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Scaling of cardiac morphology is interrupted by birth in the developing sheep Ovis aries

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3 Edward P Snelling<sup>1</sup>, Roger S Seymour<sup>2</sup>, Dino A Giussani<sup>3</sup>, Andrea Fuller<sup>1</sup>, Shane K Maloney<sup>1,4</sup>,

4 Anthony P Farrell <sup>5,6</sup>, Duncan Mitchell <sup>1,4</sup>, Keith P. George <sup>7</sup>, Edward M. Dzialowski <sup>8</sup>, Sonnet S. Jonker <sup>9</sup>,

- 5 Tilaye Wube<sup>10</sup>
- 6

7 <sup>1</sup>Brain Function Research Group, School of Physiology, University of the Witwatersrand, Johannesburg, 8 Gauteng, South Africa; <sup>2</sup>School of Biological Sciences, University of Adelaide, Adelaide, South 9 Australia, Australia; <sup>3</sup> Department of Physiology, Development and Neuroscience, University of Cambridge, Cambridge, United Kingdom; <sup>4</sup>School of Human Sciences, University of Western Australia, 10 Crawley, Western Australia, Australia; <sup>5</sup>Department of Zoology, University of British Columbia, 11 12 Vancouver, British Columbia, Canada; <sup>6</sup>Faculty of Land and Food Systems, University of British Columbia, Vancouver, British Columbia, Canada; <sup>7</sup>Research Institute for Sport and Exercise Sciences, 13 14 Liverpool John Moores University, Liverpool, United Kingdom; <sup>8</sup>Developmental Integrative Biology 15 Research Group, Department of Biological Sciences, University of North Texas, Denton, Texas, United 16 States; <sup>9</sup>Knight Cardiovascular Institute, Oregon Health and Science University, Portland, Oregon, 17 United States; <sup>10</sup> Department of Zoological Sciences, Addis Ababa University, Addis Ababa, Ethiopia 18 19 Running head: Scaling of cardiac morphology in sheep 20 21 Author for correspondence: 22 Edward P Snelling, 23 Brain Function Research Group, School of Physiology, 24 7 York Road, Parktown Medical Campus, 25 University of the Witwatersrand, Johannesburg, South Africa 2193. Phone: +27 11 717 2152, Facsimile: +27 11 643 2765, Email: edward.snelling@wits.ac.za 26

27 Abstract

Scaling of the heart across development can reveal the degree to which variation in cardiac morphology 28 29 depends on body mass. In this study, we assessed the scaling of heart mass, left and right ventricular 30 masses, and ventricular mass ratio, as a function of eviscerated body mass across fetal and postnatal 31 development in Horro sheep Ovis aries (~50-fold body mass range; N = 21). Whole hearts were extracted 32 from carcasses, cleaned, dissected into chambers, and weighed. We found a biphasic relationship when 33 heart mass was scaled against body mass, with a conspicuous 'breakpoint' around the time of birth, manifest not by a change in the scaling exponent (slope), but rather a jump in the elevation. Fetal heart 34 mass (g) increased with eviscerated body mass ( $M_b$ , kg) according to the power equation  $4.90M_b^{0.88 \pm 0.26}$  ( $\pm$ 35  $^{95\% \text{ CI}}$ , whereas postnatal heart mass increased according to  $10.0M_{b}^{0.88 \pm 0.10}$ . While the fetal and postnatal 36 37 scaling exponents are identical (0.88) and reveal a clear dependence of heart mass on body mass, only the 38 postnatal exponent is significantly less than 1.0, indicating the postnatal heart becomes a smaller 39 component of body mass as the body grows, which is a pattern found frequently with postnatal cardiac 40 development among mammals. The rapid doubling in heart mass around the time of birth is independent 41 of any increase in body mass and consistent with the normalization of wall stress in response to abrupt 42 changes in volume loading and pressure loading at parturition. We discuss variation in scaling patterns of 43 heart mass across development among mammals, and suggest that the variation results from a complex 44 interplay between hard-wired genetics and epigenetic influences.

45



47 Introduction

Cardiogenesis, growth and remodeling of the heart are driven by an orchestrated program of gene 48 49 activation and repression under the precise spatial and temporal control of transcription factors and 50 microRNAs (Roche et al., 2013; Sylva et al., 2014). Biomechanical forces exerted by blood on the walls 51 of the heart shape the phenotype by inducing gene expression and differentiation necessary for normal 52 developmental patterning (Lindsey et al., 2014). The embryonic heart forms when cardiac progenitor 53 cells arise from the mesoderm and establish in the cranial region of the embryo, where they arrange to 54 form the cardiac crescent, before coalescing and fusing into a single cardiac tube that undergoes looping 55 and 'ballooning' of the chambers (Christoffels et al., 2000; Moorman & Christoffels, 2003). The fetal 56 heart takes form when the chambers and outflow tract undergo septation, a compact myocardium is laid 57 down, and leaflet valves develop (Fig 1a). The fetal heart nonetheless maintains a small fissure through 58 the atrial septum, the *foramen ovale*, which allows for right-to-left atrial shunting, while the thicker 59 ventricular septum completely separates the left and right ventricles (Sylva et al., 2014). A compact 60 myocardium forms at the epicardial side of the developing fetal heart (Ieda et al., 2009), and soon after 61 the newly formed coronary vascular network connects with the base of the aorta, becoming functional, 62 and allows perfusion of the compact cardiac tissue (Tomanek, 1996; Farrell, 1997; Farrell et al., 2012). 63 Facilitated by an increasing role of the sinoatrial and atrioventricular nodes in the fetal heart, a mature 64 apex-to-base activation sequence of the septated ventricles arises (Sedmera & Ošťádal, 2012). Leaflet 65 valves form at the atrioventricular canals, and at the aortic and pulmonary outflow tracts, ensuring the unidirectional flow of fetal blood (Sedmera & Ošťádal, 2012). Across gestation, the fetal heart increases 66 67 in absolute mass, and increases its capacity to generate both absolute blood flow (Rudolph & Heymann, 1970) and blood pressure (Dawes et al., 1980; Kitanaka et al., 1989; Giussani et al., 2005). 68

At birth, the transition from placental to pulmonary gas exchange changes significantly the flow and pressure requirements of the heart. The placental circulation is eliminated, and there is closure of the *foramen ovale* between the atrial chambers, closure of the *ductus arteriosus* channel between pulmonary artery and aorta, and closure of the *ductus venosus* channel that bypasses the liver (Rudolph, 1970;

73 Thornburg et al., 1997). With this re-plumbing, the left and right ventricular chambers adjust from 74 working against the same blood pressure with different blood flow outputs in utero to working against 75 significantly different blood pressures but with identical blood flow outputs *post utero*. The postnatal 76 heart also has to deal for the first time with the effects of gravity. Measurements from sheep, taken before and soon after birth, document an increase in the mass-specific cardiac outputs of both ventricular 77 78 chambers, with the left ventricle in particular increasing from ca. 150 to 300 - 450 mL min<sup>-1</sup> kg<sup>-1</sup> over this 79 brief perinatal period (original data or summarised in Klopfenstein & Rudolph, 1978; Lister et al., 1979; 80 Anderson et al., 1981; Heymann et al., 1981; Rudolph, 1985; Morton et al., 1987; Stopfkuchen, 1987; 81 Grant, 1999). The increase in left ventricular cardiac output is achieved in large part by an increase in the mass-specific stroke volume, which doubles, from ca. 1 to 2 mL kg<sup>-1</sup>. The large increase in left 82 83 ventricular mass-specific stroke volume at birth is congruent with reports that heart growth after birth is 84 disproportionately in favour of the left ventricle over the right ventricle (Lee et al., 1975), a process 85 thought to involve accelerated proliferation and enlargement of the left ventricular cardiomyocytes (Smolich et al., 1989). As the postnatal heart continues to grow and mature to adulthood, there is an 86 87 associated rise in absolute cardiac output (Woods Jr et al., 1978), although the increase in systemic 88 arterial blood pressure is comparatively minor (Berman & Christensen, 1983). 89 Despite recent advancements to our qualitative understanding of cardiac development (Roche et al., 90 2013; Sylva et al., 2014), our quantitative understanding has not kept pace, in part because of our failure 91 to account for the non-linear effects of body size on cardiac structure and function (Calder III, 1996; Batterham et al., 1999; Chantler et al., 2005). One way of describing cardiac structure and function is by 92 93 scaling analysis (allometry), which relates an anatomical or physiological cardiac variable (Y) to body mass ( $M_b$ ), usually by a power equation,  $Y = aM_b^b$ , where a (the coefficient) represents the elevation of 94 the curvilinear line (value of Y when  $M_b = 1$ ), and b (the exponent) describes the shape of the curvilinear 95 96 line (Fig 1b). If b = 1, then Y increases in direct proportion to  $M_b$ ; if b = 0, Y is independent of  $M_b$ ; if 1 > 2b > 0, Y increases with a curve that has a decreasing slope against  $M_b$ ; if b > 1, Y increases with a curve 97 with an increasing slope against  $M_b$ ; and if 0 > b > -1, Y decreases with a curve that has a decreasing 98

slope against  $M_b$ . Logarithmic transformation of the data straightens the line for statistical interrogation, and the rearranged equation becomes,  $\log Y = \log a + b \log M_b$ , where loga now represents the elevation of the linearized relationship (y-intercept, value of  $\log Y$  when  $\log M_b = 0$ ), and b is unchanged but now defines the slope of the linearized relationship. Thus, in reference to b, the terms 'slope' and 'exponent' are often used interchangeably.

104 Previous scaling analyses on a variety of mammal species reveal that heart mass increases with 105 body mass across postnatal development according to a power equation with a scaling exponent (slope) 106 that usually is less than 1.0, meaning that the ratio of heart mass to body mass decreases as the body 107 grows across postnatal life (summarised in Snelling et al., 2015a). This supports earlier observations that 108 the neonate heart is relatively larger than the adult heart in several species of laboratory and domestic 109 mammals (Lee et al., 1975). Scaling studies that have broadened the analysis to include the fetal life 110 stage of placental mammals and the in-pouch life stage of marsupial mammals have led to the suggestion 111 that heart mass has a biphasic relationship with body mass, with a 'breakpoint' at which the exponent (slope) changes at birth and at pouch exit in these respective groups. In humans, the heart mass exponent 112 113 is reported to be 1.19 across fetal development and 0.89 across postnatal development (Hirokawa, 1972), 114 which implies that before birth, but not after birth, cardiac growth outpaces that of body mass. However, 115 that study obtained its data from spontaneously aborted fetuses, many with known cardiopulmonary 116 disease. In giraffes *Giraffa camelopardalis*, the fetal heart mass exponent is 1.03 and the postnatal 117 exponent is 0.90 (Mitchell & Skinner, 2009). However, adult giraffes are unusual in that their long 118 vertical heart-to-head distance requires them to generate exceptionally high mean central arterial blood pressures, achieved by a relatively thick-walled left ventricle (Smerup et al., 2016), but it is unclear when 119 120 those high blood pressures first appear during development. Two studies give different patterns of 121 cardiac growth in two different species of marsupial, which give birth to extremely altricial, ectothermic-122 young that develop within a pouch. For the western grey kangaroo Macropus fuliginosus, the in-pouch 123 heart mass exponent is 1.10 and the post-pouch exponent is 0.77 (Snelling et al., 2015a). However, in the tammar wallaby *Macropus eugenii*, heart mass scales in perfect isometry with body mass (exponent of 124

1.0) across the full scope of development with no detectable breakpoint between in-pouch and post-pouch
life stages (Hulbert et al., 1991). Thus, there is reason to question the generality of a biphasic scaling
pattern of heart mass growth across development in mammals.
In this study, we assessed the scaling of cardiac morphology across fetal and postnatal development
in Horro sheep *Ovis aries*, a common breed of Ethiopia readily available to us. Using this sheep as a
model, we seek further evidence for or against a biphasic scaling pattern of heart mass across
development, and we investigate if the apparent doubling of left ventricular mass-specific stroke volume

132 at birth is reflected in the gross morphology of the heart. Scaling relationships are presented for whole

heart mass, left ventricular (LV) mass, right ventricular (RV) mass, and ventricular mass ratio (RV/LV).

134 Materials and methods

135 Animal carcasses

136 This study was approved by the Animal Ethics Committees of the University of the Witwatersrand 137 (2017/05/33/0) and Addis Ababa University (427/09/2017). In cooperation with local abattoirs in Addis 138 Ababa, we purchased whole hearts extracted from carcasses of Horro sheep Ovis aries, a short-fleeced, 139 medium-sized breed from Ethiopia, with nothing unusual in its morphology (Gizaw et al., 2008). The 140 Horro sheep were supplied by nearby farms, from a mid-altitude region, approximately 2500 m above sea level. Typically, the Horro sheep has a birth body mass of 2.7 kg, a weaning body mass of 12 kg (ca. 93 141 142 days), a mature body mass of 25 - 35 kg, and an average litter size of 1.34 (Abegaz et al., 2000; Ermias et al., 2006). The abattoir workers killed each postnatal sheep according to their standard practice, before 143 eviscerating the carcass, removing the gastrointestinal tract and its contents, but leaving behind all other 144 145 internal organs. Eviscerated body mass was recorded to the nearest 0.01 kg on a calibrated digital strain 146 gauge scale (PK-110; AWS, Cumming, GA, USA). The abattoir workers then removed the hearts, and we sealed them in plastic zip-lock bags, transported them back to the laboratory, and froze them until day 147 148 of dissection. In addition, the abattoirs supplied us with fetal carcasses. For consistency, we sealed and 149 transported these fetal carcasses in plastic zip-lock bags and froze them until day of dissection, at which 150 time we weighed them, removed the gastrointestinal tract, and reweighed them, and then removed the 151 heart for dissection. We recorded their intact total body mass and their eviscerated body mass to the same 152 precision using the same strain gauge scale as for the postnatal sheep.

153

#### 154 *Heart dissections*

We obtained 30 hearts from sheep carcasses, from which we measured 10 fetal hearts and 11 postnatal hearts. We excluded 9 hearts that were either not fully formed (e.g., incomplete septation) or not extracted whole by the abattoir workers (e.g., missing atrial chamber). We emptied the chambers of congealed blood, removed major blood vessels, trimmed any excess fat, and dissected the chambers from one another. For both fetal and postnatal hearts, we excised the LV free wall plus the interventricular septum ('left ventricle'), and the RV free wall ('right ventricle'), following what have become standard
procedures (Fulton et al., 1952; Keen, 1955; Joyce et al., 2004; Snelling et al., 2016). The myocardial
mass of each chamber was determined by weighing to the nearest 0.01 g on a calibrated analytical balance
(ADP-2100; Adam Equipment, Milton Keynes, UK) and the chamber masses summed to provide whole
heart mass.

165

166 Statistical analyses

167 Scaling relationships are presented to describe the change in whole heart mass (atria + ventricles), LV mass, RV mass, and ventricular mass ratio (RV/LV), each as a function of eviscerated body mass, across 168 169 fetal and postnatal development in Horro sheep. The scaling relationships are in the form of a power equation,  $Y = aM_b^{b \pm 95\% \text{ CI}}$ , where Y is the cardiac variable of interest, a is the scaling coefficient 170 171 (elevation), b is the scaling exponent (slope of the log-transformed relationship),  $M_b$  is the eviscenated 172 body mass in kg, and CI stands for confidence interval. To analyse the scaling relationships statistically, we took the  $log_{10}$  of the cardiac variable and the  $log_{10}$  of eviscerated body mass, and applied ordinary 173 least-squares linear regressions to the log-transformed data (Smith, 2009; Kilmer & Rodriguez, 2017). To 174 175 determine whether heart mass had a biphasic relationship with body mass, we performed a broken stick 176 analysis by fitting a series of two-phase linear regressions to the log-transformed data. We identified the breakpoint as the intersection that minimised the sum for both 'sticks' of the regressions' residual sums of 177 178 squares (Yeager & Ultsch, 1989; Mueller & Seymour, 2011). The slopes and elevations of the regressions then were compared between fetal and postnatal life stages by ANCOVA (Zar, 1998) by 179

180 means of dedicated statistical software (Prism 7; GraphPad Software, La Jolla, CA, USA).

181 Results

### 182 Scaling of whole heart mass

183 When the fetal and postnatal life stages of Horro sheep are combined, whole heart mass increases with 184 eviscerated body mass according to a power equation with a scaling exponent of  $1.12 \pm 0.11 (\pm 95\% \text{ CI})$ 185 (Fig 2a). However, this analysis obscures a clear biphasic relationship, driven by a doubling in whole 186 heart mass around the time of birth (Fig 2b). The increase appears to be real, not a statistical artefact, and 187 is confirmed by the broken stick analysis, which identified the breakpoint at birth. When considered separately, the fetal and postnatal life stages have the same scaling exponent for heart mass against 188 eviscerated body mass ( $0.88 \pm 0.26$  and  $0.88 \pm 0.10$ , respectively; ANCOVA, P = 0.96), but the scaling 189 190 elevations are markedly and statistically different (P < 0.0001; Table 1). While the identical exponent (0.88) seems to imply that neither fetal nor postnatal cardiac growth keeps pace with body mass, the fetal 191 192 heart mass exponent is not significantly different from isometry (95% CI overlaps 1.0), and only the 193 postnatal exponent shows statistical hypoallometry (95% CI less than 1.0).

194

## 195 Scaling of LV and RV masses

196The scaling exponents for LV mass against eviscerated body mass are statistically indistinguishable

across fetal and postnatal development,  $0.90 \pm 0.35$  and  $0.90 \pm 0.11$ , respectively (ANCOVA, P = 0.99),

but the scaling elevations are significantly different (P < 0.0001; Fig 3a). Likewise, the exponents for RV

199 mass against eviscerated body mass are statistically indistinguishable across fetal and postnatal

development,  $0.93 \pm 0.23$  and  $0.92 \pm 0.13$ , respectively (P = 0.87), but in this case of the RV, the

elevations are not statistically different (P = 0.07; Fig 3b). Therefore, the 2.0-fold increase in whole heart

- 202 mass around the time of birth is due to an increase in LV mass (2.4-fold) rather than RV mass (1.3-fold).
- 203 Indeed, the ventricular mass ratio (RV/LV) changes around the time of birth (Fig 3c), from an average
- ratio of  $0.60 \pm 0.10$  across fetal development (exponent of  $0.04 \pm 0.30$ ) to an average ratio of  $0.33 \pm 0.03$
- across postnatal development (exponent of  $0.02 \pm 0.15$ ).

206 Discussion

207 This study used scaling analysis to track change in cardiac morphology, as a function of eviscerated body 208 mass, across fetal and postnatal development in Horro sheep. Previous scaling studies of humans 209 (Hirokawa, 1972), giraffes (Mitchell & Skinner, 2009), and kangaroos (Snelling et al., 2015a) revealed a 210 biphasic relationship between heart mass and body mass, with heart mass increasing with a relatively 211 steep exponent (slope) across fetal or in-pouch life, before transitioning to a shallower exponent across 212 postnatal or post-pouch life. However, that pattern was not apparent in Horro sheep. Instead, the scaling 213 exponents for heart mass are identical before and after parturition, and the breakpoint around the time of 214 birth is manifest as a jump in the elevation. The resetting of elevation is almost entirely due to a rapid 215 increase in LV mass during the perinatal period. We begin this discussion by assessing the likely effect 216 of using eviscerated body mass, rather than intact total body mass, as the independent variable in the 217 scaling analysis. Next, we show how the rapid increase in heart mass around the time of birth is 218 consistent with well-documented changes in mass-specific stroke volumes and arterial blood pressures 219 recorded from near-term fetal sheep and 1-week-old neonatal lambs. Lastly, we discuss the implications 220 of heart mass scaling across fetal and postnatal development and its relationship to whole body 221 physiology.

222

223 *Effect of using eviscerated body mass in the scaling analysis* 

224 Our postnatal Horro sheep were sourced as fresh, eviscerated carcasses from abattoirs and, as such, our 225 eviscerated body mass omits the masses of the gastrointestinal tract, its foodstuff contents, and some 226 blood. A previous study on the postnatal Horro sheep indicates that eviscerated body mass is 227 approximately 25% lower than intact total body mass (Ermias et al., 2006), although it is unclear if this 228 proportion changes with postnatal body growth. Our fetal sheep were weighed before and after 229 evisceration, with eviscerated body mass 8% lower than intact total body mass, and the deficit 230 independent of fetal body growth. If, instead, we use fetal total body masses and if we apply a +25%adjustment to our postnatal eviscerated body masses, the exponent describing heart mass as a function of 231

body mass across fetal and postnatal life stages combined decreases from  $1.12 \pm 0.11$  to  $1.05 \pm 0.08$  (Fig 2a). However, the adjustment does not affect the scaling exponents of the fetal and postnatal life stages when they are treated separately, but the magnitude of the jump in heart mass around the time of birth is reduced from 2.0-fold to 1.7-fold (Fig 2b). Thus, our observation concerning the different fetal and postnatal scaling elevations is not an artefact resulting from the use of eviscerated body mass rather than total body mass.

238

#### 239 Scaling of cardiac morphology is interrupted by birth

240 At birth, the precocial neonate for the first time must satisfy the energy-intensive tasks of endothermy, 241 independent locomotion, and independent nutrition. The heart and circulation remodel from parallel 242 circuits incorporating the placenta to an in-series circuit via the lungs. Associated with this remodeling, 243 the left and right ventricular chambers transition from working against the same blood pressure with 244 different blood flow outputs in utero to working against significantly different blood pressures but with 245 identical blood flow outputs *post utero* (Rudolph, 1970; Thornburg et al., 1997). It is congruent then that 246 our scaling analysis reveals a biphasic relationship between heart mass and body mass in Horro sheep, 247 with a breakpoint occurring around the time of birth, in the form of a 2.0-fold increase in heart mass, 248 driven primarily by a 2.4-fold increase in LV mass (Fig 3a), and supplemented by a not-statistically-249 significant 1.3-fold increase in RV mass (Fig 3b). Evidence for the capacity of the perinatal heart to 250 rapidly gain myocardial mass, independent of any increase body mass, comes primarily from studies of near-term fetal sheep, where experimentally-increased RV wall stress levels, induced by partial occlusion 251 of the pulmonary artery, elicit a 1.3 to 1.7-fold increase in mass-specific heart mass within 7-10 days 252 253 (Barbera et al., 2000; Segar et al., 2013). The rapid increase in LV mass around the time of birth in our 254 Horro sheep also aligns with two previous reports of a sharp ~1.3-fold increase in LV end-diastolic and 255 end-systolic linear dimensions in near-term fetal sheep compared to 2-day-old neonatal lambs 256 (Kirkpatrick et al., 1973; Anderson et al., 1984). Although the two studies did not consider the effects of

body size, a 1.3-fold increase in linear dimensions of the heart, raised to the third power, equates to a 2.2-

fold increase in volumetric dimensions of the heart, which we assume greatly exceeds the increase in body size over the first two days of postnatal life. It has been suggested that at birth, the removal of constraints that are caused by tissues surrounding the heart (e.g., fluid-filled lungs) allows for a nearimmediate increase in LV end-diastolic dimensions and preload, and thus facilitates increased LV stroke volume in the neonate (Grant, 1999; Grant et al., 2001).

263 The biphasic scaling pattern of heart mass across development in Horro sheep, characterized by a 264 rapid increase in heart mass around the time of birth, and independent of any increase in body mass, is not 265 without precedent. Indeed, a recent scaling study showed a conspicuous breakpoint effected by a rapid 266 1.6-fold increase in the elevation of heart mass at hatching, in another precocial endotherm with a four-267 chambered heart, the Pekin duck Anas platyrhynchos domestica (Fig 4a; Sirsat et al., 2016). Nonetheless, the breakpoint in the scaling elevation of Horro sheep differs from what we found when we reanalyzed 268 269 published heart mass and body mass data for sheep of mixed Western breeds. That analysis revealed a 270 breakpoint in the scaling exponent rather than elevation around the time of birth, with a relatively steep gain in heart mass across fetal development transitioning to a hypoallometric trajectory across postnatal 271 272 development (Fig 4b; Jonker et al., 2015). Likewise, two previous studies on placental mammals 273 (humans and giraffes) reported a breakpoint in the scaling exponent around the time of birth (Hirokawa, 274 1972; Mitchell & Skinner, 2009). These differences among studies warrant future work because the 275 substantial widening of the ventricular mass ratio (RV/LV) around the perinatal period [from 0.60 in the 276 fetal heart to 0.33 in the postnatal heart, as a result of the disproportionate increase in LV mass compared 277 to RV mass] has been reported widely for other placental mammals (Lee et al., 1975). In humans, the ratio decreases from 0.8 at birth, to 0.6 within a few days after birth, and to 0.4 by 3 months of age (Keen, 278 1955; Joyce et al., 2004). 279

280 The rapid increase in LV mass, but not RV mass, that we observed in Horro sheep is consistent 281 with the different changes in volume loading and pressure loading on the chambers at birth. In 282 accordance with the Principle of Laplace, an approximate model for mean circumferential wall stress ( $\sigma$ ) 283 of a thick-walled sphere is given by the relationship,  $\sigma = r_i P_i/2h$ , where  $r_i$  is internal radius,  $P_i$  is

284 transmural pressure and h is wall thickness (Mirsky, 1974; Westerhof et al., 2010). Although the 285 chambers of the heart are not exactly thick-walled spheres, and although their geometry likely changes 286 with remodeling at birth, this formula nonetheless shows that, if other variables are held constant, an 287 increase in either volume loading  $(\uparrow r_i)$  or pressure loading  $(\uparrow P_i)$  on the chamber walls, would require an 288 increase in wall thickness ( $\uparrow h$ ) to spread the additional load and normalize mean circumferential wall 289 stress (Seymour & Blaylock, 2000). Therefore, we posit that the 2.4-fold increase in LV mass at around 290 the time of birth, which occurs independent of changes in body mass, likely reflects an increase in LV 291 wall thickness to normalize wall stress in response to an abrupt increase in volume loading (i.e., end-292 diastolic volume). The evidence for the increase in volume loading comes from studies that report an 293 increase in mass-specific LV stroke volume around the time of birth (assuming constant ejection fraction), which doubles from approximately 1 mL kg<sup>-1</sup> in near-term fetal sheep to 2 mL kg<sup>-1</sup> in 1-week-294 295 old neonatal lambs (original data or summarised in Klopfenstein & Rudolph, 1978; Lister et al., 1979; 296 Anderson et al., 1981; Morton et al., 1987; Stopfkuchen, 1987; Grant, 1999). Indeed, a comparable 297 increase in LV mass-specific stroke volume has been recorded from near-term fetal sheep subject to 298 artificial positive pressure ventilation simulating birth (Teitel et al., 1987). To a slightly lesser extent, the 299 increase in LV mass around the time of birth also is likely a consequence of an increase in LV wall thickness due to an increase in pressure loading. The evidence for the increase in pressure loading comes 300 301 from studies that report an increase in left-sided blood pressure from approximately 50 mmHg in near-302 term fetal sheep to 70 mmHg in newborn lambs (summarised in Grant, 1999; Jonker & Louey, 2016). Once again in accordance with the Principle of Laplace, we posit that the lack of a significant increase in 303 RV mass around the time of birth likely results from the absence of a substantial change in RV wall 304 305 thickness, at least partly due to the counteracting effects of an increase in volume loading and a decrease 306 in pressure loading. On the one hand, RV volume loading likely increases around the time of birth based 307 on studies that report a ~1.2-fold increase in mass-specific RV stroke volume (assuming constant ejection fraction), from approximately 1.7 mL kg<sup>-1</sup> in near-term fetal sheep to 2 mL kg<sup>-1</sup> in 1-week-old neonatal 308 lambs (original data or summarised in Klopfenstein & Rudolph, 1978; Lister et al., 1979; Anderson et al., 309

310 1981; Morton et al., 1987). On the other hand, and probably of greater importance, RV pressure loading 311 likely decreases around the time of birth due to an abrupt decrease in right-sided blood pressure, which 312 drops from approximately 50 mmHg in near-term fetal sheep to 20 - 30 mmHg in newborn lambs 313 (summarised in Grant, 1999; Jonker & Louey, 2016). While we have left aside the important influence of 314 the geometry of the ventricular chambers, it is worth noting that the postnatal RV remains relatively thick 315 walled, given the low pressure it generates compared to the postnatal LV, probably as a consequence of 316 its larger radius of curvature, which places the RV at a disadvantage in the development of pressure 317 (Huisman et al., 1980).

318

## 319 Scaling of cardiac morphology across fetal and postnatal life

320 The properties of the scaling of heart mass across fetal and postnatal development have other 321 physiological implications. In Horro sheep, fetal heart mass scales against body mass with a somewhat 322 shallow exponent of 0.88, not quite statistically different from 1.0 in our modest sample. However, 323 across fetal development, the maturation of cardiomyocyte ultrastructure will have implications for 324 cardiac function. Studies on the hearts of sheep and other placental mammals collectively show that 325 across fetal development (and sometimes extending into the neonatal period), there is a general 326 improvement in the alignment and organization of the myofilaments and myofibrils, and often a notable 327 increase in the volume densities of the myofibrils and mitochondria (Canale et al., 1986). Those changes 328 are concomitant with an increased contractile performance of isolated strips of myocardium and likely 329 contribute to the augmented functional performance of the whole heart (Canale et al., 1986; Smolich, 330 1995; Anderson, 1996). Indeed, a previous scaling analysis has shown maturation of cardiomyocyte ultrastructure occurring during in-pouch development of the marsupial western grey kangaroo (Snelling et 331 332 al., 2015b). Myofibril and mitochondrial volume densities increase with respective scaling exponents of 333  $0.13 \pm 0.06$  and  $0.04 \pm 0.03$ , likely facilitating an increase in the functional performance of the heart as 334 the growing in-pouch young develop endothermy and become independently mobile. If a similar maturation of cardiomyocyte ultrastructure and function occurs during fetal development of the Horro 335

336 sheep, then it will provide some compensation for the shallow scaling of fetal heart mass. To date, no study has examined the scaling of cardiac ultrastructure, nor its relationship to cardiac performance and 337 338 whole body metabolic requirements, across the fetal and postnatal development of any placental mammal. 339 The hypoallometry of heart mass against body mass across the postnatal and post-pouch 340 development of mammals is common, although the range of scaling exponents is surprisingly broad, 341 typically from 0.7 to 1.0 (summarised in Snelling et al., 2015a). The present study with Horro sheep 342 revealed a postnatal exponent of 0.88, a value that is significantly lower than 1.0. An even shallower 343 exponent of 0.78 from sheep of mixed Western breeds implies that a more severe hypoallometry of 344 postnatal heart mass is possible (Jonker et al., 2015). In the marsupial western grey kangaroo, heart mass 345 scales with a hypoallometric exponent of 0.77 across post-pouch development (Snelling et al., 2015a) 346 despite the cardiomyocytes attaining ultrastructural maturity at pouch exit (Snelling et al., 2015b). The 347 reason why the growing heart tends to become relatively smaller as body mass increases across postnatal 348 development is probably varied and complex. Nevertheless, these comparisons seem to reinforce our 349 overall finding that there is much variation in the scaling patterns of heart mass against body mass across 350 development among mammals. The diversity of the observed scaling patterns likely arises from the 351 complex interplay between hard-wired genetic growth and maturation of the heart, and the influence of 352 epigenetic factors on the phenotype of the heart. Because of this interplay, we cannot yet generalize on 353 the exact scaling of either form or function of the heart across development, or the rationale behind the 354 scaling.

355

#### 356 *Conclusions*

In this study, we use Horro sheep to demonstrate the utility of scaling in teasing apart changes in cardiac morphology that are related to changes in body mass, and those that occur independently of body mass, at different stages of development. We found that heart mass scales against eviscerated body mass with an identical exponent of 0.88 over both the fetal and the postnatal life stages, but that the scaling elevations differ significantly due to a rapid doubling in heart mass around the time of birth. This increase in heart

362 mass occurs independent of any change in body mass, and appears congruent with the normalization of

363 wall stress in response to changing volume loading and pressure loading on the ventricular walls at

parturition. We also show that the pattern of scaling of heart mass against body mass across development

- among mammals varies greatly, likely resulting from a complex interplay between hard-wired genetics
- and epigenetic influences.

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# 511 Tables

512 **Table 1.** Scaling relationships for whole heart mass (atria + ventricles), LV mass, RV mass, and ventricular mass

513	ratio (RV/LV), each as a f	unction of eviscerated body ma	ass (gastrointestinal trac	t removed), in fetal $(N = 10)$ and
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514 postnatal (*N* = 11) Horro sheep *Ovis aries* analysed in this study.

	Fetal	Postnatal	ANCOVA comparisons of slope and (elevation)
Whole heart mass (g)	4.90 <i>M</i> b <sup>0.88 ± 0.26</sup>	$10.0 M_{\rm b}^{0.88 \pm 0.10}$	$F_{1,17} = 2.6 \times 10^{-3}, P = 0.96$
	<i>r</i> <sup>2</sup> = 0.88, <i>P</i> < 0.0001	$r^2 = 0.98, P < 0.0001$	( $F_{1,18} = 34.6, P < 0.0001$ )
LV mass (g)	$2.69 M_b^{0.90 \pm 0.35}$	$6.34 M_{\rm b}^{0.90 \pm 0.11}$	$F_{1,17} = 8.3 \times 10^{-7}, P = 0.99$
	$r^2 = 0.81, P < 0.001$	$r^2 = 0.97, P < 0.0001$	( $F_{1,18} = 31.2, P < 0.0001$ )
RV mass (g)	1.57 <i>M</i> b <sup>0.93 ± 0.23</sup>	$2.01 M_{\rm b}^{0.92 \pm 0.13}$	$F_{1,17} = 0.028, P = 0.87$
	<i>r</i> <sup>2</sup> = 0.92, <i>P</i> < 0.0001	r <sup>2</sup> = 0.96, P < 0.0001	( $F_{1,18} = 3.86, P = 0.07$ )
RV : LV mass ratio	$0.58 M_{\rm b}^{0.04 \pm 0.30}$	$0.32 M_{\rm b}^{0.02 \pm 0.15}$	$F_{1,17} = 0.018, P = 0.89$
	r <sup>2</sup> = 0.01, P = 0.79	r <sup>2</sup> = 0.01, P = 0.80	( $F_{1,18} = 17.6, P < 0.001$ )

515 Equations are in the form  $Y = aM_b^{b \pm 95\% \text{ Cl}}$ , where Y is the cardiac variable of interest, a is the scaling coefficient

516 (elevation), b is the scaling exponent (slope of the log-transformed relationship), *M*<sub>b</sub> is eviscerated body mass in kg,

517 and CI stands for confidence interval. LV is left ventricle and RV is right ventricle

# 518 Figures legends



520 Fig 1. (a) Schematic of the fetal heart showing the foramen ovale communication between the left and right atria, 521 and the *ductus arteriosus* channel between the pulmonary artery and the aorta. These shunts close soon after birth 522 in the normal neonatal heart. (b) Scaling as a tool to assess the fetal and postnatal heart as a function of body mass 523 across development. A cardiac variable (Y) is plotted against body mass ( $M_b$ ), to produce what is often a curvilinear relationship best defined by a power equation,  $Y = aM_b^{b}$ , where a (the coefficient) represents the elevation of the 524 525 curvilinear line, and b (the exponent) describes the shape of the curvilinear line. The line is straightened for statistical 526 analysis by log transformation, and the equation becomes,  $\log Y = \log a + \log M_b$ , where b retains the same value but 527 now defines the slope of the linearized relationship. The line also can be straightened, usually for graphical 528 purposes, by plotting the arithmetic data on logged axes.





- removed) across the combined fetal and postnatal development of Horro sheep Ovis aries analysed in this study
- 532 (~50-fold body mass range; N = 21). (b) Scaling of whole heart mass separated into fetal (unfilled circles; N = 10)
- 533 and postnatal life stages (filled circles; N = 11). Broken stick analysis confirms breakpoint at birth. The exponents
- 534 (slopes) are statistically indistinguishable between fetal and postnatal groups (ANCOVA, P > 0.05), but the elevations
- are significantly different (*P* < 0.05), because heart mass doubles around the time of birth. Solid line is the regression
- 536 mean, dashed lines represent the 95% confidence band. Also presented for each relationship is the scaling exponent
- 537 with 95% confidence interval. See Table 1 for complete scaling relationships and statistics.





540 fetal (unfilled circles; N = 10) and postnatal (filled circles; N = 11) Horro sheep *Ovis aries*. Although the exponents

541 (slopes) are statistically indistinguishable for LV mass and for RV mass when their respective fetal and postnatal

groups are compared (ANCOVA, *P* > 0.05 for both LV and RV), there is a significant difference in elevation of the LV

543 (P < 0.05), that is not apparent for the RV (P > 0.05), thus widening the ventricular mass ratio at birth. Solid line is

the regression mean, dashed lines represent the 95% confidence band. Also presented for each relationship is the

545 scaling exponent with 95% confidence interval. LV is left ventricle and RV is right ventricle.



547 Fig 4. (a) Scaling of whole heart mass against yolk-free body mass in pre-hatch (unfilled circles; N = 106) and post-548 hatch (filled circles; N = 92) Pekin duck Anas platyrhynchos domestica, reproduced from previously published data 549 (Sirsat et al., 2016). The exponents (slopes) are statistically indistinguishable between pre-hatch and post-hatch 550 groups (ANCOVA, P > 0.05), but the elevations are significantly different (P < 0.05), because heart mass increases 551 approximately 1.6-fold around the time of hatching. (b) Scaling of whole heart mass against body mass in fetal 552 (unfilled circles; N = 87) and postnatal (filled circles; N = 27) sheep of mixed Western breeds Ovis aries, calculated 553 from previously published data (Jonker et al., 2015). The exponents are significantly different between fetal and 554 postnatal groups (ANCOVA, P < 0.05). Solid line is the regression mean, dashed lines represent the 95% confidence 555 band. Also presented for each relationship is the scaling exponent with 95% confidence interval and the coefficient of 556 determination.