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1 Trabecular architecture of the great ape and human

2 femoral head

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Trabecular architecture of the great ape and human femoral head

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Abstract

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Studies of the femoral trabecular structure have shown that the orientation and volume of bone is associated with variation in loading and could be informative about individual joint positioning during locomotion. In this study we analyse for the first time trabecular bone patterns throughout the femoral head using a whole-epiphysis approach to investigate how potential trabecular variation in humans and great apes relates to differences in locomotor modes. Trabecular architecture was analysed using microCT scans of *Pan troglodytes* (n=20), Gorilla gorilla (n=14), Pongo sp. (n=5) and Homo sapiens (n=12) in medtool 4.1. Our results revealed differences in bone volume fraction (BV/TV) distribution patterns, as well as overall trabecular parameters of the femoral head between great apes and humans. Pan and Gorilla showed two regions of high BV/TV on the femoral head, consistent with hip posture and loading during two discrete locomotor modes; knuckle-walking and climbing. Most *Pongo* specimens also displayed two regions of high BV/TV, but these regions were less discrete and there was more variability across the sample. In contrast, *Homo* showed only one main region of high BV/TV on the femoral head and had the lowest BV/TV, as well as the most anisotropic trabeculae. The *Homo* trabecular structure is consistent with stereotypical loading with a more extended hip compared with great apes, which is characteristic of modern human bipedalism. Our results suggest that holistic evaluations of femoral head trabecular architecture can reveal previously undetected patterns linked to locomotor behaviour in extant apes and can provide further insight into hip joint loading in fossil hominins and other primates.

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Key words: hominid, African apes, *Gorilla*, *Pan*, *Pongo*, cancellous bone, functional morphology.

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Introduction

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The morphology of the proximal femur has played a key role in the reconstruction of locomotion in extant and extinct primates (e.g. McHenry and Corruccini, 1978; Burr et al. 1982; Ruff et al. 1991; Ruff and Runestad, 1992; Ruff, 1995; Harmon, 2007; Harmon, 2009a; Ruff and Higgins, 2013) and particularly to understand the form of bipedalism used by australopiths (Stern and Susman, 1983; Susman et al. 1984; Crompton, et al. 1998; Carey and Crompton, 2005; Harmon, 2009b; Lovejoy and McCollum, 2010; Raichlen et al. 2010; DeSilva et al. 2013). External morphology provides ample evidence about functional links between morphology and locomotion. However, due to phylogenetic lag, inferences about behaviour based on external traits alone have been questioned (e.g. Ward, 2002). Variation in internal trabecular bone structure across different regions of the skeleton can provide additional evidence to help reconstruct joint postures and to infer potential differences in locomotor behaviour in extant and extinct primates (e.g. Thomason 1985a,b; Ryan and Ketcham, 2002; Volpato et al. 2008; Ryan and Shaw, 2012; Tsegai et al. 2013; Skinner et al. 2015; Stephens et al. 2016). Indeed, the ability of trabecular bone to reflect mechanical loading was first noted in the human proximal femur (Ward, 1838; Wolff 1870, 1892). It is not yet fully understood how mechanical or non-mechanical factors trigger and ultimately affect the organisation of trabeculae. For example, a range of activities, including high strain/low frequency loading or low strain/high frequency loading have been shown to elicit trabecular reorganisation (Rubin et al. 1990; Rubin et al. 2001; Judex et al. 2003; Wallace et

78 al. 2014). Furthermore, differences in body mass (Scherf, 2008; Cotter et al. 2009; Doube et 79 al. 2011; Fajardo et al. 2013; Ryan and Shaw, 2013), hormones (e.g. Gunness-Hey and Hock, 1984; Miyakoshi, 2004; Walsh, 2015), and genetic or systemic factors (Havill et al. 2010; 80 81 Tsegai et al. 2018) have been shown to influence aspects of trabecular structure as well. However, computational (e.g. Huiskes et al. 2000; Keaveny et al. 2001) and experimental 82 studies have demonstrated that modelling of trabeculae is correlated with applied loads, and 83 84 trabecular strut reorganisation can be instigated by changes in the direction, magnitude and/or frequency of load (Biewener et al. 1996; Mittra et al. 2005; Pontzer et al. 2006; Polk et al, 85 2008; Barak et al. 2011). Furthermore, trabecular bone volume fraction (BV/TV) and 86 87 trabecular strut alignment (degree of anisotropy, or DA) explain up to 98% of bone stiffness 88 (i.e. Young's modulus of elasticity) (Stauber et al. 2006; Maquer et al. 2015; Odgaard et al. 89 1997). Thus, variation in the distribution of BV/TV and DA can provide insight into joint 90 loading and, in turn, locomotor behaviours in primates.

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Several studies have revealed that variation in the trabecular architecture of the primate hip and proximal femur is associated with differences in locomotion (e.g. Rafferty and Ruff, 1994; MacLatchy and Muller, 2002; Volpato et al. 2008; Ryan and Shaw, 2012; Saers et al. 2016). For example, Volpato and colleagues (2008) demonstrated that the orientation of trabecular struts in the ilium and femoral neck is associated with joint positioning in the hip of bipedally-trained Japanese macaques and reflects alterations in the direction of load. Comparable changes in trabecular structure that reflect differences in joint orientation were found in the distal femora of guinea fowls (Pontzer et al. 2006) and distal tibiae of sheep (Barak et al. 2011). Furthermore, Scherf (2008) found that trabecular structure within the femoral head, neck and both trochanters of climbing primates (e.g. *Alouatta seniculus*) had more isotropic architecture, while specialised primates (e.g. *Homo sapiens*) in which the femur experienced more stereotypical loading had more anisotropic structure. Similar results were found in leaping primates, which in comparison to non-leaping primate species, had more anisotropic trabeculae in the inferior aspect of the femoral head (Ryan and Ketcham, 2002), and a different principal strut orientation (Ryan and Ketcham, 2005).

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More recently, Ryan and Shaw (2012) investigated the trabecular patterns of the femoral head of several anthropoid taxa and found that different suites of trabecular variables could distinguish among taxa and locomotor groups. In particular, modern humans were distinct in having relatively few, highly anisotropic trabeculae that are thin and plate-like, Pan had relatively numerous, thick and isotropic trabeculae, while Pongo had relatively few and isotropic. Additional studies investigating different human samples have also shown that femoral head trabecular structure reflects variation in mobility levels, with more sedentary agriculturalists having relatively low BV/TV compared with more active foragers (Ryan and Shaw, 2015; Saers et al. 2016; Ryan et al. 2018). Interestingly, more active human foragers have relatively high BV/TV that falls within the range of most extant hominoids apart from Pan (Ryan et al. 2018). Despite this overlap in BV/TV between some human samples and other hominoids, humans have consistently been shown to have the most anisotropic femoral head structure compared to other great apes (Ryan and Shaw, 2015; Ryan et al. 2018). Furthermore, the human trabecular pattern has been shown to develop during ontogeny when independent bipedalism develops and the gait matures (Ryan and Krovitz, 2006; Reissis and Abel, 2012; Milovanovic et al. 2017). Altogether, these studies suggest that the trabecular studies suggest that the trabecular bone of the femoral head may hold a strong functional signal of locomotor loading within primates.

Conversely, other studies have failed to detect a strong locomotor signal in the femoral head (Ryan and Walker, 2010; Shaw and Ryan, 2012), femoral neck (Fajardo et al. 2007) and distal femur (Carlson et al. 2008). Carlson and colleagues (2008) did not detect differences in the DA of the distal femoral metaphysis between mice with turning locomotion and mice with non-turning locomotion. Similarly, Ryan and Walker (2010) did not find any significant differences in the DA and BV/TV patterns of the femoral head in a broad sample of platyrrhines and catarrhines. Furthermore, Shaw and Ryan (2012), who examined the subarticular trabecular and mid-diaphyseal cortical patterns in the femur and humerus of a sample of primates, concluded that only the mid-diaphyseal cortical bone contains a clear functional signal linked to the differential use of the two limbs between different locomotor groups.

The discrepancy in the findings of previous studies may, in part, be an artefact of the volume-of-interest (VOI) method that was used. A VOI quantifies only a subsample of trabecular structure within a given region and results can vary depending on its size and position (Fajardo and Müller, 2001; Kivell et al. 2011). Additionally, challenges arise when extracting homologous VOIs in taxa that vary in external morphology. Prior research has demonstrated that additional functional insight can be gained from investigating the trabecular architecture within an epiphysis as a whole (Tsegai et al. 2013; Skinner et al. 2015; Stephens et al. 2016; Sylvester and Terhune, 2017; Tsegai et al. 2018). Here we apply a whole-epiphysis approach to study the trabecular structure throughout the femoral head of chimpanzees (*Pan troglodytes*), lowland gorillas (*Gorilla gorilla*), orangutans (*Pongo* sp.) and humans (*Homo sapiens*), which vary in locomotor behaviours and are relevant to the reconstruction of locomotion in fossil hominins.

Locomotion, hip morphology and predicted joint posture

Habitual locomotor activities and the associated hip joint angles vary between great apes and humans (Fig. 1). Chimpanzees are predominantly terrestrial/arboreal quadrupedal knuckle-walkers, but also engage frequently in arboreal climbing and, less so, bipedalism (Hunt, 1991; Doran, 1992, 1993). In all these locomotor modes, the hindlimb plays key role in propulsion and experiences higher vertical force than the forelimb (Demes et al. 1994; Hannah et al. 2017). During terrestrial quadrupedalism in chimpanzees, the mean hip angle at foot touchdown is 65° and at toe-off it is 98.2° (Finestone et al. 2018). Kinematics during chimpanzee vertical climbing have, to our knowledge, only been studied in one individual and show that the flexion-extension range at the hip increases substantially compared with terrestrial quadrupedalism, with hip angles ranging from ~25° to ~105° (Nakano et al. 2006). A more comprehensive study of bonobos (n=4 adults), which share similar hindlimb anatomy to chimpanzees (e.g. Payne et al. 2006; Myatt et al. 2011), yielded hip angles ranging from 55° to 135° during vertical climbing (Isler, 2005).

Lowland gorillas are also predominantly quadrupedal knuckle-walkers (Remis, 1995; Crompton et al. 2010). They often engage in arboreal climbing and bipedalism, but less frequently than chimpanzees (Remis, 1995; Crompton et al. 2010). During terrestrial quadrupedalism in gorillas, hip angles range from 77° at foot touchdown to 120.6° at toe-off (Finestone et al. 2018). During vertical climbing, their hip angle range is similar to that of bonobos, ranging from approximately 45° to 135° (Isler, 2005). *Gorilla* climbing frequency and technique varies with sex and body size, with the range of hip flexion-extension being reduced in larger males compared to smaller females (Remis, 1995; Remis, 1999; Isler,

2005). However, gorillas show less intraspecific variation in climbing techniques than bonobos (Isler, 2005).

Orangutans employ a complex set of locomotor behaviours, which are mostly torso orthograde, including vertical climbing, bridging, suspension from various limbs, and terrestrial quadrupedalism (Cant, 1987; Isler and Thorpe, 2003; Thorpe and Crompton, 2006; Thorpe et al. 2009). Their hips are more mobile than those of other apes, which allows them to use their hindlimbs in more varied ways (Morbeck and Zihlman, 1988; Tuttle and Cortright, 1988; Isler, 2005). During terrestrial locomotion, the orangutan hip angle is 68.3° at touchdown and 107.3° at toe-off (Finestone et al. 2018). During vertical climbing, orangutans are able to lift their feet further above their hips than African apes, such that their flexion-extension angle ranges from around 30° to 135° (Isler, 2005).

Adult humans walk exclusively terrestrially on two legs, extending both their hips and knees (Alexander, 1994). During the gait cycle, hip extension reaches 160° at touchdown and 175° at toe-off (Abbass and Abdulrahman, 2014). Humans also engage in running, which alters the joint angle of the hip and the resulting load on the femoral head (Ounpuu, 1990; Ounpuu, 1994; van den Bogert et al. 1999; Giarmatzis et al. 2015). Increase in speed is linked to more flexed hip joints and a generally increased range of motion at the hip (Mann and Hagy, 1980; Novacheck, 1998). At touchdown during running the hip is flexed at 30-40°, while also being externally rotated, and at push off it is extended and internally rotated (Slocum and James, 1968). Furthermore, during running (3.5m/s), loads have been shown to increase to greater than double that of walking (1.5 m/s) (van den Bogert et al.1999).

[Insert Figure 1 about here]

Great apes and humans vary in the external morphology of the hip joint. Chimpanzees and gorillas have a relatively small femoral head, a short femoral neck as well as a superoinferiorly expanded greater trochanter compared to orangutans (McHenry and Corruccini, 1978; Harmon, 2007). Chimpanzees have a "laterally facing acetabulum" (Jenkins, 1972), however comparative quantitative data between apes do not exist (Hogervorst et al. 2009 and references therein). Furthermore, in gorillas the acetabulum is relatively deep, compared to other apes (Schultz, 1969), perhaps reducing capacity for mobility at the hip. In orangutans the greater trochanter is less superoinferiorly expanded than in the African apes and is positioned inferiorly to the femoral head, which may enhance rotational capacity at the hip joint (Aiello and Dean, 2002; Harmon 2007). Orangutans also have a relatively large head, long neck, and a greater trochanter that is less superoinferiorly expanded than that of African apes and positioned inferiorly relative to the femoral head (Aiello and Dean, 2002; Harmon, 2007). These features of the orangutan proximal femur, plus the absence of a subchondral ligamentum teres insertion at the centre of the femoral head (Crelin, 1988; Ward, 1991; Ruff, 2002; Harmon, 2007), enhance rotational capacity and allow greater mobility at the hip joint compared to other hominoids.

Humans have a long femoral neck and valgus angle at the knee, which compensate for the mechanical disadvantage of the increased bi-acetabular distance (Lovejoy, 1975; McHenry and Corruccini, 1978; Rafferty, 1998; Lovejoy et al. 2002; Harmon, 2007) and result in adduction of the hips during the stance phase (O'Neill et al. 2015). The greater trochanter is less superoinferiorly expanded compared to other apes (Harmon, 2007). Furthermore, the human acetabulum is relatively deep and the femoral head is relatively large (Schultz, 1969; Jungers, 1988). This hip morphology is thought to help dissipate the increased load that

occurs when supporting body mass over two, rather than four, limbs. Biomechanical studies have revealed that the peak contact force on the human hip during walking is directed posteriorly, laterally and inferiorly (Pedersen et al. 1997), is located at the posterior aspect (Paul, 1976; English and Kilvington, 1979), and pressure on the acetabulum is mainly located posteriorly during different activities, such as standing up or sitting down (Yoshida et al. 2006). Lack of congruence between the femoral head and the acetabulum, combined with an anterior-facing acetabulum result in the anterior region of the femoral head not being fully covered by the acetabulum during bipedal locomotion (Hogervorst et al. 2009; Bonneau et al. 2014). Thus, the anterior region of the femoral head and acetabulum play a smaller role in load transmission compared to other regions of the hip joint.

Examining the potential links between internal femoral bone structure and extant ape locomotion will greatly facilitate attempts to reconstruct the locomotion of extinct hominins. Here we provide this comparative context by analysing the trabecular architecture throughout the entire femoral head in extant great apes and humans that vary in their locomotor behaviours. We quantify BV/TV, DA, trabecular number (Tb.N), trabecular separation (Tb.Sp) and trabecular thickness (Tb.Th) throughout the femoral head. Based on locomotor and biomechanical studies reviewed above, we make the following predictions regarding species variation in femoral head trabecular structure:

1. BV/TV distribution in the femoral head

The distribution of BV/TV throughout the femoral head will reflect joint positioning and loading during habitual locomotion. In *Pan* we expect high BV/TV to extend from the posterior and superior aspect of the femoral head to the anterior region, reflecting hip angles and loading during knuckle-walking locomotion and vertical climbing (Finestone et al. 2018; Isler 2005). We predict that *Gorilla* will show a similar pattern of BV/TV distribution, although the region of high BV/TV is expected to extend over a smaller area of the femoral head compared with that of *Pan*, reflecting a reduced range of motion (Hammond, 2014) and different flexion/extension angles at the *Gorilla* hip during knuckle-walking and climbing (Finestone et al. 2018; Isler 2005). We predict that *Pongo* will show the most variable BV/TV distribution pattern, reflecting loading of the femoral head at different hip joint angles, with high BV/TV spanning the whole of the superior area of the femoral head. Finally, we expect a more restricted region of high BV/TV in *Homo* that will be concentrated superiorly and posteriorly on the femoral head, reflecting the stereotypical loading pattern of bipedal locomotion.

2. Mean trabecular parameters in the femoral head

Relative interspecific differences in mean BV/TV values will be consistent with those of previous trabecular studies on the femur (e.g. Georgiou et al. 2018; Ryan et al. 2018; Tsegai et al. 2018) and other postcranial elements (e.g. Maga et al. 2006; Cotter et al. 2009; Scherf et al. 2013; Tsegai et al. 2013; Tsegai et al. 2017), such that *Pan* will have the highest BV/TV, *Homo* will have the lowest, and *Gorilla and Pongo* will be intermediate between these two taxa. Furthermore, mean DA of the entire femoral head will reflect the range of motion of the hip joint during habitual locomotion. *Pan* and *Gorilla* will display intermediate DA values, showing less anisotropic femoral heads than *Homo*, because they engage in both terrestrial and arboreal behaviours that employ an increased range of motion at the hip. *Pongo* will be the most isotropic, reflecting their highly mobile hip joint and diverse positioning of the proximal femur during their varied quadrumanous locomotor behaviours. *Homo* will be the

most anisotropic, consistent with more stereotypical loading of the hip joint during bipedal locomotion.

In addition to BV/TV and DA, we quantify mean Tb.N, Tb.Sp and Tb.Th within the femoral head to better understand potential variation in the trabecular architecture across our sample and for comparison with previous studies (e.g. Ryan and Shaw, 2012; Ryan and Shaw, 2015; Ryan et al. 2018). In primates these parameters scale negatively allometrically to body size (Barak et al. 2013; Ryan and Shaw, 2013) meaning results may be affected by body mass. BV/TV and DA are expected to better reflect functional adaptations, as DA does not to scale with body mass and BV/TV either shows no relationship (Doube et al. 2011; Barak et al. 2013) or a weak positively allometric relationship (Ryan and Shaw, 2013) with body mass.

Methodology

Study sample

Micro-computed tomographic scans were used to analyse trabecular morphology in the femoral head of great apes and humans. Details of the study sample are provided in Table 1. The *P. troglodytes* sample (n=20) is comprised of two subspecies; *Pan troglodytes verus* (n=15) from the Taï Forest collection curated at the Max Planck Institute for Evolutionary Anthropology in Leipzig, Germany, and *Pan troglodytes troglodytes* (n=5) curated at the Smithsonian National Museum of Natural History in Washington, D.C., USA. The *Gorilla gorilla gorilla* sample (n=14) is from the Powell-Cotton Museum, UK, of which 13 individuals are from Cameroon and one is from the Democratic Republic of the Congo. The *Pongo* sample (n=5 and all female) is from the Zoologische Staatssammlung München, Germany. Four of the individuals are *P. pygmaeus*, while one is *P. abelii*. The *H. sapiens* sample (n=12) is curated at the Georg-August-Universität Göttingen, Germany. Ten of the individuals come from a Catholic cemetery in Göttingen, which was used between 1851 and 1889, and two come from a cemetery in the village of Inden that was used between 1877 and 1924. All specimens were adult based on complete epiphyseal fusion throughout the skeleton and none showed obvious signs of pathology.

The Pan, Pongo and Homo samples were scanned at the Department of Human Evolution in the Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany using a BIR ACTIS 225/300 industrial microCT scanner. The Gorilla sample was scanned at the Cambridge Biotomography Centre in the Department of Zoology at the University of Cambridge, Cambridge, UK using a Nikon XT 225 ST microCT scanner. All specimens were scanned at the highest possible resolution based on the size of the bone, ranging from 0.029-0.082 mm, and were reconstructed into 16-bit TIFF stacks with isometric voxel sizes. Reconstructed datasets were re-oriented to the same anatomical position and cropped in AVIZO 6.3 ® (Visualization Sciences Group, SAS). All specimens, except six gorillas, were re-sampled due to computational limitations of medtool 4.1 and resultant resolutions are given in Table 1. Bone was segmented from air using the Ray Casting Algorithm (Scherf and Tilgner, 2009).

Trabecular architecture analysis

Patterns of trabecular bone distribution throughout the whole femoral head were analysed in medtool 4.1 (<u>www.dr-pahr.at</u>), following the protocol described by Gross and colleagues

(2014). A series of morphological filters were applied to identify and remove the cortical shell, thus isolating the trabecular structure. The resulting isolated trabecular structure was used to calculate trabecular thickness using the BoneJ plug-in (version 1.4.1, Doube et al. 2010) for ImageJ (Schneider et al. 2012) to validate the parameters used in the morphological filters for the separation of the cortical shell (see Gross et al. 2014). The original dataset and trabecular structure were used to create a trinary mask defining the outer air, inner air and trabecular bone. A 3D rectangular background grid with a size of 3.5mm was superimposed on the trabecular structure and a sphere with a diameter of 7.5mm was used to measure BV/TV at each node in medtool 4.1. BV/TV was calculated as the ratio of bone to total volume in the sampling spheres. The isolated trabecular structure and a mesh size of 0.6mm were used to create 3D tetrahedral meshes of all individuals, using CGAL 4.4 (CGAL, Computational Geometry, http://www.cgal.org) and BV/TV values were then interpolated on the tetrahedral elements of each mesh. Distribution maps of BV/TV were visualised using Paraview v4.0.1 (Ahrens et al. 2005). The femoral head for each specimen was manually isolated in AVIZO 6.3 ® by positioning the mediolateral axis facing superoinferiorly and cropping at the head-neck junction to ensure homology across specimens. Mean trabecular parameters (BV/TV, DA, Tb.N, Tb.Sp, Tb.Th) for the entire head were calculated using an in-house script. DA was calculated as DA= 1 – [smallest eigenvalue/largest eigenvalue], as they were calculated using the mean-intercept-length method (Whitehouse, 1974; Odgaard, 1997). Tb.Sp and Tb.Th were calculated based on the Hildebrand and Ruesegger (1997) method; Tb.N was then calculated as Tb.N=1/(Tb.Th+Tb.Sp). Mean BV/TV, DA, Tb.Sp and Tb. Th were calculated by averaging the values at each voxel of the trinary mask throughout the epiphysis. Mean Tb.N was calculated from the means of Tb.Sp and Tb.Th.

Statistical analysis

Statistical analysis was performed in R v3.4.1 (R Core Team, 2017). The Kruskal-Wallis test was used to evaluate interspecies differences in mean trabecular parameters (BV/TV, DA, Tb.N, Tb.Sp, Tb.Th) of the femoral head and a Wilcoxon rank sum test with Bonferroni correction was used for post-hoc pairwise comparisons.

Results

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BV/TV distribution in the femoral head

In *Pan*, BV/TV distribution maps of the femoral head reveal concentrations of high BV/TV in the superior aspect of the femoral head (Fig. 2). In most *Pan* individuals (n=12) there are two distinct concentrations, one located more posteriorly and one located more anteriorly, whereas in some individuals one concentration spans across the whole of the superior region of the articulation. While the posterior concentration is always present in *Pan*, the location, extent and isolation of the anterior concentration varies between individuals.

[Insert Figure 2 about here]

The pattern of BV/TV distribution in *Gorilla* is similar to that found in *Pan* (Fig. 3). Two concentrations of high BV/TV are seen in the superior aspect, one located anteriorly, and one located posteriorly. Unlike in *Pan* however, these concentrations are distinct from each other in all but three *Gorilla* individuals, in which a region of high BV/TV spans across the

superior region of the femoral head. There is no apparent difference in the size of the two regions of high BV/TV.

[Insert Figure 3 about here]

Pongo shows a slightly different BV/TV pattern compared to Pan and Gorilla (Fig. 4). The P. pygmaeus individuals show the two concentrations of high BV/TV, one in the anterior and one in the posterior, similar to what is found in the African apes, however intermediate values persist over the superior portion of the femoral head. The extent of this concentration differs between P. pygmaeus individuals; in two individuals it is restricted more in the superior aspect of the head, whereas in the other two it is enlarged and covers the majority of the femoral head, from the anterior to the posterior. When the two concentrations are more well defined, the posterior concentration is generally more mediolaterally expanded than the anterior concentration. The P. abelii individual shows lower BV/TV than the other specimens and does not show two distinct concentrations.

[Insert Figure 4 about here]

Homo shows a different pattern to the great apes (Fig. 5). All individuals show one region of high BV/TV located in the posterior and superior aspect of the femoral head. Intermediate values of BV/TV expand across the whole of the superior aspect of the head of *Homo*, but with no apparent second concentration of high BV/TV in the anterior region as found in great apes. Homo individuals also display intermediate BV/TV on the inferior aspect of the head. This expansion of intermediate BV/TV values along the inferior is not seen in the other apes.

[Insert Figure 5 about here]

Quantitative analysis of trabecular parameters in the femoral head

Quantitative analysis of the mean trabecular parameters over the femoral head revealed several differences across taxa. Results for each parameter in the different taxa are presented in Table 2 and statistical results of species pairwise comparisons, after Bonferroni corrections, are presented in Table 3. *Pan* shows significantly higher BV/TV in the femoral head than *Pongo* (p=0.05) and *Homo* (p<0.001), and although its mean BV/TV value was higher than that of *Gorilla*, this difference was not statistically significant (Tables 2 and 3). *Homo* has the lowest mean BV/TV compared with all the great apes but is only significantly different from *Pan*. *Homo* has significantly higher DA in the femoral head than all other apes (*Pan* p< 0.001; *Gorilla* p<0.05; *Pongo* p<0.01), while *Pan*, *Pongo* and, less so, *Gorilla* are more isotropic and not significantly different from each other. With regards to the architectural parameters, *Pan* shows the most distinct trabecular structure with significantly higher Tb.N than all other apes (*Gorilla* p<0.001; *Homo* p<0.001; *Pongo* p<0.01) and significantly lower Tb.Sp (all p<0.001) and lower Tb.Th than *Gorilla* (p<0.001) and *Homo* (p<0.05).

Differences in mean BV/TV and DA across taxa were further evaluated using a bivariate plot (Fig.6) and a line histogram of the distribution of values in each taxon (Fig. 7). The data depicted in these figures are mean values for each individual across the entire femoral head. In the bivariate plot *Pan* shows a combination of high BV/TV and low DA, in contrast to humans that show the opposite pattern. *Gorilla* overlaps with both of these taxa but shows

higher BV/TV than humans. *Pongo* individuals overlap with the African apes, with lower DA values than humans, but with BV/TV values that overlap with all other taxa.

[Insert Figure 6 about here]

This was also supported by the distribution of BV/TV and DA values in the taxa (Fig. 7). *Pan* shows the highest mean BV/TV and high density close to the mean (0.39), whereas *Gorilla* shows a lower mean value but high density between 0.3 and 0.4. *Pongo* shows a similar mean to *Gorilla*, however the distribution of values more greatly resembles that of *Pan. Homo* shows the lowest BV/TV values distributed over a wider area. The DA plot shows that *Pan, Gorilla* and *Pongo* present similarly low mean DA values, but *Pongo* differs in distribution with higher density around the mean. *Homo* shows a different distribution with the highest mean DA but a wider distribution of values in the sample.

[Insert Figure 7 about here]

Discussion

 Our study investigated the variation in trabecular patterns of the femoral head in great apes and humans. Qualitative and quantitative results supported our hypotheses that trabecular bone would reflect differences in locomotor patterns, but not necessarily in the way we predicted. *Pan* and *Gorilla* displayed a trabecular structure consistent with their terrestrial as well as arboreal quadrupedal locomotion, while *Homo* showed a distinct trabecular pattern indicative of stereotypical loading during bipedal locomotion. However, the African apes showed a BV/TV distribution pattern that was different to what was expected, and their trabecular structure did not differ significantly from *Pongo*.

Distribution of BV/TV within the femoral head

We predicted that African apes would display a region of high BV/TV extending from the posteriosuperior to the anterior region of the femoral head, reflecting the flexed hip postures and loading incurred during knuckle-walking and vertical climbing. However, instead of a continuous ban of high BV/TV across the femoral head, Pan displayed two main regions of high BV/TV, indicating two regions of high loading; one in the posterosuperior aspect of the femoral head and one located more anteriorly. The majority of Taï chimpanzee (75% of the Pan sample) locomotion is terrestrial quadrupedalism (Doran, 1993). Ground reaction forces remain high throughout the stance phase during terrestrial knuckle-walking (Barak et al. 2013) and the hip remains flexed (Finestone et al. 2018), both of which are consistent with high loading of the posterosuperior region of the femoral head and the high BV/TV concentration that was found in this region. While Taï chimpanzees engage less frequently in vertical climbing (Doran, 1993a), it is possible that this results in similarly high loading of the femoral head, as it involves high propulsive forces from the hindlimbs (Hanna et al. 2017). During climbing, the hip can be flexed to a maximum of 25° to 55° (Isler, 2005; Nakano et al. 2006), which would result in the anterior aspect of the head contacting the lunate surface of the acetabulum. This is consistent with the second region of high BV/TV found in the anterior portion of the femoral head in Pan. The anterior concentration was more variable between individuals, but this could not be explained by subspecies differences within the sample. Thus, the more variable anterior BV/TV pattern may reflect interindividual

variability in vertical climbing frequency (Doran, 1993b) or hip range of motion during climbing (Isler, 2005; Nakano et al. 2006).

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Gorilla displayed a similar pattern to Pan, with two regions of high BV/TV within the femoral head. The two regions, one in the posterior and one in the anterior aspect of the head, are, as in Pan, consistent with hip posture and loading during terrestrial quadrupedalism and vertical climbing, as these modes of locomotion comprise the majority of Gorilla locomotion (Doran, 1997; Crompton et al. 2010; Remis, 1995). However, unlike Pan, these regions were better defined and more discrete in most Gorilla individuals (11 out of 14 individuals). This more discrete pattern is perhaps due to their greater body mass. Greater mass is related to restricted range of motion in joints (Hammond, 2014), which could result in less variability in joint positioning during locomotion and may explain the more well-defined concentrations in Gorilla. The two concentrations appeared closer to each other in Gorilla than in Pan, which is also consistent with the reduced range of motion at the hip joint of Gorilla (Isler, 2005; Hammond, 2014). Significant sex and body size related differences in joint mobility are prominent in Gorilla, with females showing a larger range of motion than males and flexionextension ranges varying between the sexes by up to or even more than 30° (Isler, 2005; Hammond, 2014). These differences were not detected in the BV/TV distribution maps and Gorilla does not seem to be more variable than Pan. However, this could not be tested statistically in the current study.

We predicted that the BV/TV distribution pattern of the *Pongo* femoral head would differ from that of African apes and humans because of their more varied quadrumanous locomotor behaviours (Thorpe and Crompton, 2005; Thorpe and Crompton, 2006), more mobile hip joints (Crelin, 1988; Ward, 1991), and increased range of motion at the hip during vertical climbing compared to African apes (Isler, 2005). Four of the five Pongo individuals in our sample showed the same two regions of high BV/TV found in African apes, however these were not as distinct and, instead, there was a continuous concentration of BV/TV spanning across the superior aspect of the femoral head. This is perhaps unsurprising since *Pongo* use a variety of hip postures while navigating their arboreal environment (Thorpe and Crompton, 2005; Thorpe and Crompton, 2006; Payne et al. 2006; Thorpe et al. 2009), which potentially results in higher loading across the whole superior surface of the femoral head. Pongo also vertically climbs less frequently than African apes (Thorpe and Crompton, 2006), which may be reflected by the less defined anterior concentration of high BV/TV in Pongo compared with Pan and, especially, Gorilla. Although our sample of Pongo is small (n=5) and all individuals were female, there was greater variation in the BV/TV distributions along the anterior and posterior aspects of the femoral head than was found in African apes. The one P. abelii specimen in our sample differed from the P. pygmaeus individuals in having only one superior concentration of high BV/TV. Although locomotor differences have been documented between P. pygmaeus and P. abelii (Sugardjito and van Hooff, 1986; Cant, 1987), a larger sample of both species is needed to determine if this variation in the trabecular pattern is characteristic of each species.

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Homo showed a distinct trabecular pattern that is consistent with our predictions and similar to previous results showing the density distribution of trabeculae adjacent to cortical bone (Treece and Gee, 2014). All Homo individuals displayed one main region of high BV/TV, located posteriorly and superiorly on the femoral head. This concentration was positioned more medially than the posterior concentration seen in great apes and closer to the fovea capitis, which is consistent with loading of the femur at a valgus angle. Intermediate BV/TV values continued along the superior aspect of the femoral head in Homo. This is consistent

with loading that occurs throughout the gait cycle over the articulating surface but suggests that peak loading is occurring at the posterosuperior region, which is in contact with the acetabulum during walking (Bonneau et al. 2012; Bonneau et al. 2014). Of course, humans also engage in other activities that involve more flexed hip joint postures, such as running, jumping, or climbing stairs, all of which impose high loads on the lower limb (van den Bogert et al. 1999; Giarmatzis et al. 2015) and could result in some trabecular reorganisation, explaining the extended area of intermediate BV/TV values we found across the femoral head. Unfortunately, it is not yet known exactly how the peak load is distributed over the femoral head during these activities. However, all individuals lack the anterior concentration found in apes, further supporting the interpretation that high BV/TV in the anterior region could be linked to arboreal behaviours or more specifically vertical climbing.

Quantitative analysis of trabecular structure

Quantitative analysis of the femoral head trabecular structure only partially supported our hypotheses. As expected, *Homo* displayed the lowest mean BV/TV in our sample but was only significantly different from that of *Pan*. Our results confirm previous studies showing that modern humans, particularly those that are less active, have relatively lower BV/TV across the skeleton compared with highly mobile modern humans and other primates (Chirchir et al. 2015; Ryan and Shaw, 2015; Saers et al. 2016; Chirchir et al. 2017). Furthermore, *Homo* showed significantly higher DA than great apes, which is consistent with the more stereotypical loading of the hip joint during bipedal locomotion and in accordance with previous results from the proximal (Ryan and Shaw, 2015; Ryan et al. 2018) as well as the distal femur (Georgiou et al. 2018). Homo has narrower acetabulae than other great apes, with expanded cranial lunate surfaces, as well as shortened dorsal surfaces, which results in a distinctively-shaped dorso-cranially expanded lunate surface that may restrict movement in the parasagittal plane (San Millán et al. 2015). Furthermore, in *Homo* the iliofemoral ligament limits extension and external rotation (Myers et al. 2011), the ischiofemoral ligament limits internal rotation, while the pubofemoral ligament limits abduction (Wagner et al. 2012), all of which result in a more restrictive and stereotypical motion and loading of the femoral head that is reflected in the trabecular structure.

As predicted, mean BV/TV was highest in *Pan*, which is consistent with previous studies showing relatively high BV/TV in the African ape femur (Ryan and Shaw, 2015; Georgiou et al. 2018; Ryan et al. 2018; Tsegai et al. 2018) and other postcranial elements (e.g. Cotter et al. 2009; Scherf et al. 2013; Tsegai et al. 2017). BV/TV in *Pan* did not differ significantly from *Gorilla*, reflecting their generally similar locomotor repertoire. Overall, the quantitative analysis highlighted *Pan* as being distinct from the other taxa. *Pan* not only showed the highest BV/TV values, but also differed significantly to all taxa in Tb.N and Tb.Sp, showing consistently higher Tb.N and lower Tb.Sp, again resembling previous findings (Ryan and Shaw, 2015). Furthermore, *Pan* showed significantly lower Tb.Th than *Gorilla* and *Homo*. Additionally, mean DA was lowest in *Pan*, as well as *Pongo*, but only differed significantly from *Homo*. Less data is available about femoral ligaments of non-human apes however *Pan* and *Pongo* seem to have less restrictive ligaments than *Homo* (Sonntag, 1923; 1924).

The trabecular structure of *Gorilla* and *Pongo* was not as distinct. *Gorilla* mean BV/TV did not differ significantly from any other taxon, and they only differed significantly in Tb.N, Tb.Sp and Tb.Th from *Pan*, as well as in DA from *Homo*. *Gorilla* has less variable positioning of their lower limbs during locomotion, compared to other non-human apes, as was shown in vertical climbing (Isler, 2005), however this is not displayed as clearly in their

574 DA values as was initially predicted. The lack of significant differences in BV/TV and DA 575 with Pan can perhaps be explained by the similar shape of their hip joints (San Millán et al. 2015) and overall similarities in locomotion (Doran, 1997). None of great apes differed 576 577 significantly in DA, despite clear differences in locomotor behaviours and hip morphology. Pongo has a cranio-ventrally expanded lunate surface and a smaller acetabular fossa than 578 other apes. They also show the largest articular surfaces and a relatively shallow acetabulum 579 580 (Schultz, 1969), which may be responsible for the increased mobility of the femoral head. 581 Furthermore, Pongo has a greater capacity for abduction and external rotation than non-582 suspensory taxa (Hammond, 2014). Thus, *Pongo* was expected to display significantly lower 583 DA values than all other taxa, which was not the case, but this result may also reflect our 584 small sample size of this taxon. 585

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Our result showed that Pan has relatively numerous, thinner and compactly organised trabeculae, while Gorilla and Homo have relatively few, thicker and more separated trabeculae. Pongo has relatively few, thinner and more separated trabeculae. These results are largely in accordance with previous analyses of femoral head trabeculae (Ryan and Shaw, 2012; 2015) which showed that humans have relatively less numerous, thin and highly anisotropic trabeculae compared to other anthropoids, Pan have relatively high numbers of thick, isotropic trabeculae and *Pongo* have relatively few, isotropic trabeculae. *Gorilla* showed the thickest trabeculae (Table 2), in support of previous studies suggesting that larger taxa have absolutely thicker trabeculae (Barak et al. 2013; Ryan and Shaw, 2013; Tsegai et al. 2013). However, the difference was not found to be significant, possibly due to the small sample sizes in our study. Allometric relationships were not tested in our study because our sample sizes were not large enough to test this intraspecifically, however previous research has shown that these trabecular parameters can vary predictably with body size interspecifically (Cotter et al. 2009; Doube et al. 2011; Barak et al. 2013; Ryan and Shaw, 2013). Across a large sample of mammals, Tb.Th and Tb.Sp were shown to increase with size (Doube et al. 2011). In primates, Tb.N, Tb.Th and Tb.Sp present negatively allometric relationships with body mass (Barak et al. 2013; Ryan and Shaw, 2013), resulting in more, thinner and less separated trabeculae in larger taxa. These studies suggest that absolute trabecular parameters, and specifically Tb.N, Tb.Sp and Tb.Th, do not necessarily directly reflect locomotor modes as they could reflect body-size related or systemic differences between taxa. Nevertheless, since our sample includes apes that are relatively similar in body size compared to the more diverse samples of previous studies (Doube et al. 2011; Barak et al. 2013; Ryan and Shaw, 2013), we would expect that allometry does not have a significant effect on the variation observed here.

The absence of a clear functional signal in the mean trabecular parameters may be biased by methodological limitations of the whole-epiphysis approach. The mean value of any given trabecular parameter can obscure or homogenise any potential distinct variation in specific regions of the femoral head, as demonstrated by the BV/TV distribution maps and previous studies (Sylvester and Terhune, 2017). This is where the traditional VOI approach, in which the trabecular architecture of specific regions of an epiphysis can be quantified and compared, is potentially more functionally informative (e.g. Ryan and Shaw, 2012; 2015; Ryan et al. 2018). Additionally, the lack of a strong functional signal in these parameters could be due to non-mechanical factors affecting trabecular structure. Trabecular bone also functions as a reserve of minerals and is important in maintaining homeostasis, hence its structure will, to some extent, be affected by this (Rodan, 1998; Clarke, 2008). Genes control for the rate of remodelling and bone mineral density, as well as the response to mechanical strain in different skeletal sites (Smith et al. 1973; Dequeker et al. 1987; Kelly et al. 1991;

Garnero et al. 1996; Hauser et al. 1997; Judex et al. 2002; Judex et al. 2004). These along with the fact that trabecular bone remodels in response to a range of magnitudes and frequencies of load (Whalen et al. 1988; Rubin et al. 1990; Rubin et al. 2001; Judex et al. 2003; Scherf et al. 2013), complicate interpretations. Age, hormones, sex and other factors (e.g. Simkin et al. 1987; Pearson and Lieberman, 2004; Suuriniemi et al. 2004; Kivell, 2016; Wallace et al. 2017; Tsegai et al. 2018) influence trabecular bone modelling, thus these factors should not be ignored.

Conclusion

This study showed that the trabecular architecture of the femoral head in great apes and humans reflects habitual hip postures during locomotion. *Pan* and *Gorilla* showed similar BV/TV distribution patterns, with generally two distinct high BV/TV regions that are consistent with hip postures during knuckle-walking and vertical climbing. *Pongo* showed a BV/TV distribution pattern that is characteristic of their highly mobile hips and complex locomotion, however they do not differ as significantly as predicted from African apes. Finally, *Homo* showed a distinct pattern of BV/TV distribution, with one posterosuperior region of high BV/TV, the lowest overall BV/TV values and highest DA values, which is consistent with stereotypical loading during locomotion. Despite mean trabecular parameters not demonstrating locomotor differences as clearly as predicted, they largely match results from previous VOI studies (Ryan and Shaw, 2015; Ryan et al. 2018). Our research reveals that there are distinct patterns of BV/TV distribution that generally distinguish the locomotor groups and provide a valuable comparative sample for future research on the evolution of gait in hominins.

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Author contributions

L. Georgiou, T.L. Kivell and M.M. Skinner contributed to the design of the study and acquisition of data, L. T. Buck facilitated and collected data, D.H Pahr contributed to the analysis tools, L. Georgiou processed, analysed and interpreted the data, L. Georgiou drafted the manuscript, L. Georgiou, T.L. Kivell, D.H. Pahr, L.T. Buck and M.M. Skinner revised and approved the final manuscript submitted for review.

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Tables 1099

Table 1. Study sample taxonomic composition, re-sampled voxel size range, sex, and microCT scanning parameters. All specimens were re-sampled except six of the gorillas that were scanned at lower resolutions.

Taxon	Locomotor mode	N	Sex	Voxel size (mm)	Scanning
Pan troglodytes	Arboreal/ knuckle-walker	20	13 female, 6 male, 1 unknown	0.04-0.05	kV:120-130, μA: 80- 100, 0.25 or 0.5mm brass
Gorilla gorilla gorilla	Terrestrial knuckle-walker	14	7 female, 7 male	0.05-0.08	kV:130-170, μA: 110- 160, 0.1-0.5mm copper
Pongo sp.	Arboreal/ torso- orthograde suspension	5	5 female	0.04-0.045	kV:140, μA: 140, 0.5mm brass
Homo sapiens	Bipedal	12	3 female, 8 male, 1 unknown	0.06-0.07	kV:130-140, μA: 100- 140, 0.5mm brass

Table 2. Trabecular architecture results. Mean, standard deviation (in parentheses) and coefficient of variation for five trabecular parameters quantified throughout the femoral head.

Taxon	Pan	CV	Gorilla	CV	Pongo	CV	Homo	CV
BV/TV	0.39 (0.03)	8.6	0.35 (0.05)	14.	0.33 (0.04)	13.	0.30	16.0
				8		4	(0.05)	
DA	0.15 (0.03)	21.6	0.18 (0.04)	21.	0.15 (0.02)	14.	0.23	17.9
				8		7	(0.04)	
Tb.N	1.19 (0.11)	9.4	0.83 (0.09)	10.	0.92 (0.04)	4.4	0.87 (0.1)	11.4
(1/mm)				7				
Tb.Sp	0.56 (0.06)	10.0	0.81 (0.08)	9.8	0.78 (0.07)	8.4	0.84	16.6
(mm)							(0.14)	
Tb.Th	0.29 (0.03)	11.8	0.40 (0.08)	19.	0.31 (0.03)	10.	0.32	9.9
(mm)				1		9	(0.03)	

Table 3. Results of pairwise comparisons between taxa. Bonferroni-corrected p-values of each pairwise comparison for all trabecular parameters. Significant results are indicated by grey shading.

	Pan- Gorilla	Pan - Pongo	Pan - Homo	Gorilla - Pongo	Gorilla - Homo	Pongo - Homo
BV/TV	0.14	< 0.05	< 0.001	1	0.14	1
DA	0.24	1	< 0.001	1	< 0.05	<0.01
Tb.N	< 0.001	< 0.01	< 0.001	0.33	1	1
Tb.Sp	< 0.001	< 0.001	< 0.001	1	1	1
Tb.Th	< 0.001	1	< 0.05	0.09	0.05	1

- 1198 Figure legends
- 1199
- 1200 Figure 1. Comparison of hip posture during different habitual locomotor activities in
- 1201 great apes (A-B) and humans (C-D). (A) Great ape hip posture in maximum hip flexion
- (~55-60 degrees) during climbing (Isler, 2005). (B) Great ape hip posture at toe-off (~110 1202
- degrees) during terrestrial knuckle-walking (Finestone et al. 2018). (C) Human hip posture at 1203 1204
 - toe-off (~175 degrees). (D) Human hip posture at heel-strike (~160 degrees).
- 1205
- 1206 Figure 2. Pan BV/TV distribution in the femoral head. Five Pan specimens showing
- 1207 variation in the BV/TV distribution across the sample in (A) anterior, (B) posterior and (C)
- superior views. BV/TV is scaled to 0-0.55. All specimens are from the right side. Specimens 1208
- from left to right (F-female, M-male): MPITC 14996 (F), USNM 220063 (F), USNM 176228 1209
- (M), MPITC 11781 (M), MPITC 11786 (F). 1210
- 1211
- 1212 Figure 3. Gorilla BV/TV distribution in the femoral head. Five Gorilla specimens
- 1213 showing variation in the BV/TV distribution across the sample in (A) anterior, (B) posterior
- and (C) superior views. BV/TV is scaled to 0-0.55. All specimens are from the right side. 1214
- Specimens from left to right (F-female, M-male): M96 (F), M264 (M), M372 (M), M856 (F), 1215
- 1216 FC123 (M).
- 1217
- 1218 Figure 4. Pongo BVTV distribution in the femoral head. Five Pongo specimens showing
- 1219 variation in the BV/TV distribution across the sample in (A) anterior, (B) posterior and (C)
- superior views. BV/TV is scaled to 0-0.55. All specimens are from the right side. Specimens 1220
- from left to right (All female): ZSM 1909 0801, 1907 0660, 1973 0270, 1907 0483, 1907 1221
- 1222 0633b.
- 1223
- 1224 Figure 5. Homo BV/TV distribution in the femoral head. Five Homo specimens showing
- variation in the BV/TV distribution across the sample in (A) anterior, (B) posterior and (C) 1225
- 1226 superior views. BV/TV is scaled to 0-0.55. All specimens are from the right side. Specimens
- 1227 from left to right (F-female, M-male): CAMPUS 36 (F), CAMPUS 93 (M), CAMPUS 74 (F),
- 1228 CAMPUS 417 (sex unknown), CAMPUS 81 (M).
- 1229
- 1230 Figure 6. Bivariate plot of mean bone volume fraction (BV/TV) and mean degree of
- 1231 anisotropy (DA) for each individual and species in the sample.
- 1232
- 1233 Figure 7. A histogram of mean BV/TV and DA value distributions in the studied taxa.