Villamor & van Noordwijk 2011). This view is 391 supported by our study, which found that heteronomous motivation did not have a significant 392 effect on changing self-reported behavior to protect the forest, while autonomous motivation 393 394 did. Whilst this finding was significant, there were very few people who did report autonomous motivation towards the forest, and many reported heteronomous motivation. 395 This is likely due to the absence of intrinsic traditional systems towards the forest and rather 396 viewing the forest as an economic source as a result of the conservation program or the 397 forests providing ecosystem services such as flood mitigation. This finding provides an 398 399 example of the potential power and value of facilitating intrinsic motivation compared to providing extrinsic incentives (e.g. Thibault & Blaney 2001) and is encouraging for regions 400 where traditional systems inherently contain intrinsic motivation towards forest protection. 401 402 However, due to the small sample size of respondents in our study who were autonomously 403 motivated, caution should be taken in generalizing this finding. Further research is required to focus on villages that have greater intrinsic value and traditional systems towards the forest 404 405 that exist in other regions of Sumatra (McCarthy 2005). This will help illuminate the specific reasoning behind why heteronomous motivation is not necessarily linked to self-reported 406 407 behavior change.

408 Whilst heteronomous motivation was not significant in self-reported forest protection, both heteronomous and autonomous motivations were significant to self-reported orangutan 409 protection. This highlights that it may be important to promote differing motivations to 410 address individual differences within the community. The orangutan can be considered a pest 411 species due to its crop raiding, and is feared due to its size (Campbell-Smith et al. 2010). In 412 these instances, where the social-ecological context may create barriers to forming 413 autonomous motivation for some individuals, heteronomous motivation may be essential as 414 another suitable form of motivation. Decaro and Stokes (2013) also identify the complexities 415

within social-ecological systems and the importance of understanding the effect of individualand cultural differences.

While autonomous motivation has many intrinsic factors, it is possible to promote this form 418 of motivation through the careful design and implementation of conservation programs. 419 Decaro and Stokes (2008) suggest that autonomous motivation is best promoted through a 420 supportive environment, including provision of choice, non-coercive social interaction and 421 422 substantive recognition of stakeholder identity. These characteristics mirror aspects of adaptive co-management of natural resources between communities and government 423 stakeholders, which can facilitate human-wildlife conflict resolution (e.g. Butler et al. 2008, 424 425 2011; Butler 2011).

We found that the greatest cumulative effect in changing self-reported behavior to protect the 426 orangutans was through a combination of both heteronomous and autonomous motivation in 427 Tangkahan. This is likely representative of the largely autonomy-supportive approach and 428 design of the program in Tangkahan, which also provides extrinsic benefits through tourism. 429 430 Comparatively, solely autonomous motivation was significant in Halaban where minimal extrinsic incentives are provided, and solely heteronomous motivation was significant in 431 Bukit Lawang, where economics is the main focus, to protect the orangutan. Heteronomous 432 motivation is likely to last only as long as the extrinsic incentives systems are present (De 433 Young 2000; Thibault & Blaney 2001; Osbaldiston & Sheldon 2003) whilst autonomous 434 motivation is self-sustaining (Dwyer et al. 1993). In Tangkahan, the program forms an 435 additional, even essential, contribution to the community's economy and development. 436 437 Therefore, while livelihoods remain dependent on these programs, it is important these incentive structures remain in the long term. Despite this, autonomous motivations 438 complement heteronomous motivations by positioning intrinsic values within the community 439 440 with the potential of creating new social norms. This is essential to the sustainability of the

program, especially in times when the extrinsic incentive structures may be struggling tomaintain funding support or where exploitation of the system occurs.

Our study highlights the importance of distinguishing between attitude change and self-443 reported behavior change. Social science research in conservation has focused on how to 444 change attitudes, but there is evidence that this does not necessarily result in behavior change 445 (Lai & Nepal 2006; Waylen et al. 2009). Our study supports this finding by identifying a 446 large proportion of participants who reported a positive change in attitude but who did not 447 report a change in self-reported behavior. We found that primarily heteronomous motivations 448 can lead to a greater change in positive attitudes towards protecting orangutans but not 449 450 actually result in a positive change in an individual's self-reported behavior towards protecting them (for example, in Bukit Lawang). Ultimately, behavior change should be the 451 primary outcome, and changing attitudes is one strategy to achieve this, but should not be 452 used as a measure of program success or failure. 453

454 Whilst self-reported behavior used in this study limits the certainty of actual behavior change, we believe the cautions taken in correctly identifying self-reported behavior overcomes these 455 limitations. Studies that measure actual rather than self-reported behavior could strengthen 456 this research, and caution should be taken in interpreting these findings until such studies are 457 able to support these results. Despite these limitations, we believe our conclusions are further 458 strengthened by the comparative case study design. Further research is required to identify 459 specific strategies for the design, implementation and adaptive co-management of a 460 conservation program that can test and refine motivational approaches relevant to the local 461 context. 462

In conclusion, we suggest that when designing or improving community-based conservationprograms, promoting or combining autonomous motivation may be more effective and

sustainable in the long-term than promoting only heteronomous motivation. We recommend 465 preliminary socio-psychological studies to understand the locally-relevant complex drivers of 466 human behavior. Although these are rarely undertaken (Decaro & Stokes 2008; Villamor & 467 van Noordwijk 2011), such preparatory research could potentially save valuable resources, 468 and achieve more effective conservation outcomes. The current monetary-focused paradigm 469 needs to include alternative and more sustainable incentives and strategies that promote 470 autonomous motivation when required. This paper demonstrates that in the example of the 471 Sumatran orangutan, promoting greater autonomous motivation to protect both the 472 473 orangutans and forest is necessary to achieve greater self-reported behavior change.

474

475 Supporting Information

A detailed description of the multinominal logistic regression analysis (Appendix S1), and
results (Appendix S2), as well as a more detailed description of the generalized structural
equation modeling analysis (Appendix S3) and results (Appendix S4) are available online.
The authors are solely responsible for the content and functionality of these materials.
Queries (other than absence of the material) should be directed to the corresponding author.

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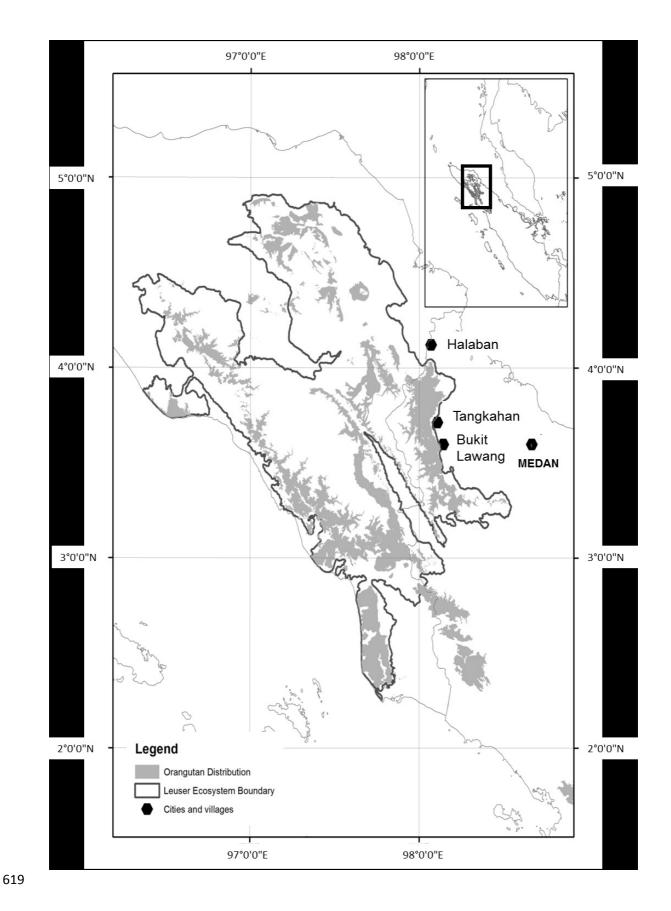
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- 596Table 1. Characteristics of case study villages and corresponding community-based
- 597 conservation programs.

Characteristics	Halaban	Tangkahan	Bukit Lawang
Program	reforestation program	small scale tourism	mass tourism
	of National Park		
Incentives provided	minimal economic	moderate economic	large economic and
	and development	and development	development
Motivation style	predominantly	mixed autonomous	predominantly
within program	autonomous	and heteronomous	heteronomous
Socio-economics	majority farmers	majority farmers	majority farmers
	(rubber, oil palm	(rubber, oil palm	(cocoa, rubber, oil
	trees) and plantation	trees) and plantation	palm trees), smaller
	labourers	labourers, small	number work in
		number involved in	tourism
		tourism	
Culture	predominantly	Karonese culture	predominantly
	Javanese culture	dominant	mixture of Karonese
			and Javanese people
			but more modernized
			and tolerant of
			Western influences
Traditional system	none	forest valued as	forests viewed
towards forest		source of traditional	largely as source of
		medicine, some trees	income for tourism
		scared thus needing	
		protection	

Variable Number of people Category Orang-utans Forest (0) No change clear answer Behavior / 13 6 Attitude 58/11 no clear answer / no answer 61 Change 29 no opportunity to change* 2 (1) positive Attitude Change 68 41 (2) positive Behavior Change 28 70 positive Behavior/Attitude Previously* 40 52 Motivation (0) No Motivation 74 30 (1) Autonomous 78 10 type (2) Heteronomous 82 193 Autonomous + Heteronomous 6 7 605 606 Footnote: Numbers in brackets show the respective categories. Categories and sub-categories indicated by (*) were removed from the analysis. 607 608 609 610 611 612 613 614 615 616 617 618

Table 2. The dependent variables and their considered categories.



620 Figure 1. Locations of case study sites, North Sumatra, Indonesia.

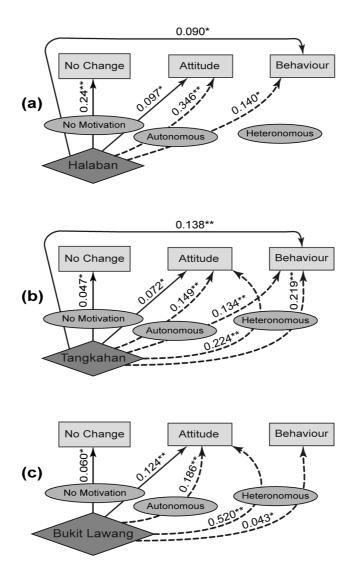
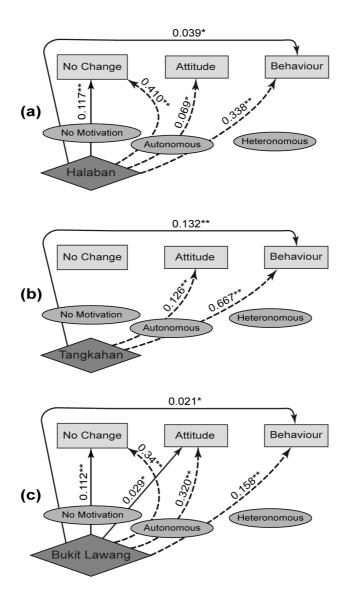


Figure 2. Probability paths for the GSEM model with the orangutan data for the three villages 622 participating in the study: (a) Halaban; (b) Tangkahan; and (c) Bukit Lawang. The probability 623 624 paths corresponding to the direct effects (through the base category of the Motivation Type mediating variable) are shown by the solid arrows, while the probability paths corresponding 625 to the indirect effects are shown by the dashed arrows. The corresponding average (over all 626 other predictor variables) probabilities for the considered paths are presented next to the 627 arrows together with the indicated levels of statistical significance: (*) $p \le 0.05$; and (**) $p \le 0.05$; 628 0.01. 629



630

Figure 3. Probability paths for the GSEM model with the forest data for the three villages 631 participating in the study: (a) Halaban; (b) Tangkahan; and (c) Bukit Lawang. The probability 632 paths corresponding to the direct effects (through the base category of the Motivation Type 633 mediating variable) are shown by the solid arrows, while the probability paths corresponding 634 to the indirect effects are shown by the dashed arrows. The corresponding average (over all 635 other predictor variables) probabilities for the considered paths are presented next to the 636 arrows together with the indicated levels of statistical significance: (*) $p \le 0.05$; and (**) $p \le 0.05$; 637 0.01. 638